



دانشگاه کردستان  
University of Kurdistan  
زانکۆی کوردستان

# Department of Computer Engineering University of Kurdistan

Neural Networks (Graduate level)

## Introduction

**By: Dr. Alireza Abdollahpouri**

# Course Info

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## ➤ Instructor

**Dr. Alireza Abdollahpouri**

**Email:** [abdollahpouri@gmail.com](mailto:abdollahpouri@gmail.com)

**Office:** Room 219

## ➤ Course Web Page

<http://eng.uok.ac.ir/abdollahpouri/NN.html>

## ➤ Grading Policy

**Projects** 25%

**Presentation** 15%

**Final exam** 55%

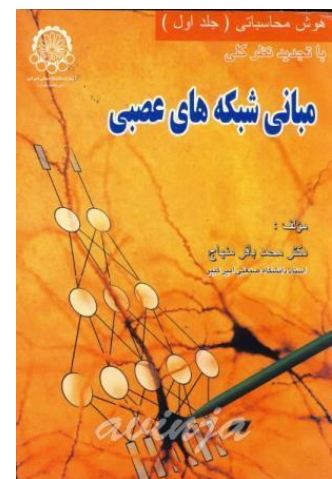
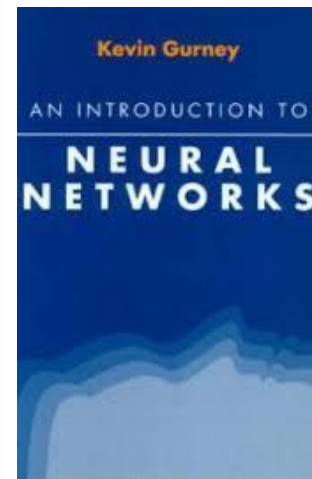
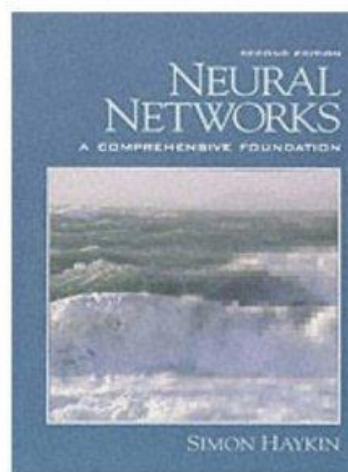
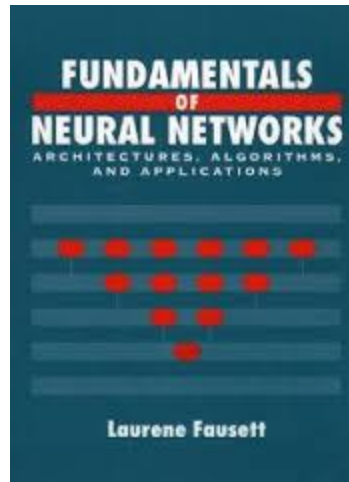
**Class participation** 5%



# Course Info

## ➤ References

- Neural Networks and Learning Machines, Simon O. Haykin
- Fundamentals of Neural Networks: Architectures, Algorithms And Applications, Laurene V. Fausett
- An Introduction to Neural Networks, Kevin Gurney
- M.B. Menhaj: Neural Networks (in Persian)



# Some Ground Rules

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- ❖ Let's make this educational and enjoyable.
- ❖ It's a big size class, I enjoy questions and ideas from the class.
  - ❖ Ask questions and raise points.
  - ❖ Listen to other people's questions.
  - ❖ Be here.
  - ❖ Be here on time.



# Humans vs. Computers

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Computers are excellent in computing and searching, but they miserably fail when programmed to reproduce typical human activities:

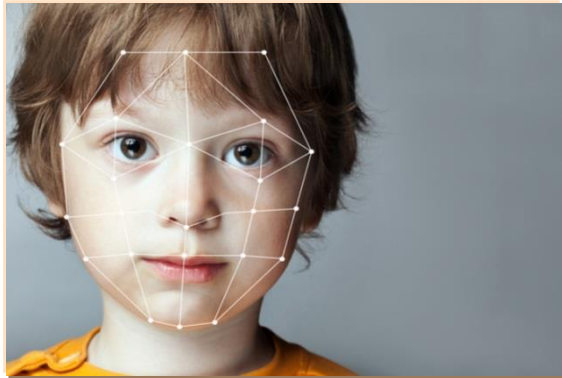
- Sensory perception
- Sensory-motor coordination
- Image recognition
- Adaptive behaviors



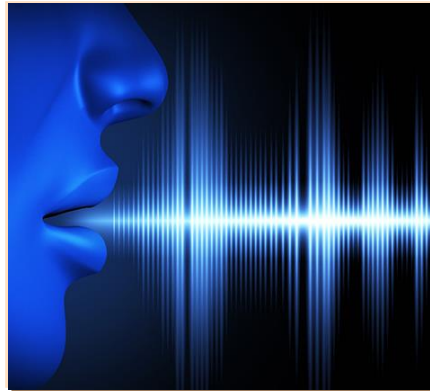
# Babies beat Computers

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Although a PC can beat the world's chess champion, the most powerful computer in the planet is not capable to compete with a 3-year baby in



Face recognition



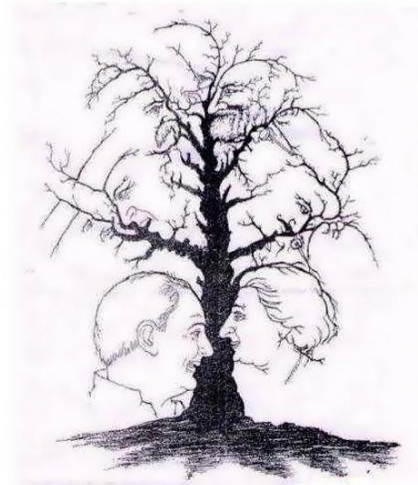
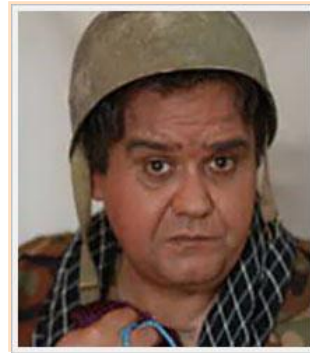
Voice recognition



Lego construction

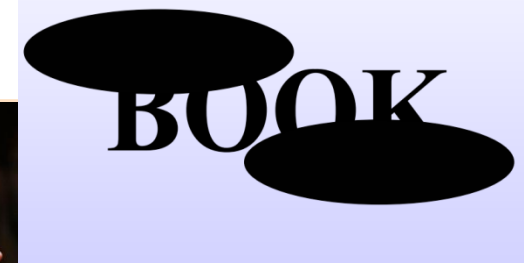
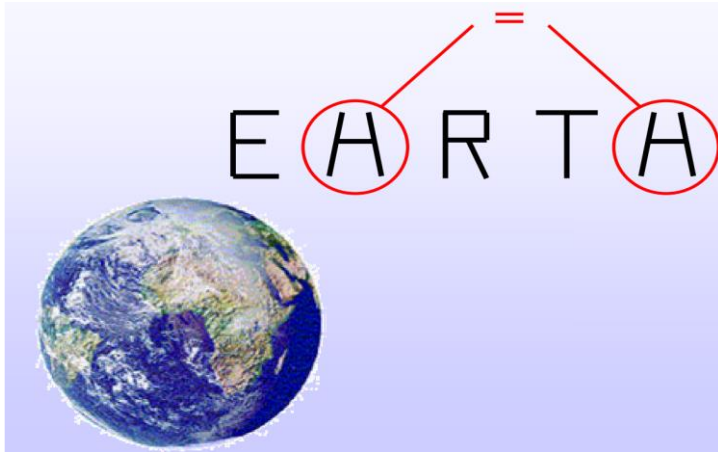
# Capabilities of Human brain

- Face recognition
- Learning
- Decision making
- Calculation
- Information storage
- ...





# Capabilities of Human brain



ELSH





# Capabilities of Human brain

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The **mouse** on the desk **is broken**

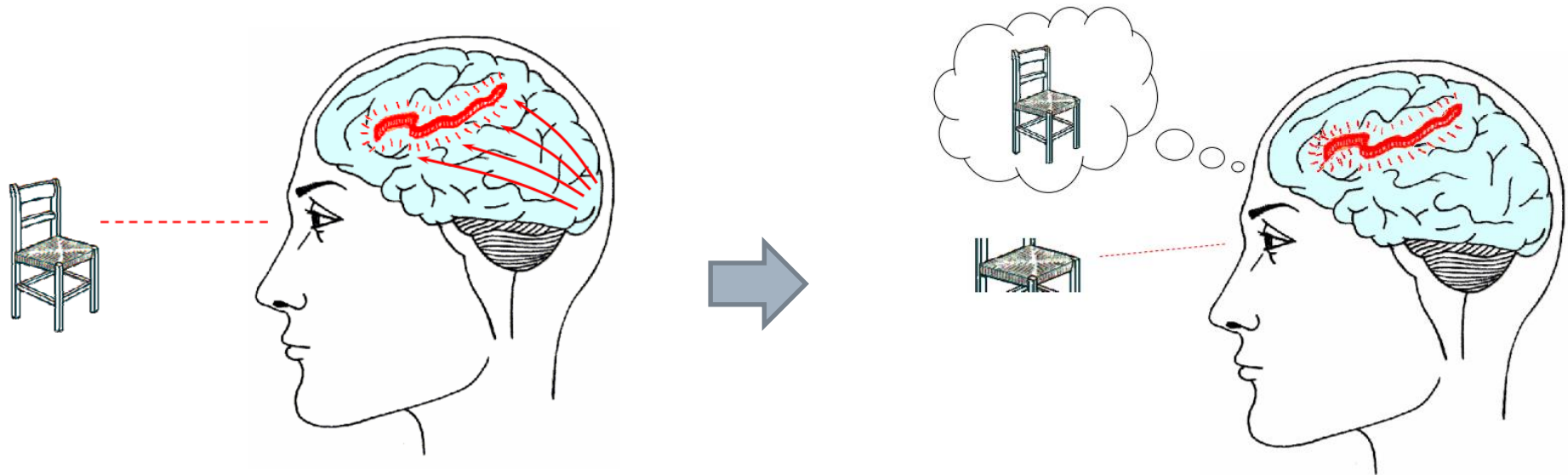


The **mouse** on the desk **is eating cheese**



# How does the brain work?

- When we recognize a face or we grasp an object we do not solve equations.
- The brain works using an **associative** process.



# Hitting a tennis ball

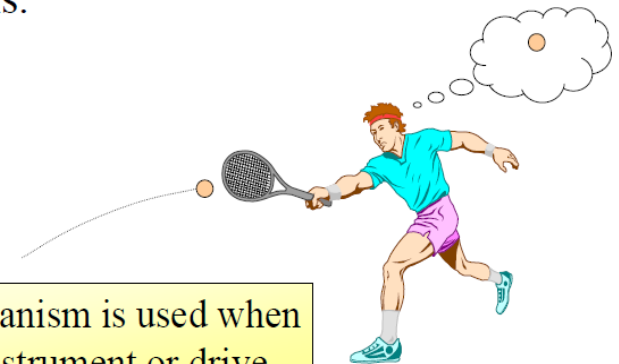
## Learning phase

- In a learning phase, we try several actions and store the good ones:
  - If the ball is seen in the upper-left area of the visual field, then make a back step;
  - If the ball ...



## Operating Phase

- Once trained, the brain executes the actions *without thinking*, based on the learned associations.



A similar mechanism is used when we play an instrument or drive

## Quotation

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“If the human brain were simple enough for us to understand, we would still be so stupid that we couldn't understand it”



**Jostein Gaarder**

# Digital versus biological computers

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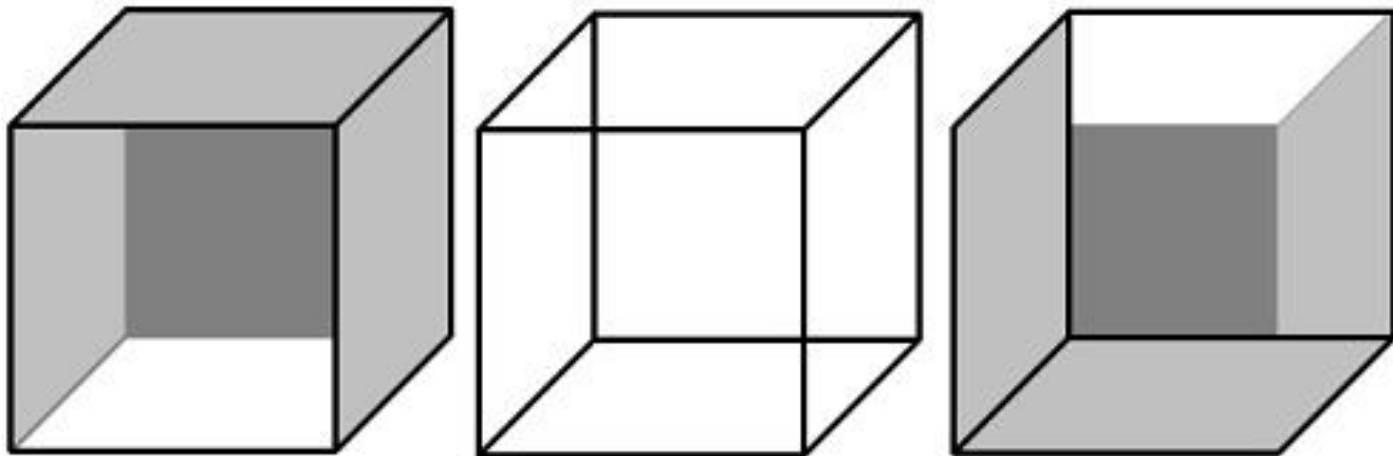
## 5 distinguishing properties

- Speed
- Robustness
- Flexibility
- Adaptivity
- Context-sensitivity



# Flexibility: the Necker cube

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# Adaptivity

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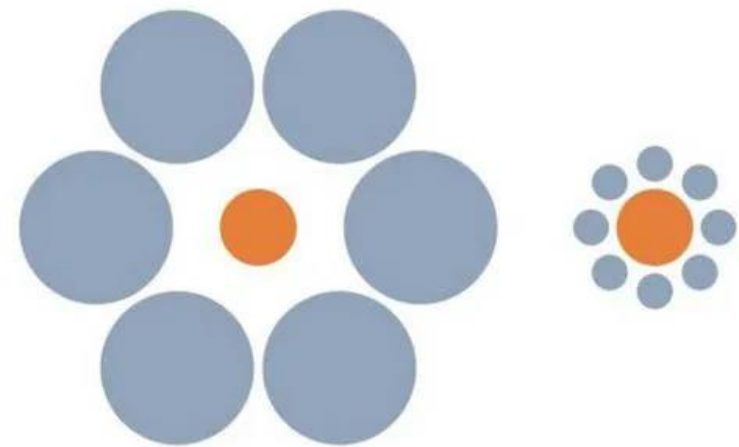
**Processing implies learning  
in biological computers  
versus  
processing does not imply learning  
in digital computers**





# Context-sensitivity: patterns

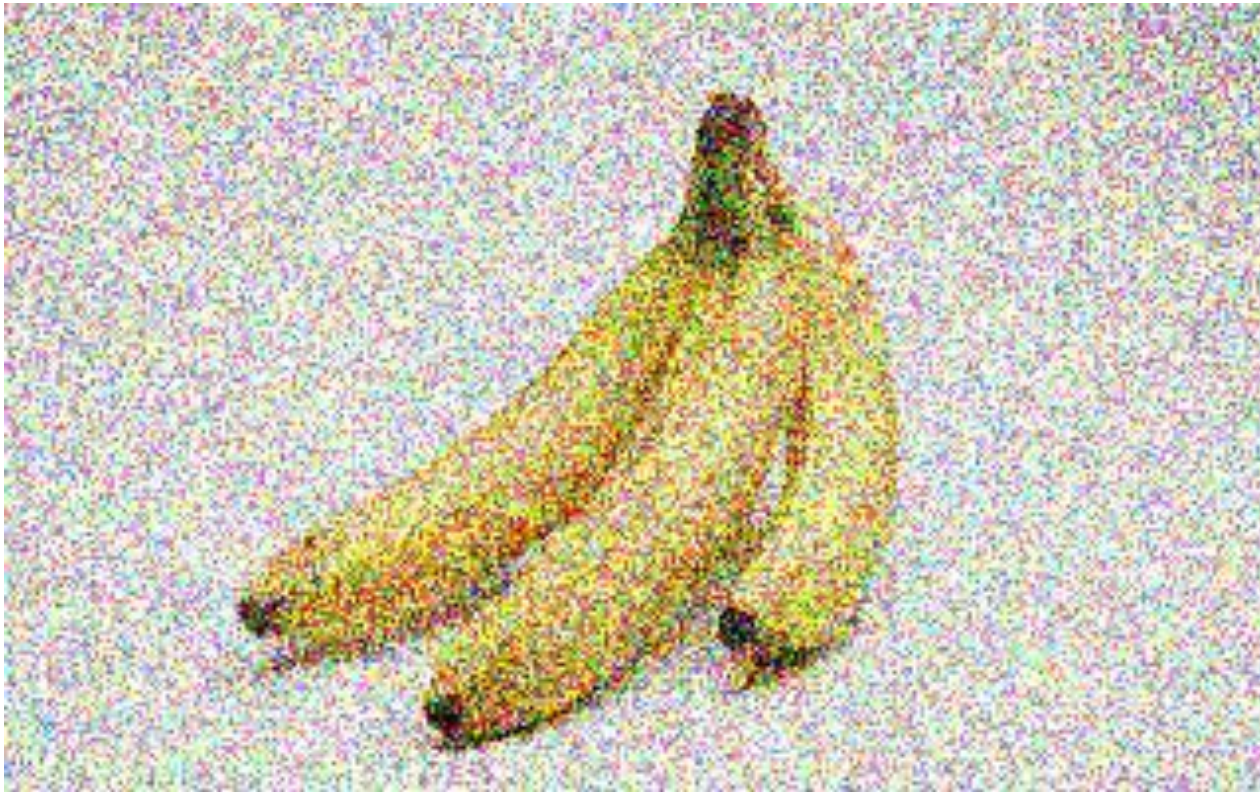
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*emergent properties*

# Robustness and context-sensitivity coping with noise

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# Properties of human brain

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- **Has self-organizing or self-learning capability. It**
- **can easily adjust to new environment by “learning” usually from experience or from a knowledge of its surrounding.**
- **Robust and fault tolerant.**
- **Parallel distributed architecture.**
- **Can deal with information that is fuzzy, probabilistic, noisy, or even inconsistent (nonlinear).**
- **Small, compact and dissipates very little power.**



# Biological Inspiration

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**Idea : To make the computer more robust, intelligent, and learn, ...**

**Let's model our computer software (and/or hardware) after the brain**

- **about 86 billion neurons** in the human cortex each connected to , on average, **10000** others.
- In total **80-1000 trillion synapses** of connections.
- The brain is a highly complex, nonlinear and parallel computer (information-processing system)



# Biological inspiration

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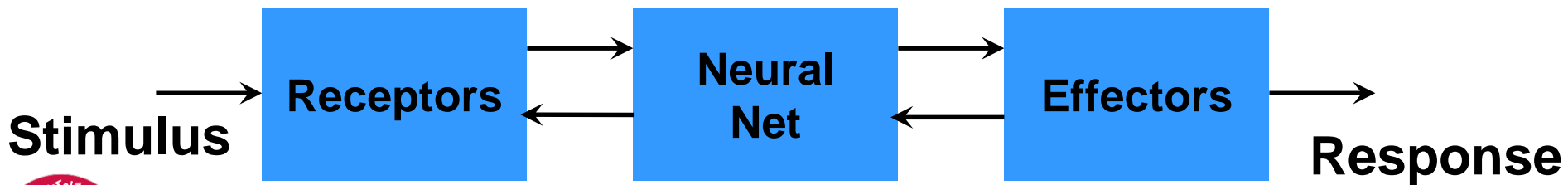
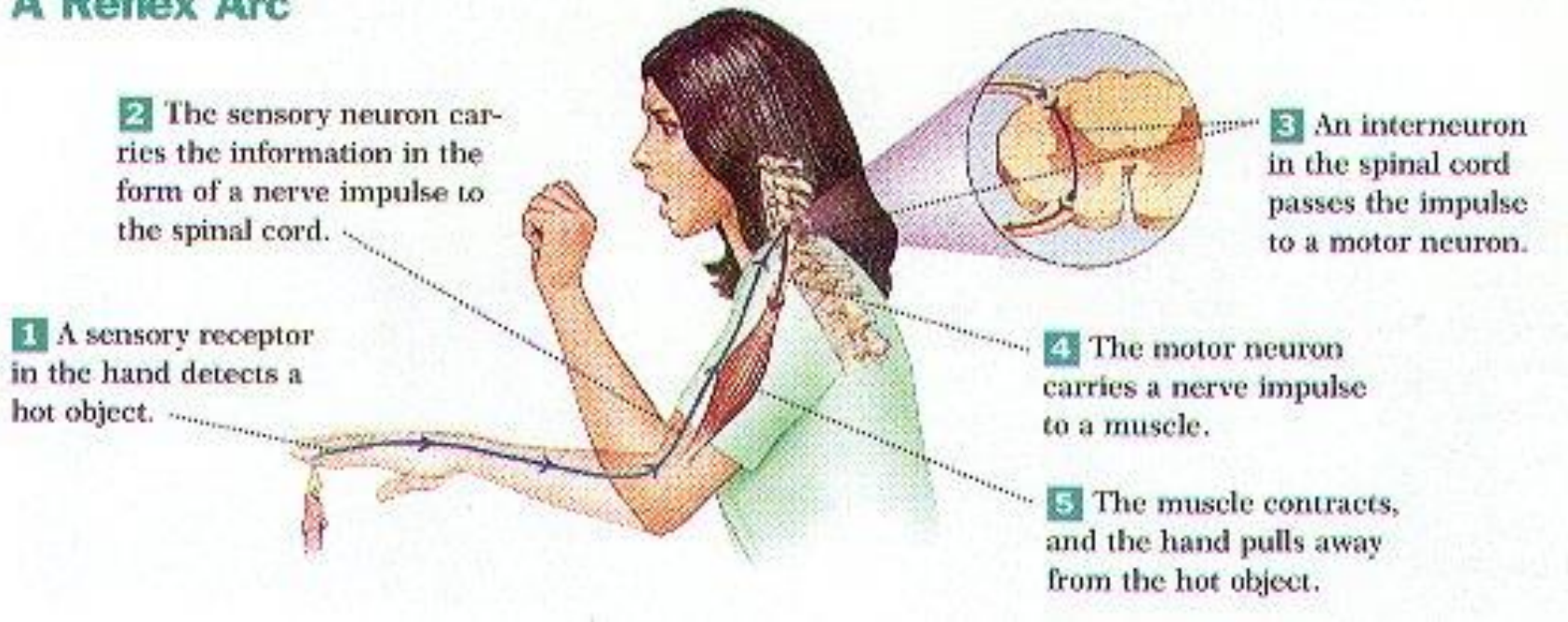
- Animals are able to react adaptively to changes in their external and internal environment, and they use their nervous system to perform these behaviours.
- An appropriate model/simulation of the nervous system should be able to produce similar responses and behaviours in artificial systems.
- The nervous system is build by relatively simple units, the **neurons**, so copying their behavior and functionality should be the solution.





# Human nervous structure

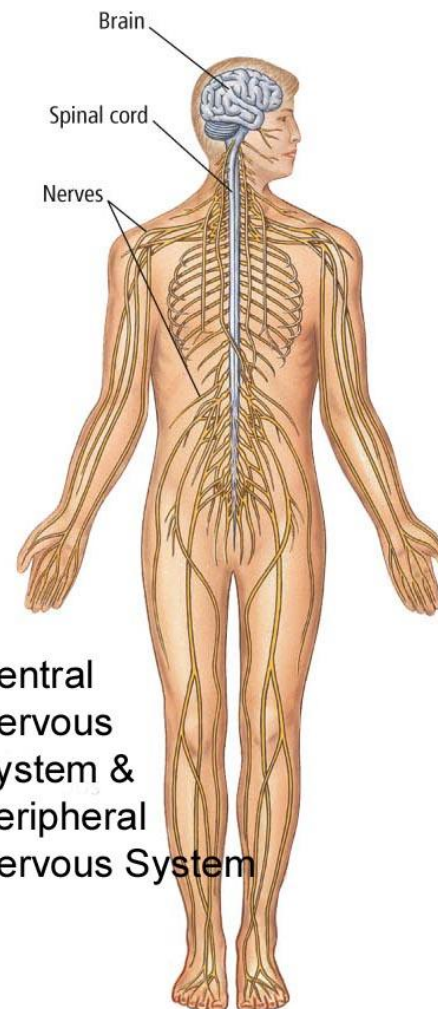
## A Reflex Arc



# Human nervous structure

**The real structure of the human nervous corresponding to last block-diagram.**

- **It contains the neurons to transfer the signal form the receptors to brain and vice-versa to the effectors.**





# Learning in the Brain

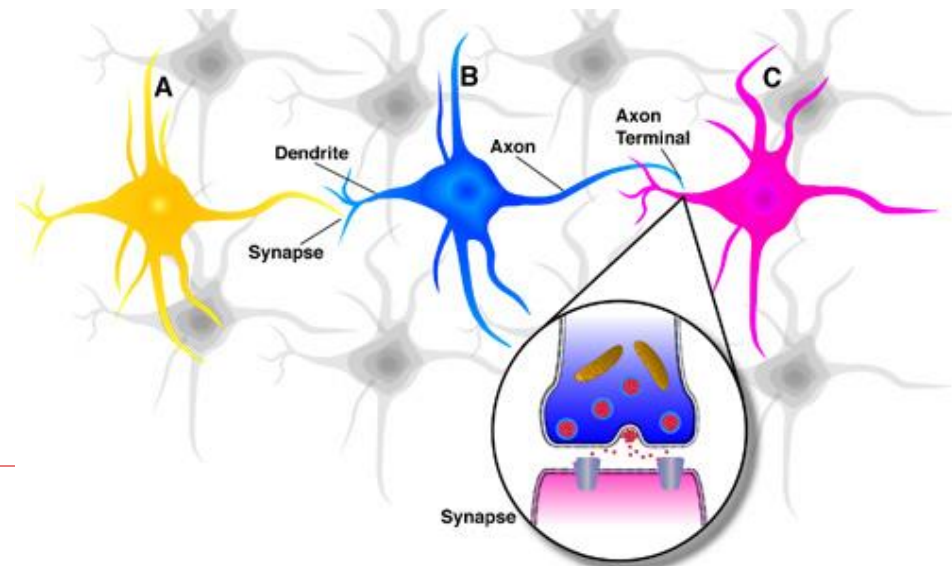
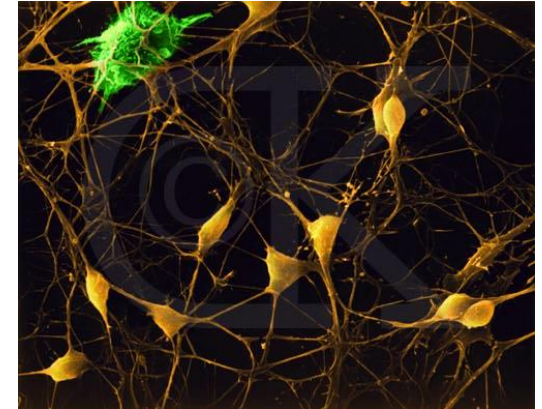
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- Brains learn
  - Altering strength between neurons
  - Creating/deleting connections
- Hebb's Postulate (Hebbian Learning)
  - When an axon of cell A is near enough to excite a cell B and repeatedly or persistently takes part in firing it, some growth process or metabolic change takes place in one or both cells such that A's efficiency, as one of the cells firing B, is increased.
- Long Term Potentiation (LTP)
  - Cellular basis for learning and memory
  - LTP is the long-lasting strengthening of the connection between two nerve cells in response to stimulation
  - Discovered in many regions of the cortex



# Neurons in the Brain

- Although heterogeneous, at a low level the brain is composed of neurons
  - A neuron receives input from other neurons (generally thousands) from its synapses
  - Inputs are approximately summed
  - When the input exceeds a threshold the neuron sends an electrical spike that travels that travels from the body, down the axon, to the next neuron(s)



# Principles of Brain Processing



A process of pattern recognition and pattern manipulation is based on:

## Massive parallelism

*Brain computer as an information or signal processing system, is composed of a large number of a simple processing elements, called neurons. These neurons are interconnected by numerous direct links, which are called connection, and cooperate which other to perform a parallel distributed processing (PDP) in order to soft a desired computation tasks.*

## Connectionism

Brain computer is a highly interconnected neurons system in such a way that the state of one neuron affects the potential of the large number of other neurons which are connected according to weights or strength. The key idea of such principle is the functional capacity of biological neural nets deteres mostly not so of a single neuron but of its connections

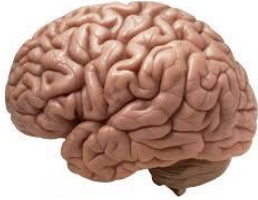
## Associative distributed memory

Storage of information in a brain is supposed to be concentrated in synaptic connections of brain neural network, or more precisely, in the pattern of these connections and strengths (weights) of the synaptic connections.



# The Brain vs. Computer

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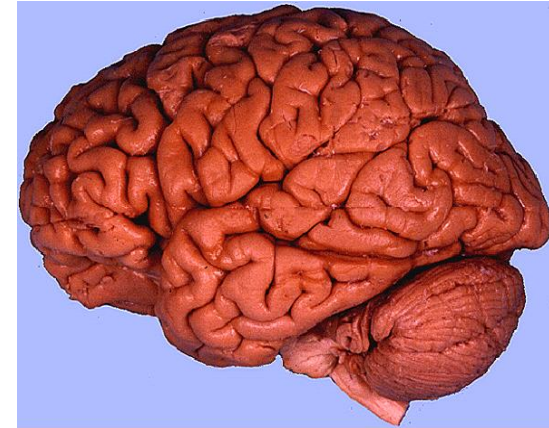
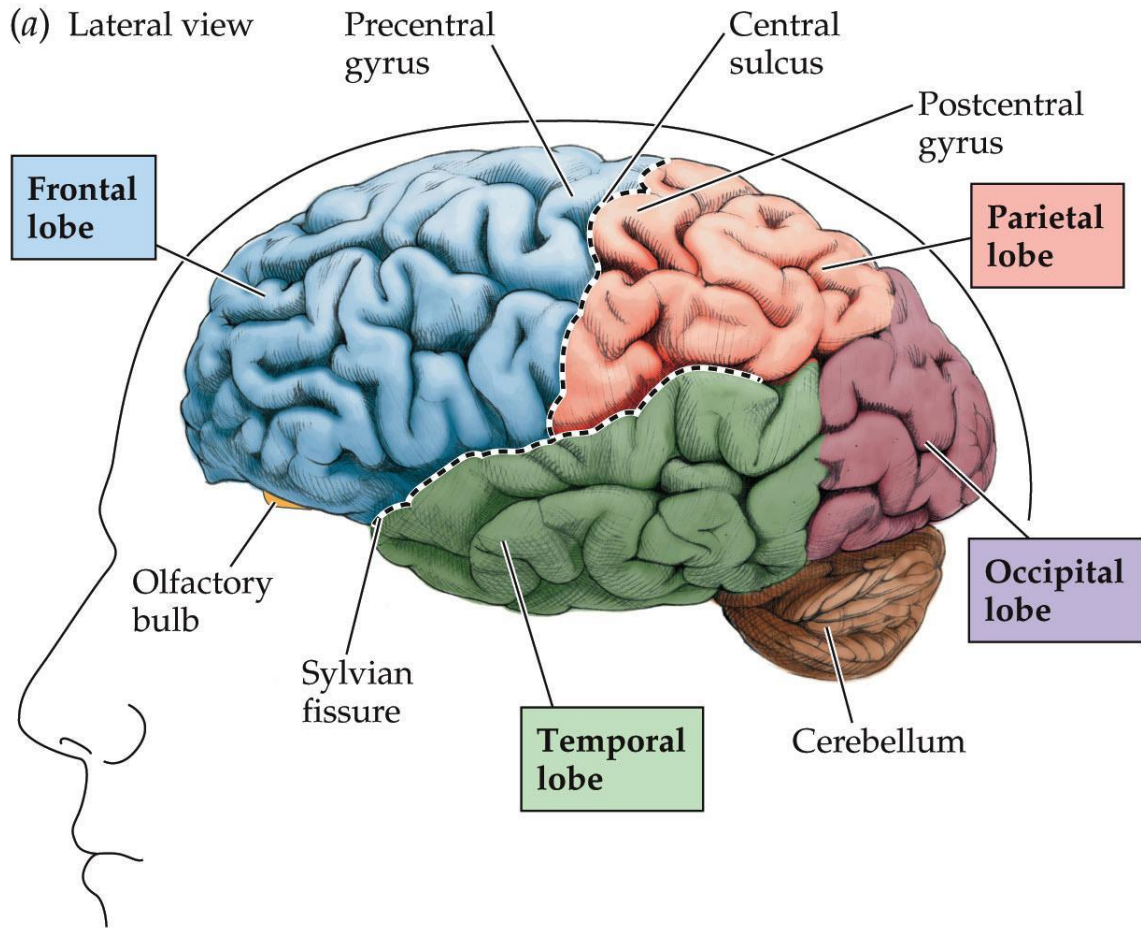


- 100 billion neurons, 32 trillion synapses
- Element size:  $10^{-6}$  m
- Energy use: 25W
- Processing speed: 100 Hz
- Parallel, Distributed
- Fault Tolerant
- Learns: Yes
- Intelligent/Conscious: Usually



- 1 billion bytes RAM but trillions of bytes on disk
- Element size:  $10^{-9}$  m
- Energy watt: 30-90W (CPU)
- Processing speed:  $10^9$  Hz
- Serial, Centralized
- Generally not Fault Tolerant
- Learns: Some
- Intelligent/Conscious: Generally No

# Human brain



Biological Psychology 6e, Figure 2.12 (Part 1)

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# Growth of Neural Density in a Human Brain



Newborn



1 Month



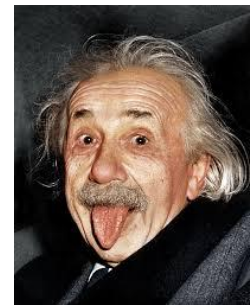
9 Months



2 Years



Adult



<http://www.urbanchildinstitute.org/why-0-3/baby-and-brain>

# Biological Neuron

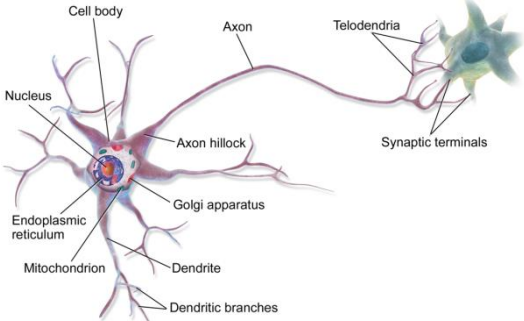
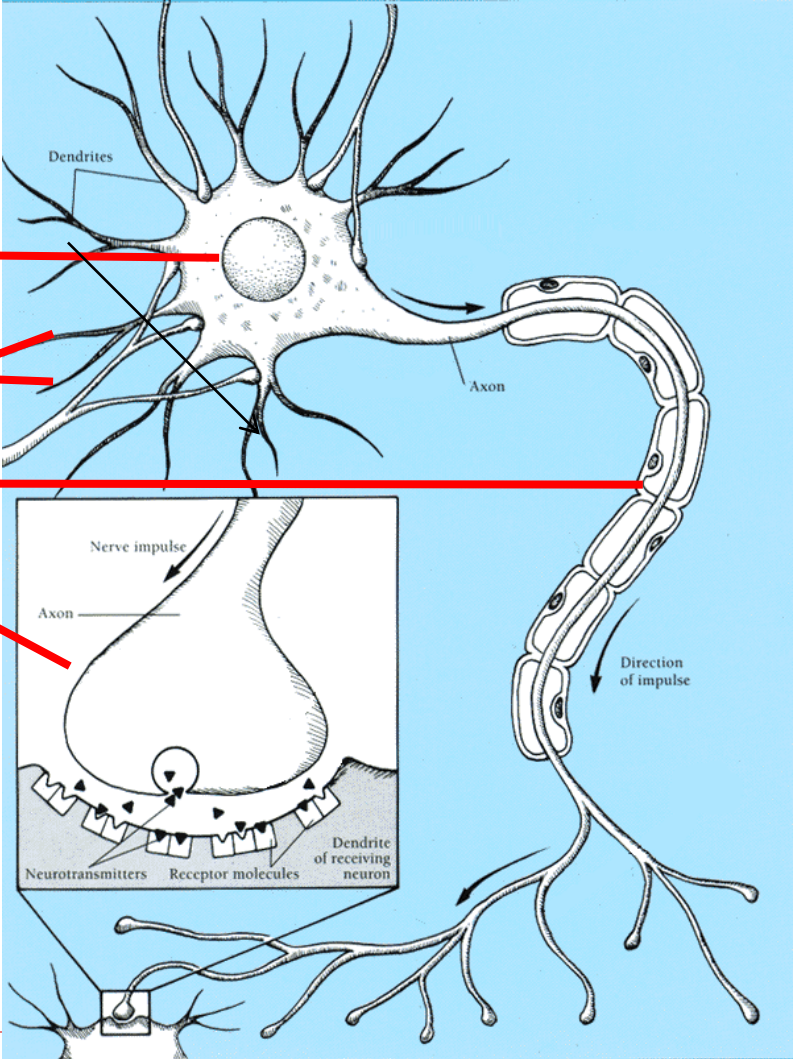
## Cell structures

Cell body

Dendrites

Axon

Synaptic terminals



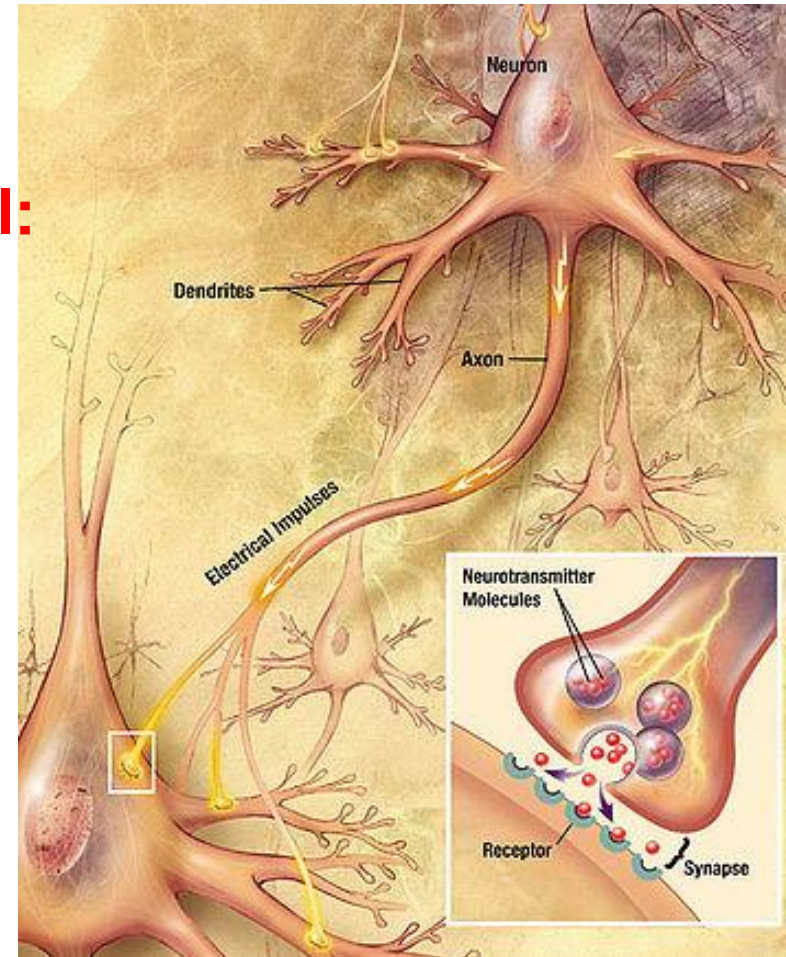


# Inter-Neural Communication

Communication is **Electrochemical**:

Electrical (via ions)  
along axons

Chemical (via molecules)  
across synapse

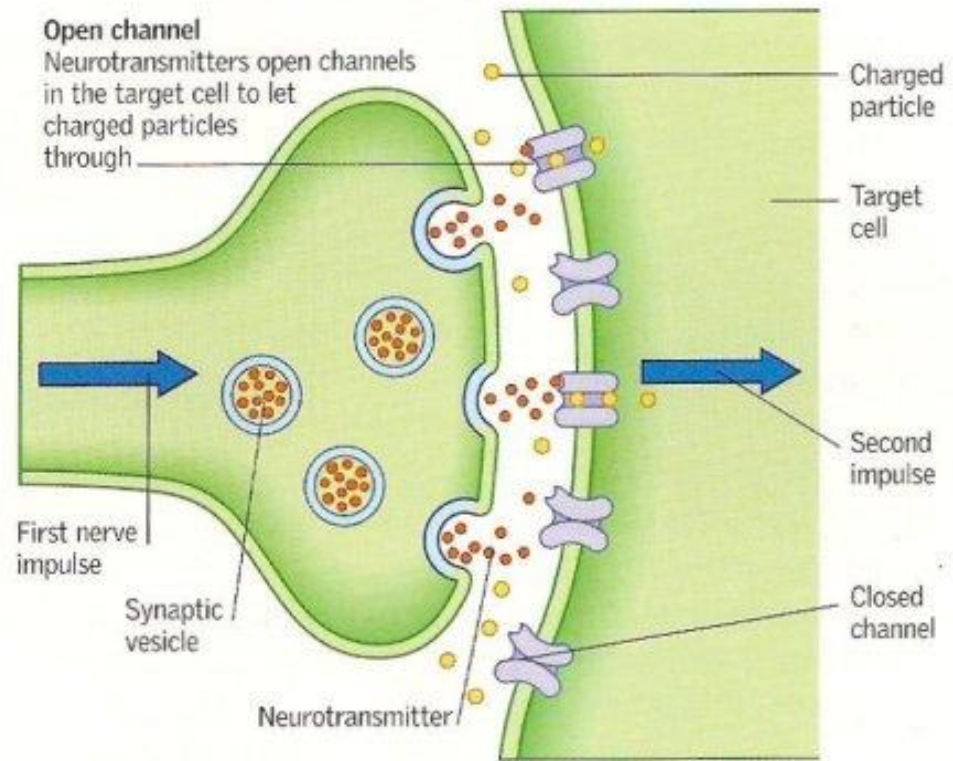


<http://en.wikipedia.org/wiki/Neurons>

# Inter-Neural Communication

The arrival of a nerve impulse stimulates the release of neurotransmitters from vesicles. They pass across the synapse and open channels in the target cell.

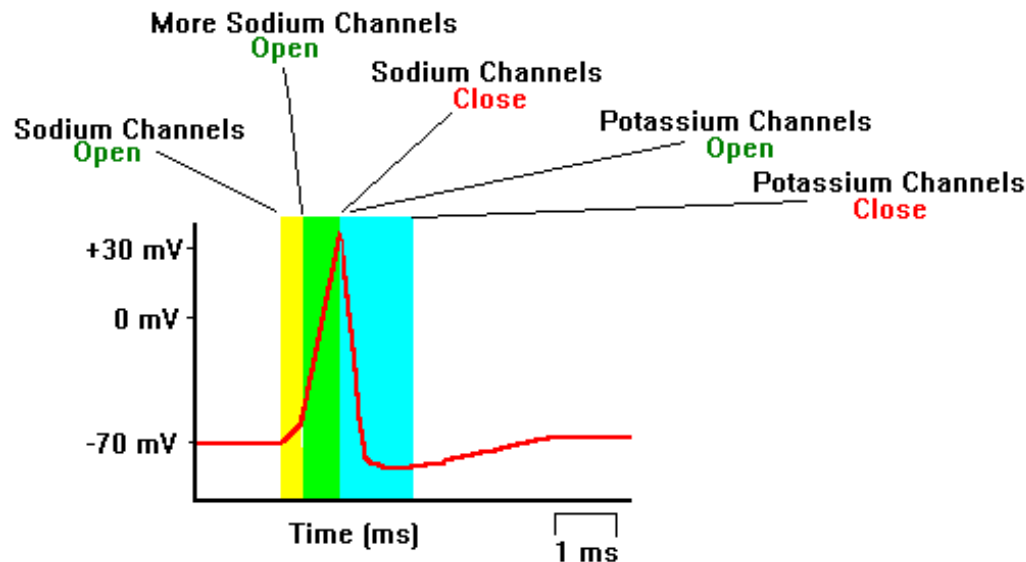
**Charged particles can then enter** and trigger a second impulse.



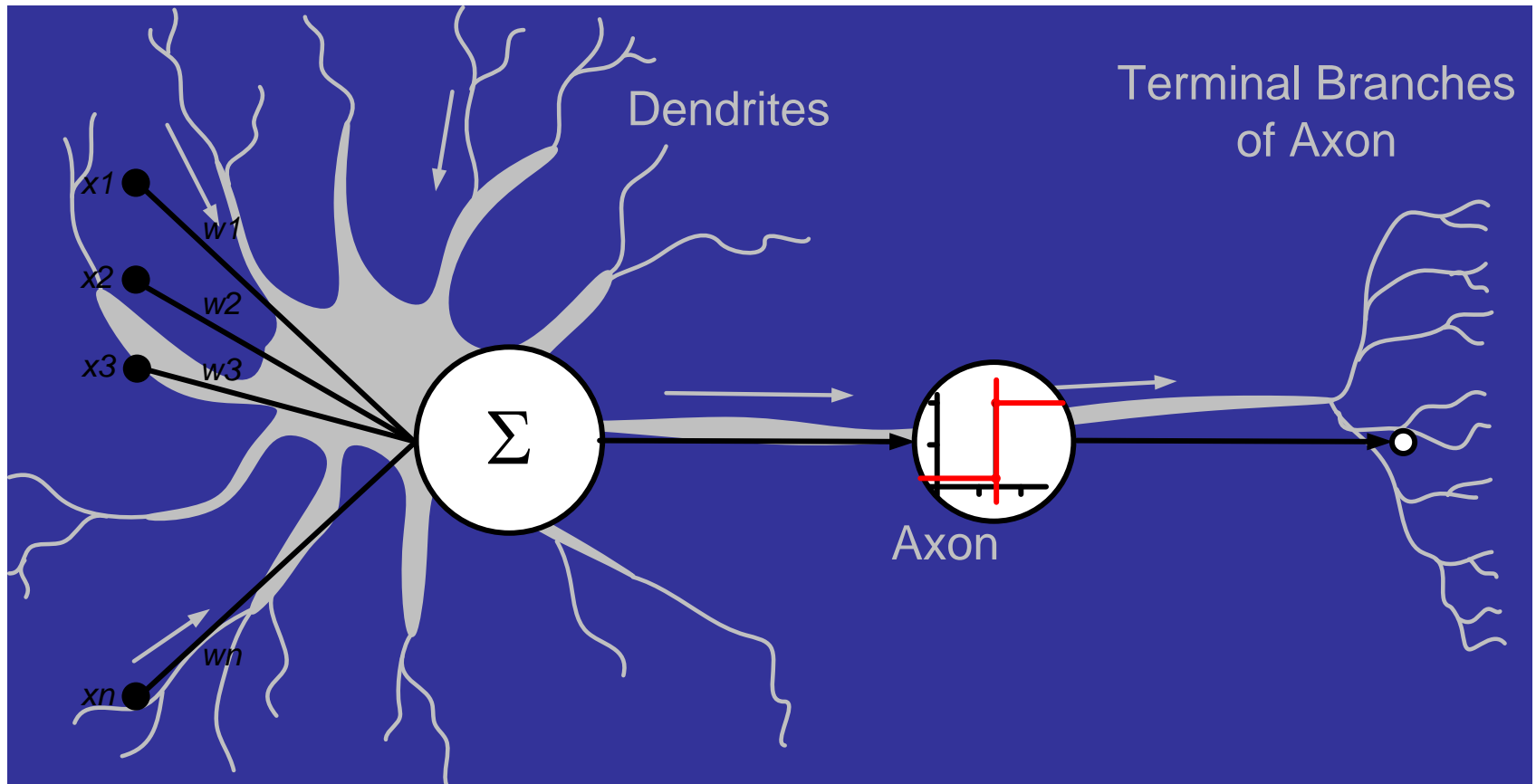
<http://www.daviddarling.info/encyclopedia/N/neurotransmitter.html>

# Action potential

A neuron receives input from other neurons (typically many thousands). Inputs sum (approximately). Once input exceeds a critical level, the neuron discharges a spike - an electrical pulse that travels from the body, down the axon, to the next neuron(s) (or other receptors)

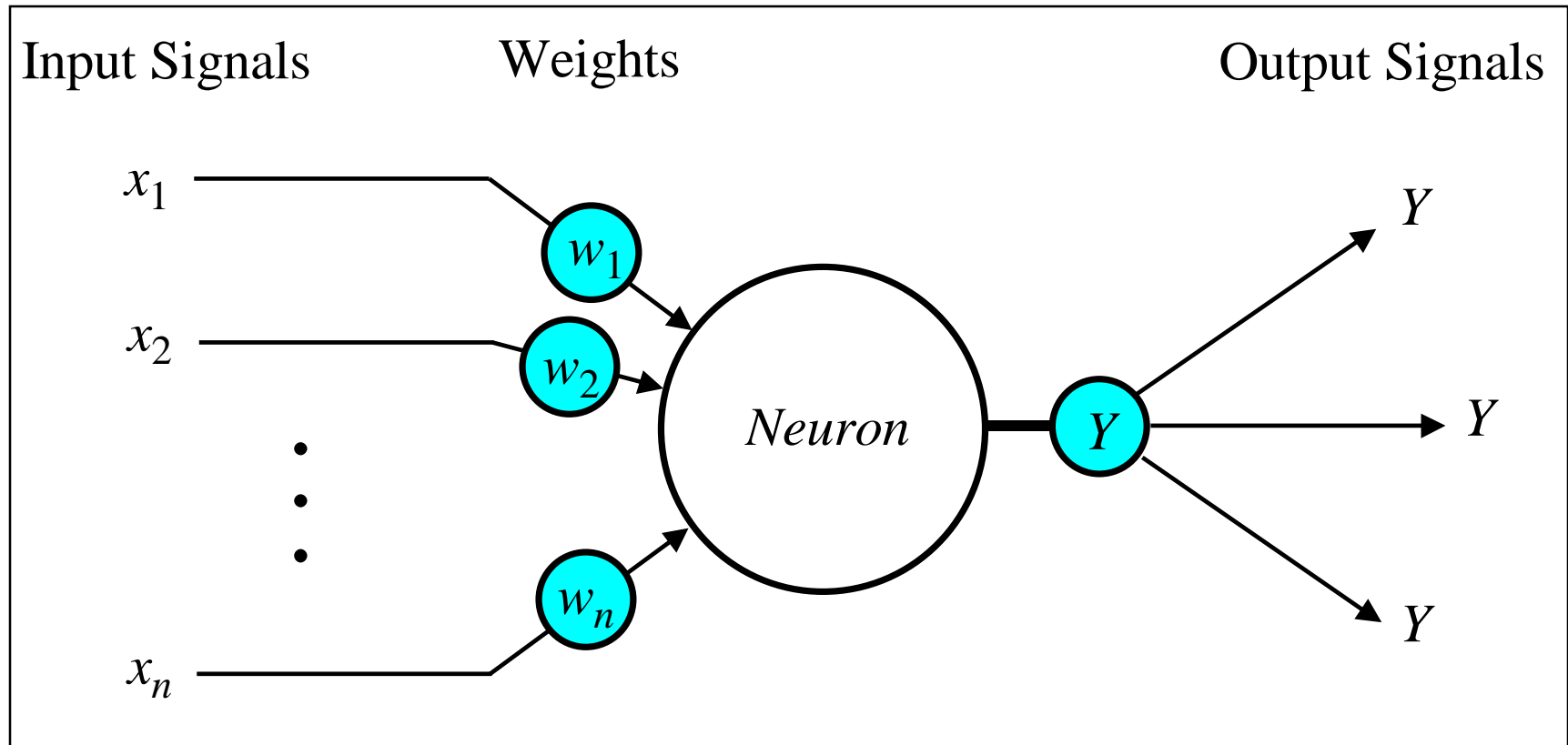


# Models of A Neuron



# The neuron as a simple computing element

## Diagram of a neuron



# Models of A Neuron

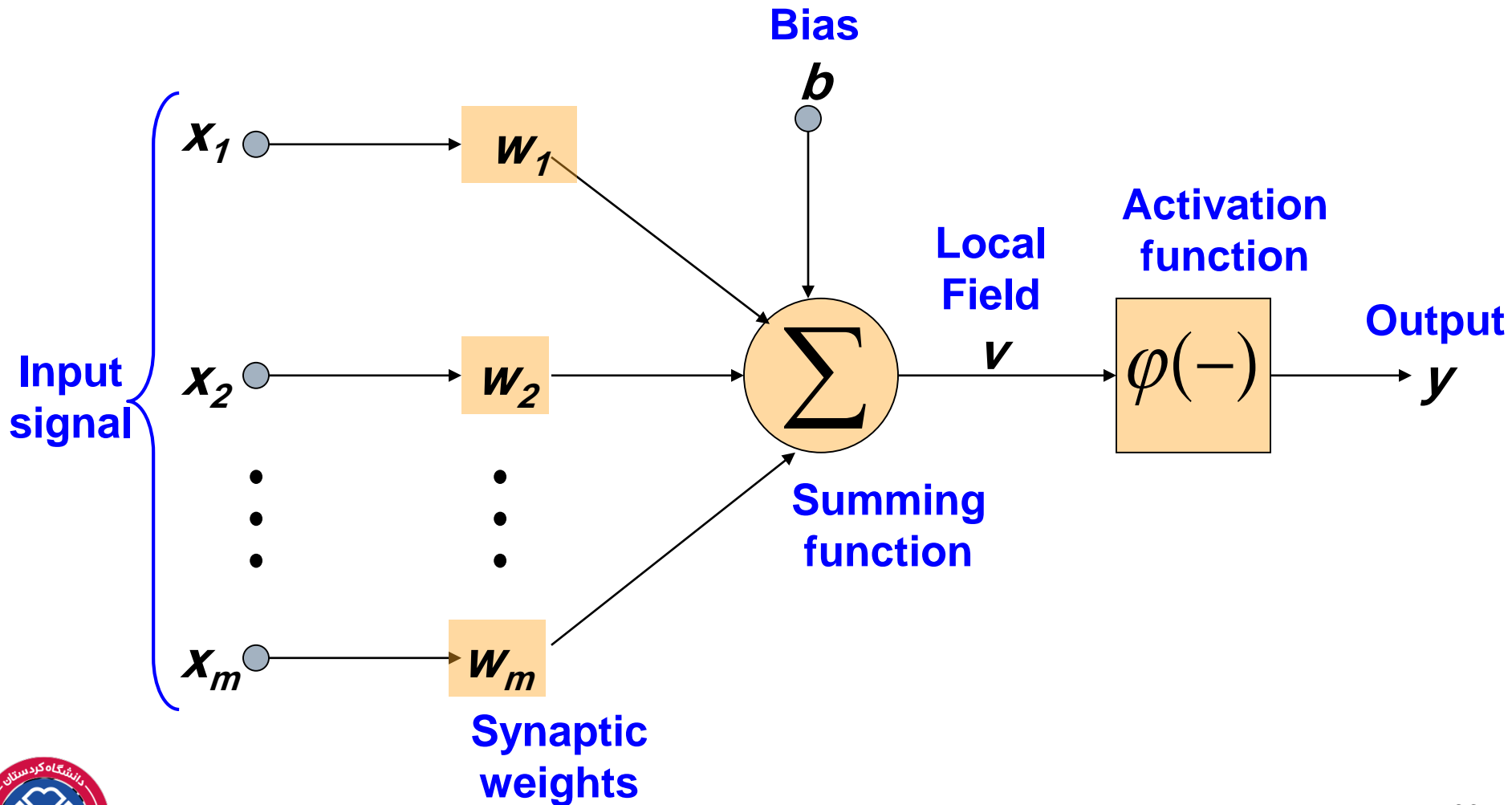
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## Three elements:

- 1. A set of synapses, or connection link:** each of which is characterized by a *weight* or strength of its own  $w_{kj}$ . Specifically, a signal  $x_j$  at the input synapse 'j' connected to neuron 'k' is multiplied by the synaptic  $w_{kj}$
- 2. An adder:** For summing the input signals, weighted by respective synaptic strengths of the neuron in a linear operation.
- 3. Activation function:** For limiting of the amplitude of the output of the neuron to limited range. The activation function is referred to as a Squashing (i.e. limiting) function {interval  $[0,1]$ , or, alternatively  $[-1,1]$ }



# The Neuron



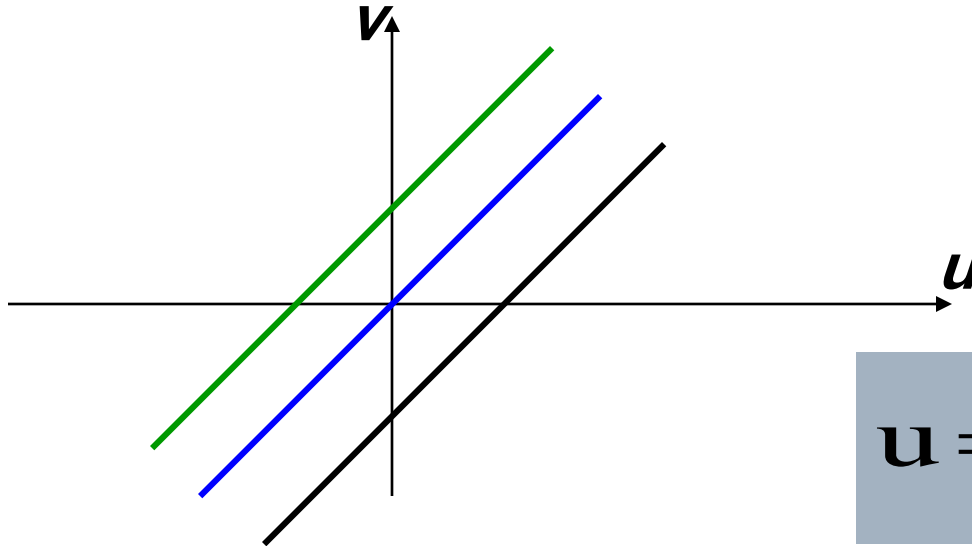


# Bias of a Neuron

- Bias  $b$  has the effect of applying an **affine transformation** to  $u$

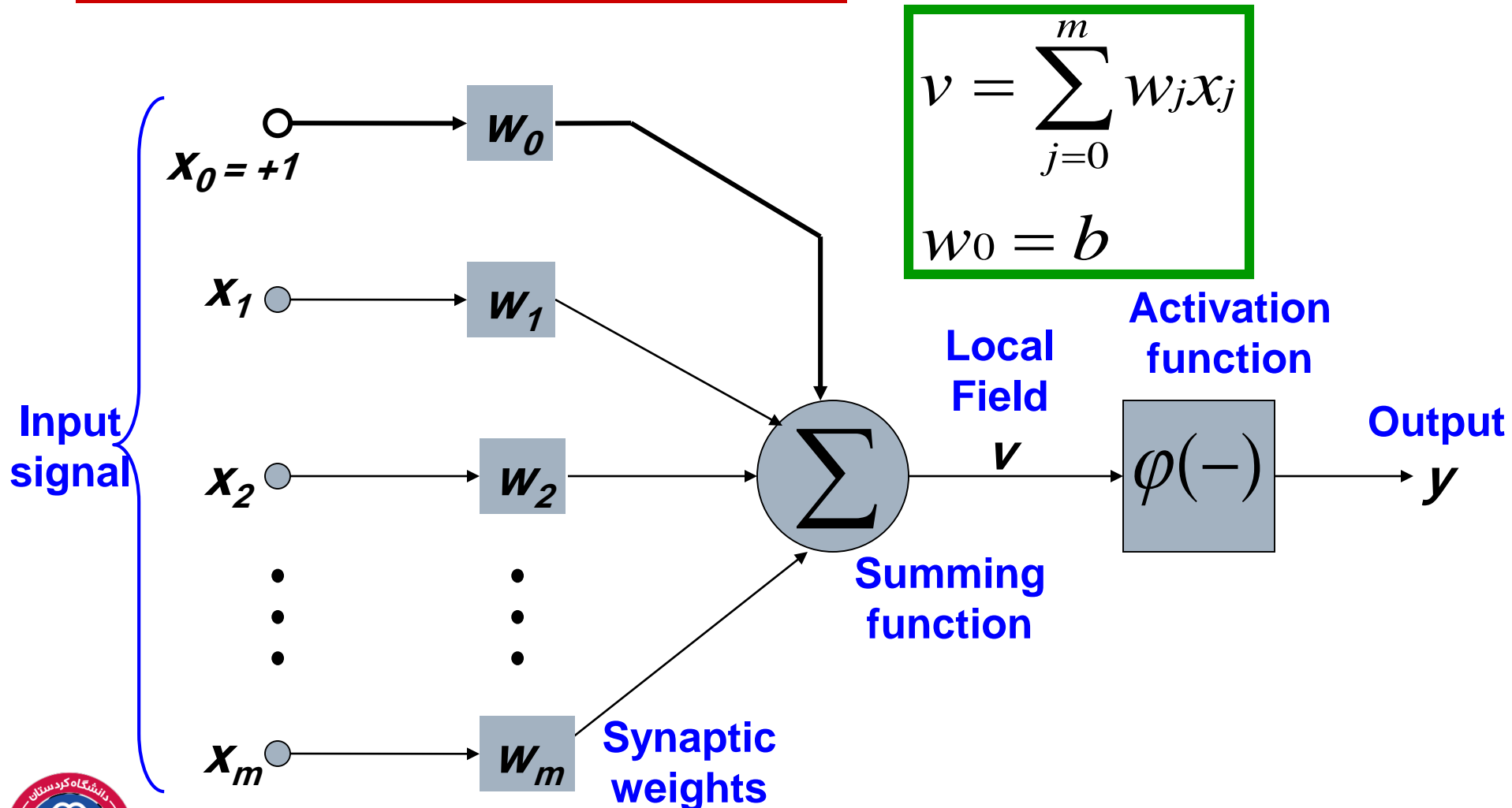
$$v = u + b$$

- $v$  is the **induced field** of the neuron



$$u = \sum_{j=1}^m w_j x_j$$

# Bias as extra input



# The Neuron

- The neuron is the basic information processing unit of a NN. It consists of:
  - 1 A set of **synapses** or **connecting links**, each link characterized by a **weight**:

$$W_1, W_2, \dots, W_m$$

- 2 An **adder** function (linear combiner) which computes the weighted sum of the inputs:

$$\mathbf{u} = \sum_{j=1}^m \mathbf{w}_j \mathbf{X}_j$$

- 3 **Activation function** (squashing function)  $\varphi$  for limiting the amplitude of the output of the neuron.

$$y = \varphi(\mathbf{u} + b)$$



# Dimensions of a Neural Network

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- Various types of **neurons**
- Various network **architectures**
- Various **learning algorithms**
- Various **activation functions**
- Various **applications**



# Network architectures

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- Three different classes of network architectures
  - single-layer feed-forward
  - multi-layer feed-forward
  - recurrent

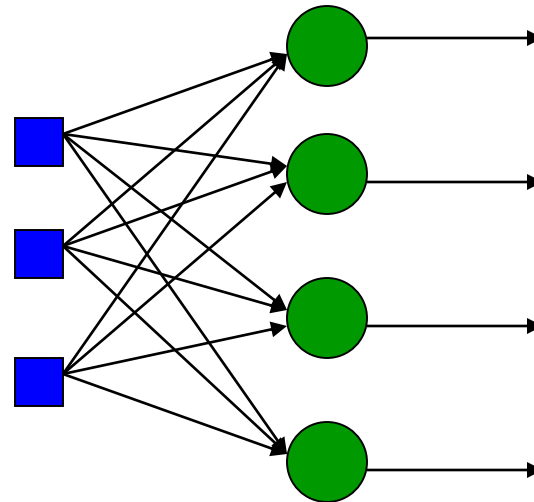
} neurons are organized in acyclic layers
- The **architecture** of a neural network is linked with the learning algorithm used to train



# Single Layer Feed-forward

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*Input layer  
of  
source nodes*



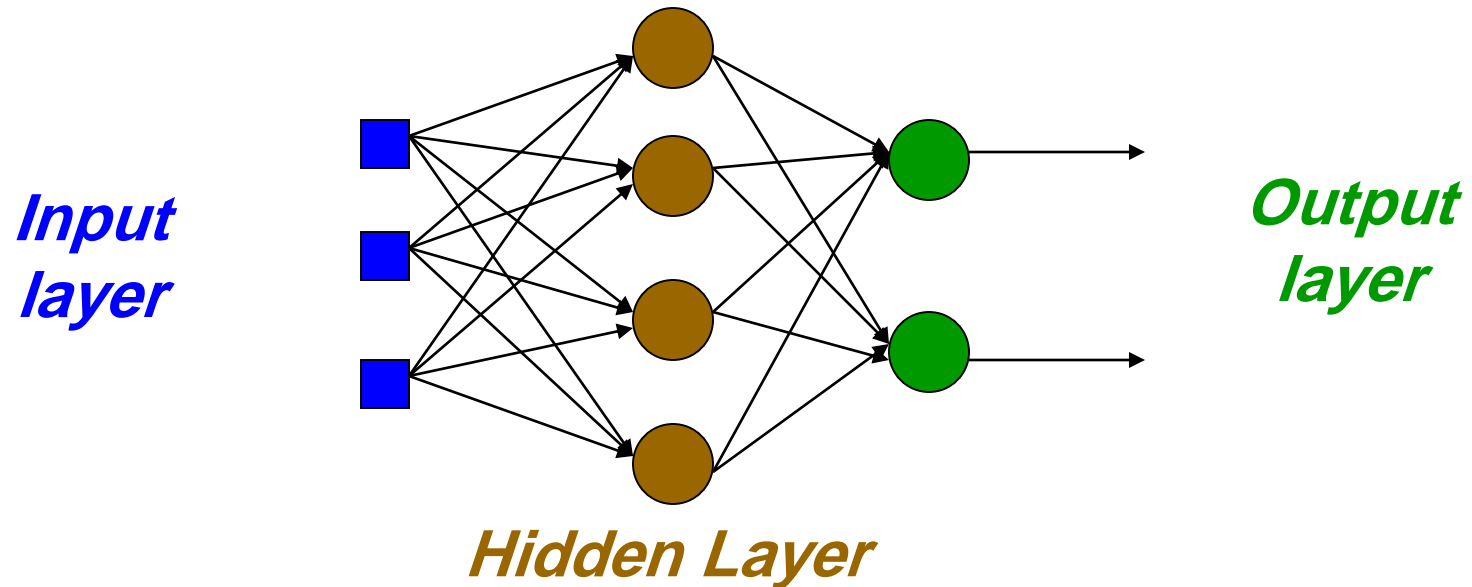
*Output layer  
of  
neurons*

- Input layer of source nodes that projects directly onto an output layer of neurons.
- “Single-layer” referring to the output layer of computation nodes (neuron).



# Multi layer feed-forward

## 3-4-2 Network

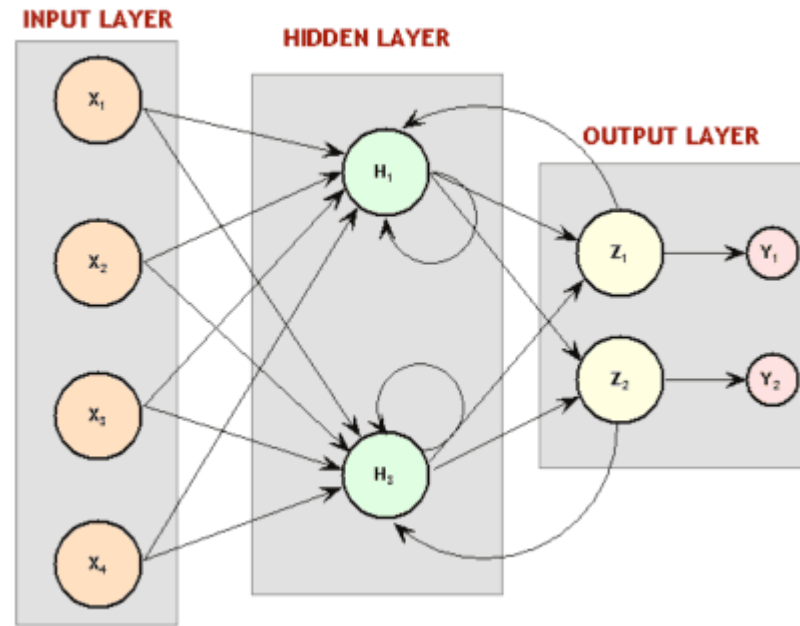
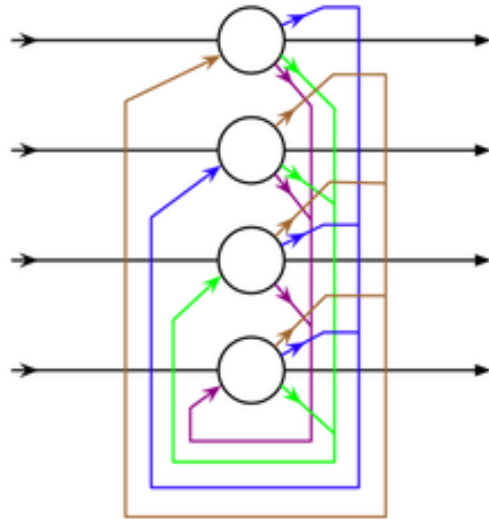


It contains one or more hidden layers (hidden neurons).

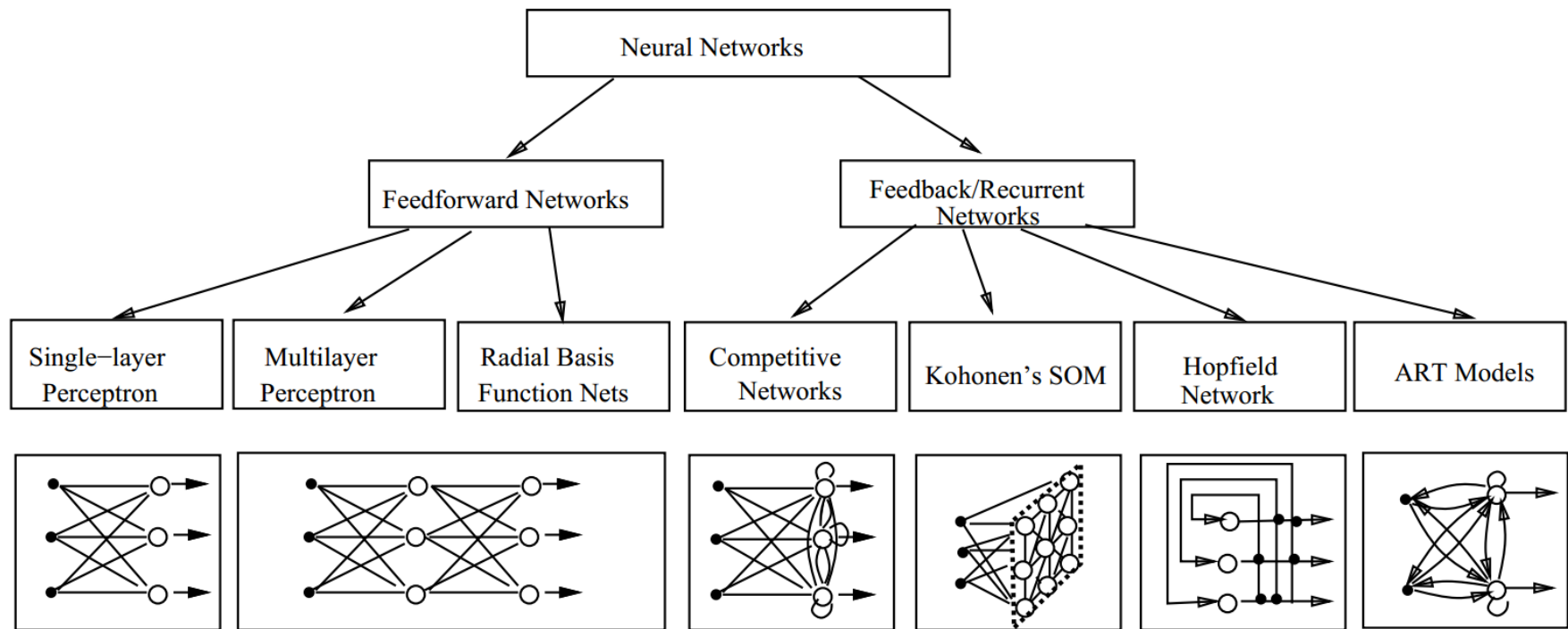
The function of hidden neuron is to intervene between input and output.

By adding one or more hidden layers, the network is able to extract higher-order statistics from input

# Recurrent network



- It is different from feed forward neural network in that it has at least one feedback loop.
- Recurrent network may consist of single layer of neuron with each neuron feeding its output signal back to the inputs of all the other neurons. **Note:** There are no self-feedback.
- Feedback loops have a profound impact on learning and overall performance.



# Learning

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## ➤ Supervised Learning

- Recognizing hand-written digits, **Classification, pattern recognition, regression.**
- **Labeled examples**  
(input , desired output)
- Neural Network models: perceptron, feed-forward, radial basis function, support vector machine.

## ➤ Unsupervised Learning

- Find similar groups of documents in the web, **clustering,**
- **Unlabeled examples**  
(different realizations of the input alone)
- Neural Network models: self organizing maps, Hopfield networks.



# Learning Paradigms

## Supervised Learning

- Multilayer perceptrons
- Radial basis function networks
- Modular neural networks
- LVQ (learning vector quantization)

## Unsupervised Learning

- Competitive learning networks
- Kohonen self-organizing networks
- ART (adaptive resonant theory)

## Others

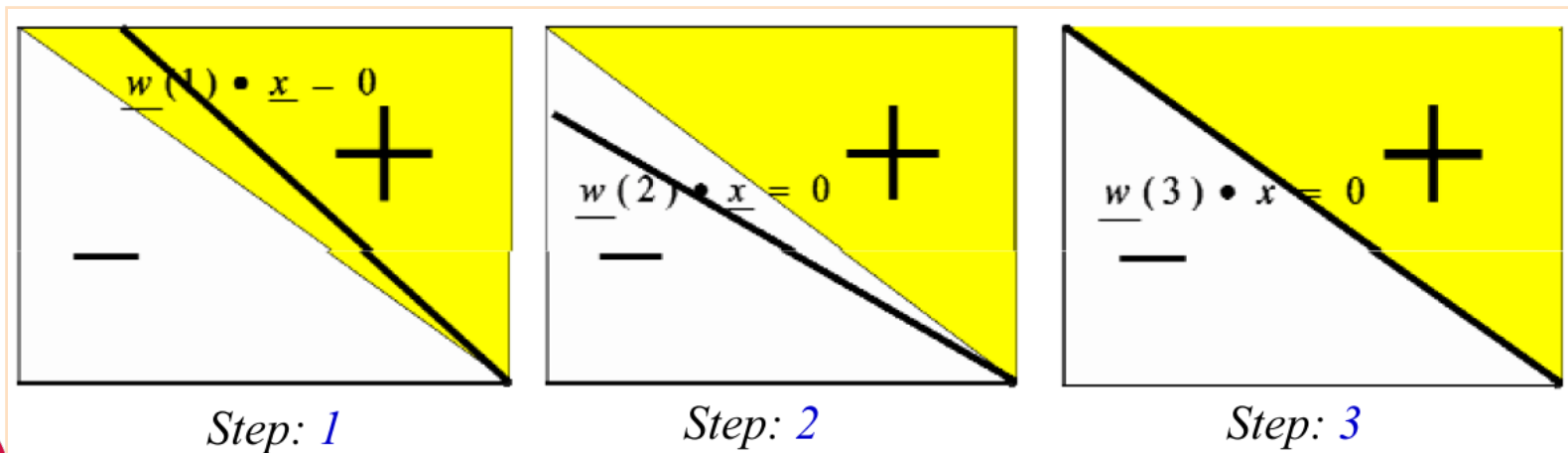
- Autoassociative memories (Hopfield networks)



# Learning Algorithm

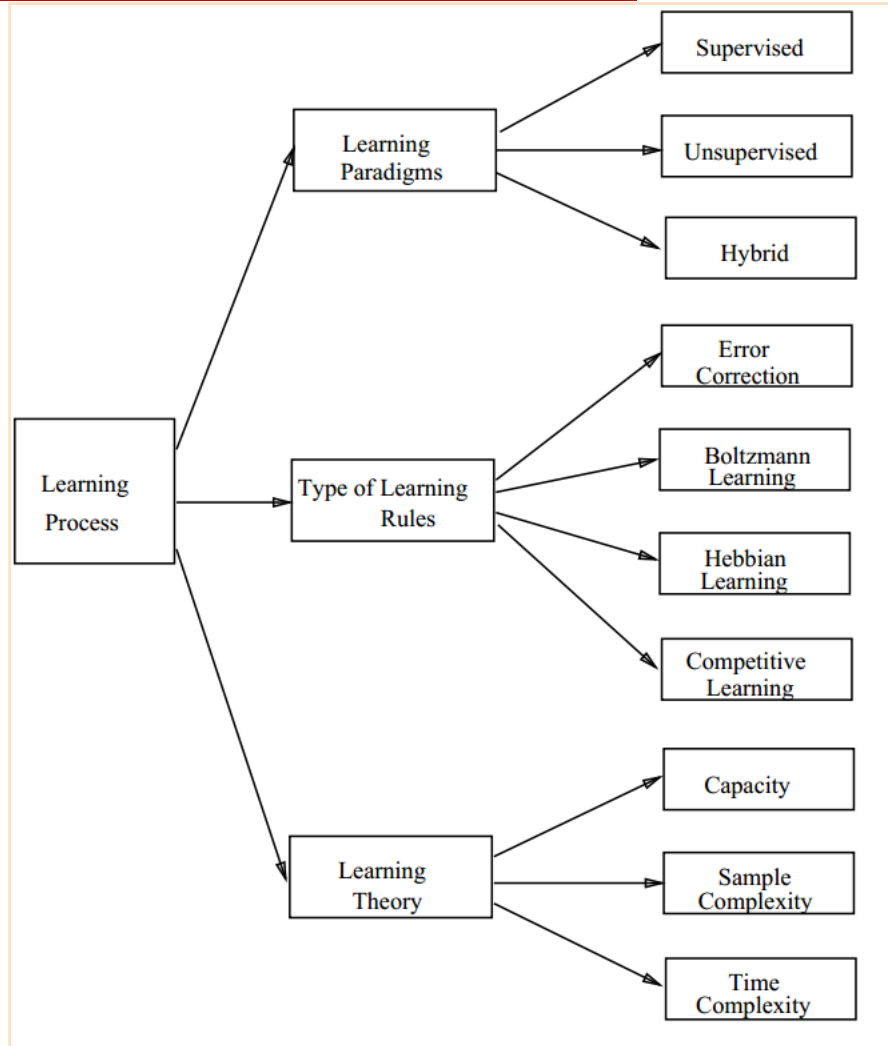
What is the goal of learning algorithm?

We need a learning algorithm which it updates the weights  $w_i$  ( $w$ ) so that finally (at end of learning process) the input patterns lie on both sides of the line decided by the Perceptron.

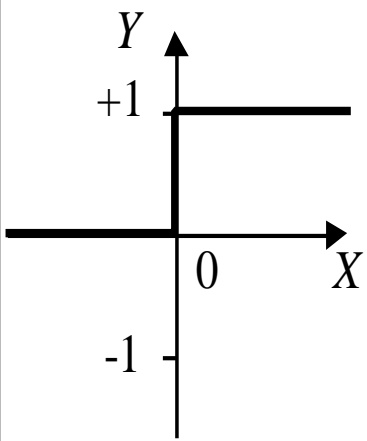
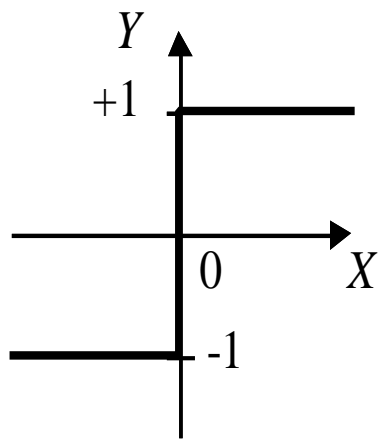
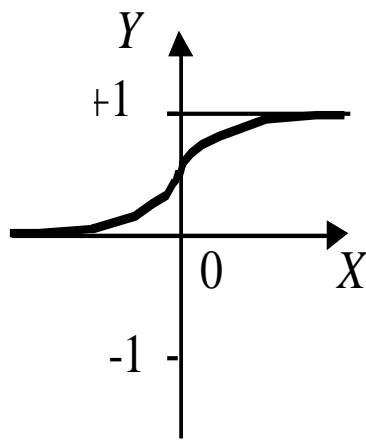
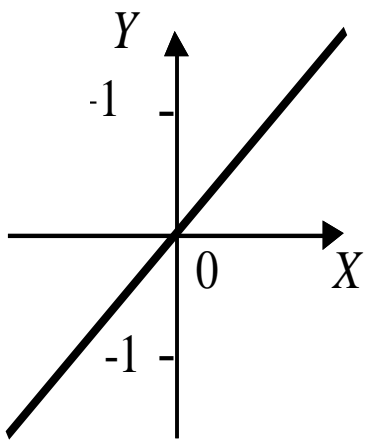




# Learning



# Activation functions of a neuron

<i>Step function</i>	<i>Sign function</i>	<i>Sigmoid function</i>	<i>Linear function</i>
			
$Y^{step} = \begin{cases} 1, & \text{if } X \geq 0 \\ 0, & \text{if } X < 0 \end{cases}$	$Y^{sign} = \begin{cases} +1, & \text{if } X \geq 0 \\ -1, & \text{if } X < 0 \end{cases}$	$Y^{sigmoid} = \frac{1}{1 + e^{-X}}$	$Y^{linear} = X$

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# History



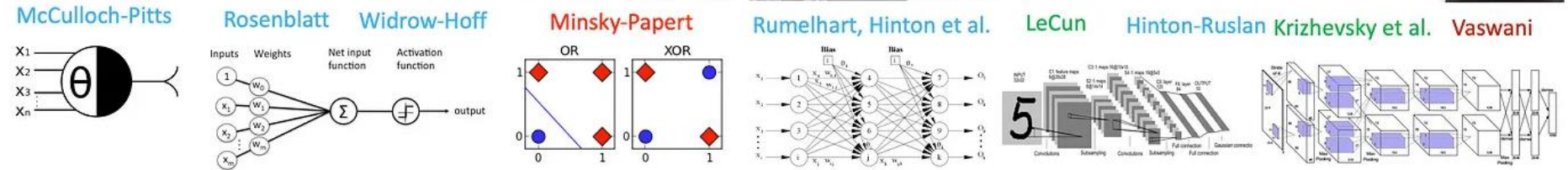
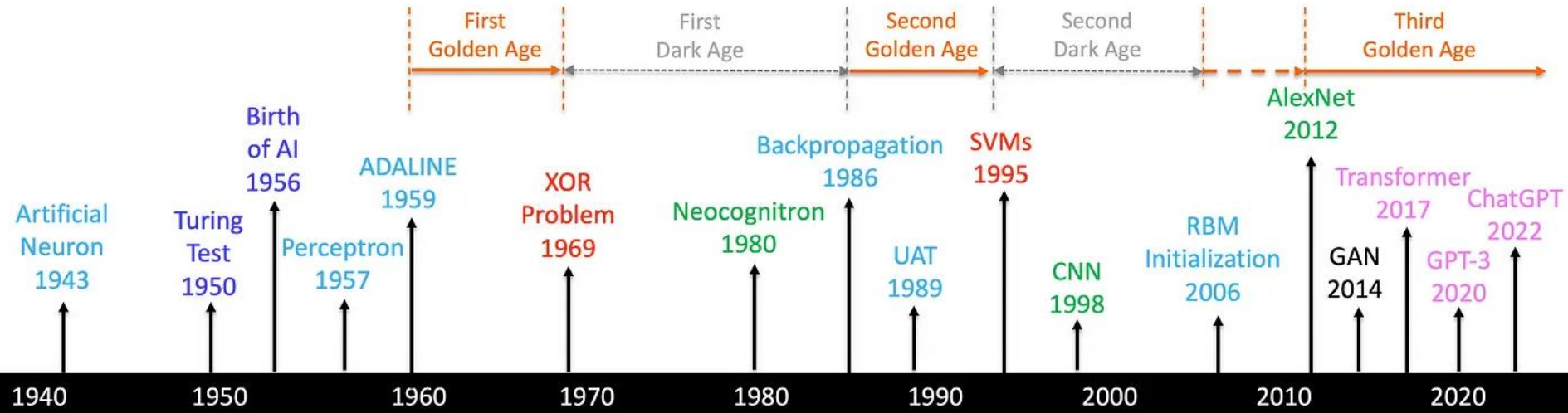
# A Brief History

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- **1943** McCulloch and Pitts proposed the McCulloch-Pitts neuron model
- **1949** Hebb published his book *The Organization of Behavior*, in which the Hebbian learning rule was proposed.
- **1958** Rosenblatt introduced the simple single layer networks now called Perceptrons.
- **1969** Minsky and Papert's book *Perceptrons* demonstrated the limitation of single layer perceptrons, and almost the whole field went into hibernation.
- **1982** Hopfield published a series of papers on Hopfield networks.
- **1982** Kohonen developed the Self-Organizing Maps that now bear his name.
- **1986** The Back-Propagation learning algorithm for Multi-Layer Perceptrons was re-discovered and the whole field took off again.
- **1990s** The sub-field of Radial Basis Function Networks was developed.
- **2000s** The power of Ensembles of Neural Networks and Support Vector Machines becomes apparent.



# A Brief History



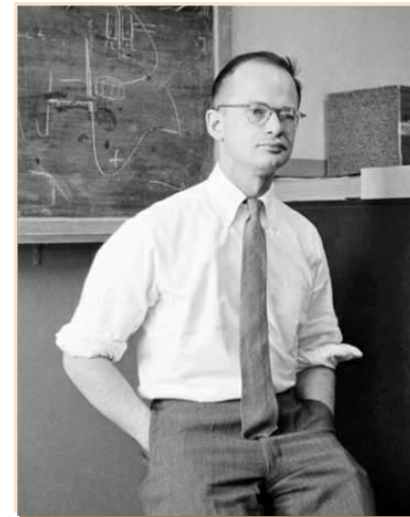
# A Brief History

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**1943 McCulloch and Pitts proposed the McCulloch-Pitts neuron model**



Warren S. McCulloch  
(Nov., 16, 1898 – Sep., 24, 1969)



Walter Pitts (1923-1969)

W. McCulloch and W. Pitts, 1943 "A Logical Calculus of the Ideas Immanent in Nervous Activity". In :Bulletin of Mathematical Biophysics Vol 5, pp 115-133 .



# A Brief History

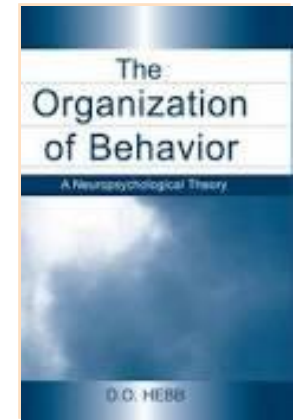
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1949 Hebb published his book *The Organization of Behavior*, in which the Hebbian learning rule was proposed.



**Donald Olding Hebb**  
(July 22, 1904 – August 20, 1985)

cells that fire together, wire together



# A Brief History

1958 Rosenblatt introduced the simple single layer networks now called Perceptrons.

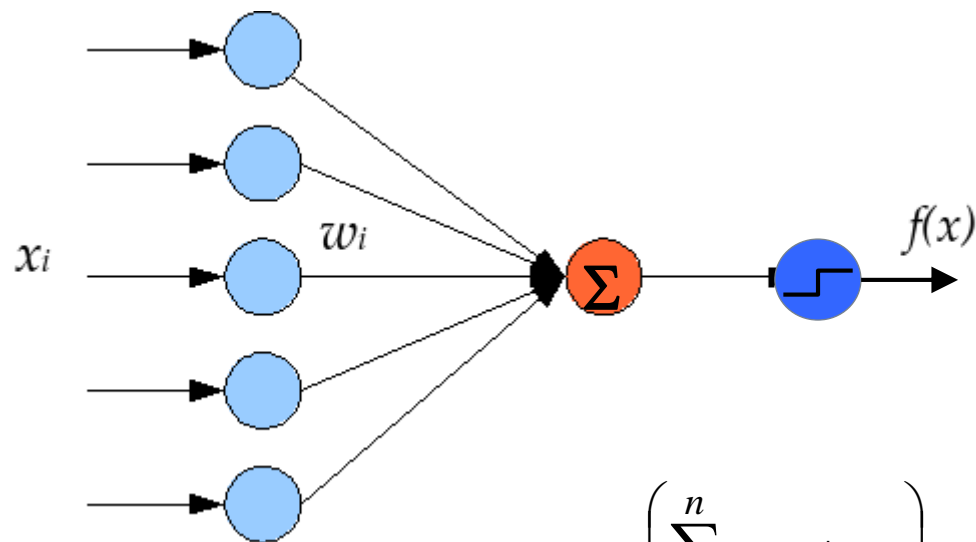
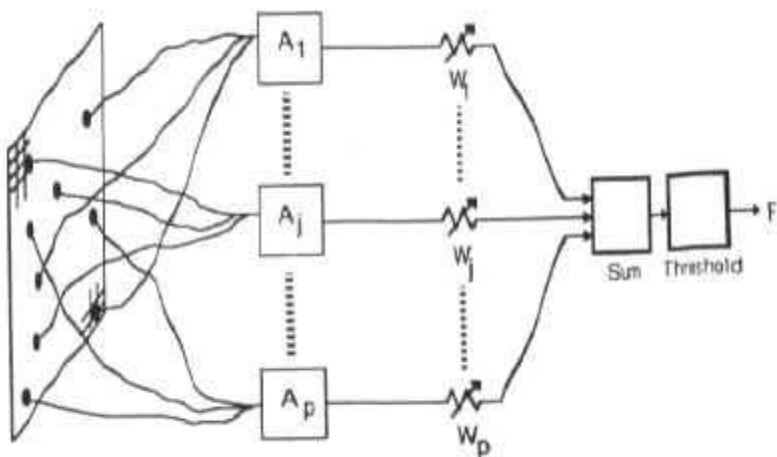


Frank Rosenblatt  
(11 July 1928 – 1971)



# A Brief History

1958 Rosenblatt introduced the simple single layer networks now called Perceptrons.

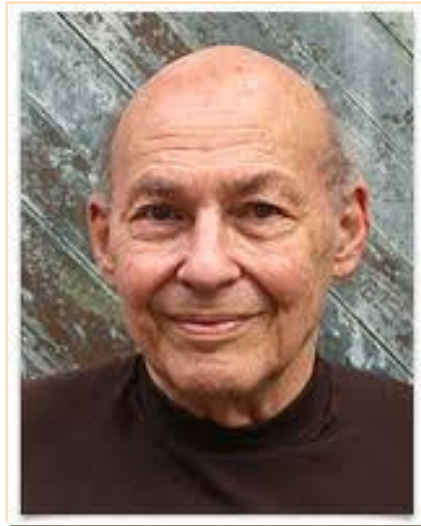


$$y = \text{sgn} \left( \sum_{i=1}^n w_i x_i + w_0 \right)$$

# A Brief History

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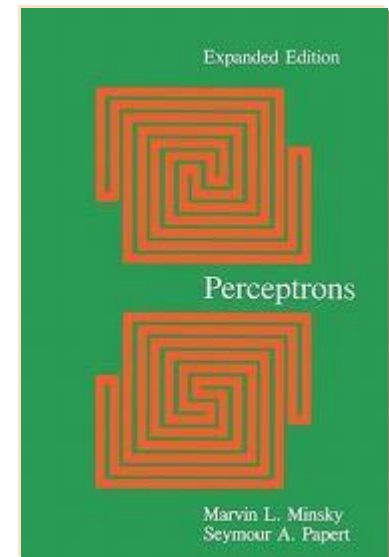
1969 Minsky and Papert's book *Perceptrons* demonstrated the limitation of single layer perceptrons, and almost the whole field went into **hibernation**.



**Marvin Minsky**  
(born August 9, 1927)

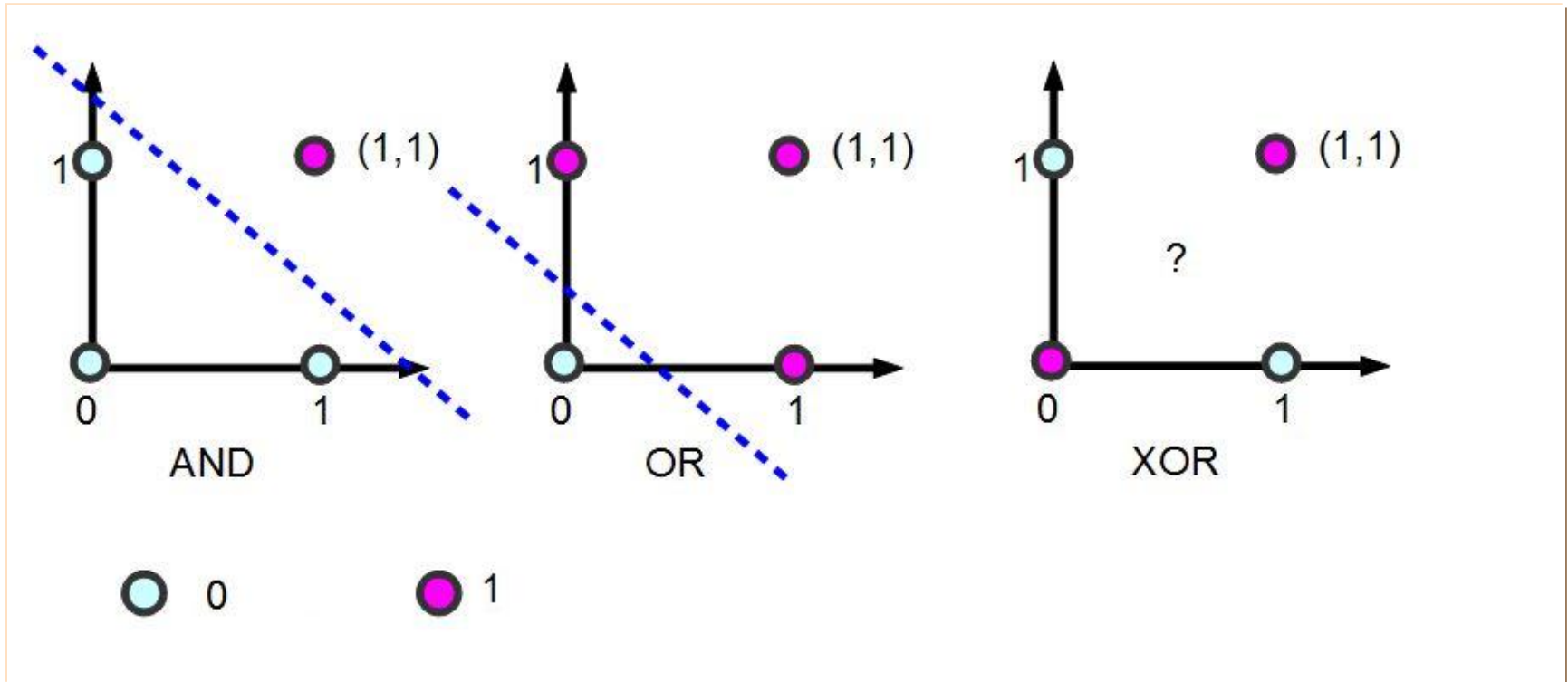


**Seymour Papert**  
(born February 29, 1928)



# A Brief History

## limitation of single layer perceptrons



**A typical example of non-linearly separable function is the XOR**

# History- The setback (mid 60's – late 70's)

---

## Serious problems with perceptron model (Minsky's book 1969)

- Single layer perceptron cannot represent (learn) simple functions such as **XOR**
- Multi-layer of non-linear units may have greater power but there is no learning rule for such nets
- Scaling problem: connection weights may grow infinitely

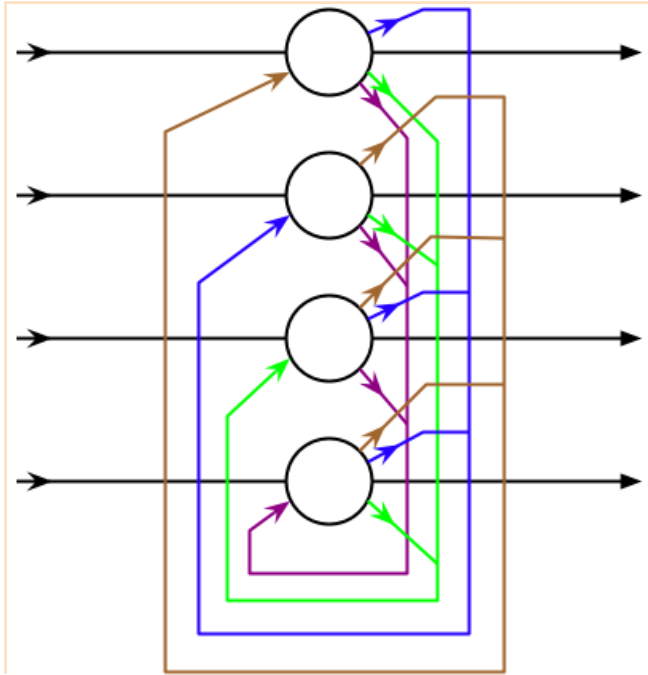
**The first two problems overcame by latter effort in 80's, but the scaling problem persists**



# A Brief History

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1982 Hopfield published a series of papers on Hopfield networks.



**John Joseph Hopfield**  
(born July 15, 1933)

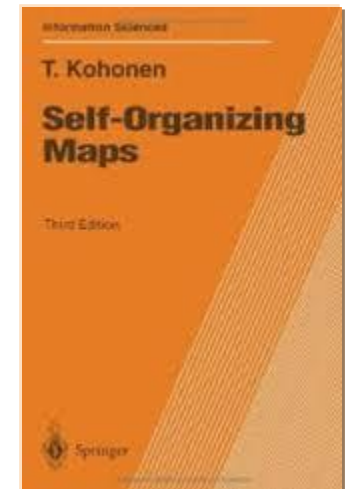
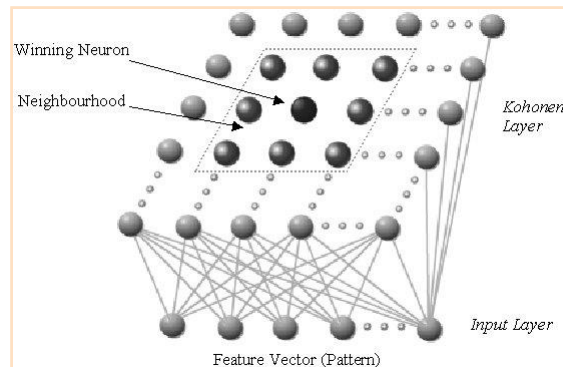


# A Brief History

1982 Kohonen developed the Self-Organizing Maps that now bear his name.

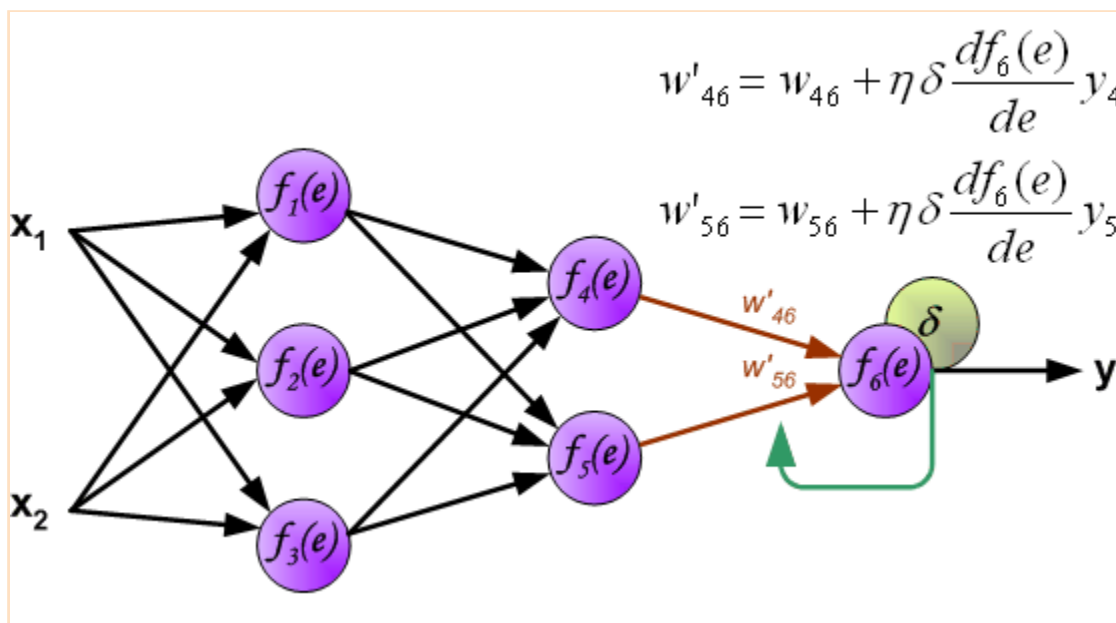


**Teuvo Kohonen**  
(born July 11, 1934)



# A Brief History

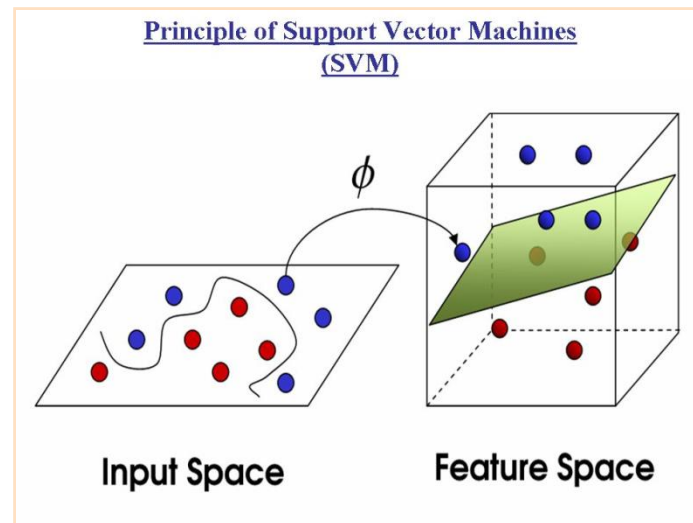
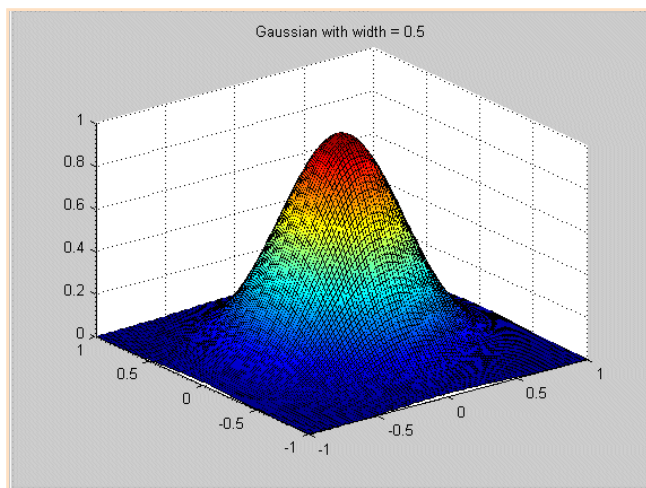
1986 The Back-Propagation learning algorithm for Multi-Layer Perceptrons was rediscovered and the whole field took off again.



# A Brief History

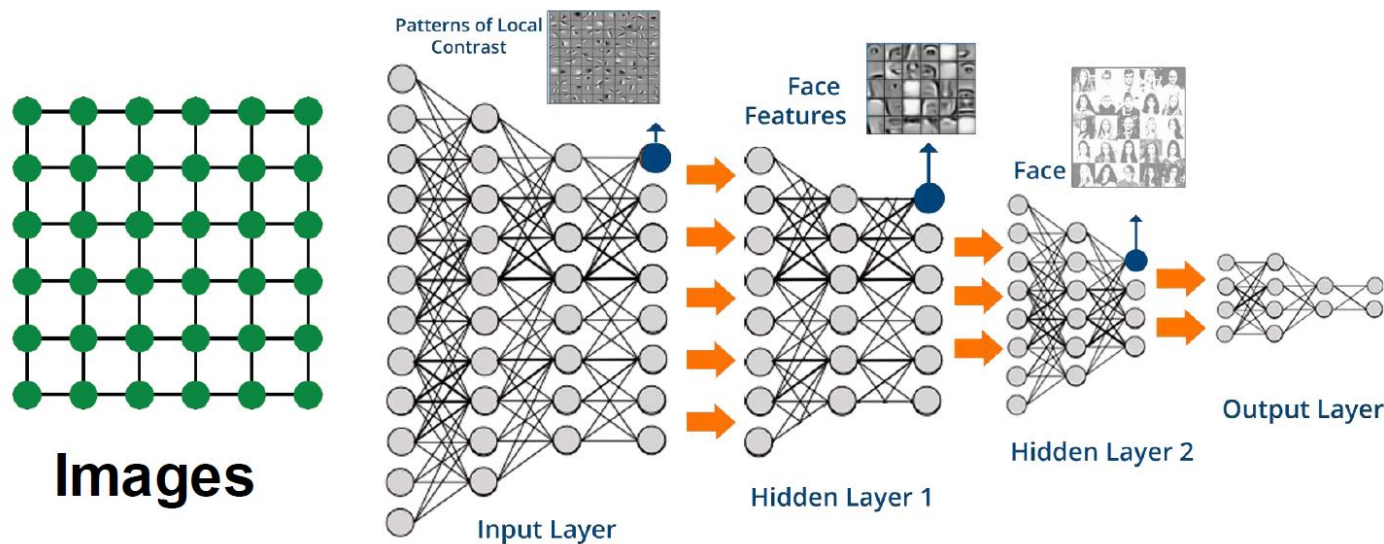
1990s The sub-field of Radial Basis Function Networks was developed.

2000s The power of Ensembles of Neural Networks and Support Vector Machines becomes apparent.

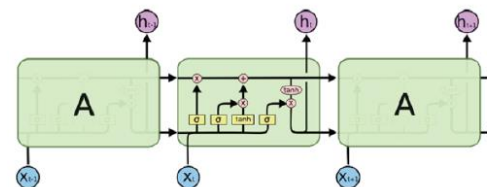
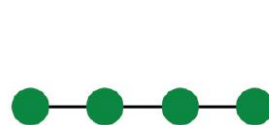


# A Brief History

2009- now deep learning



**CNN**



**RNN**

# A Brief History

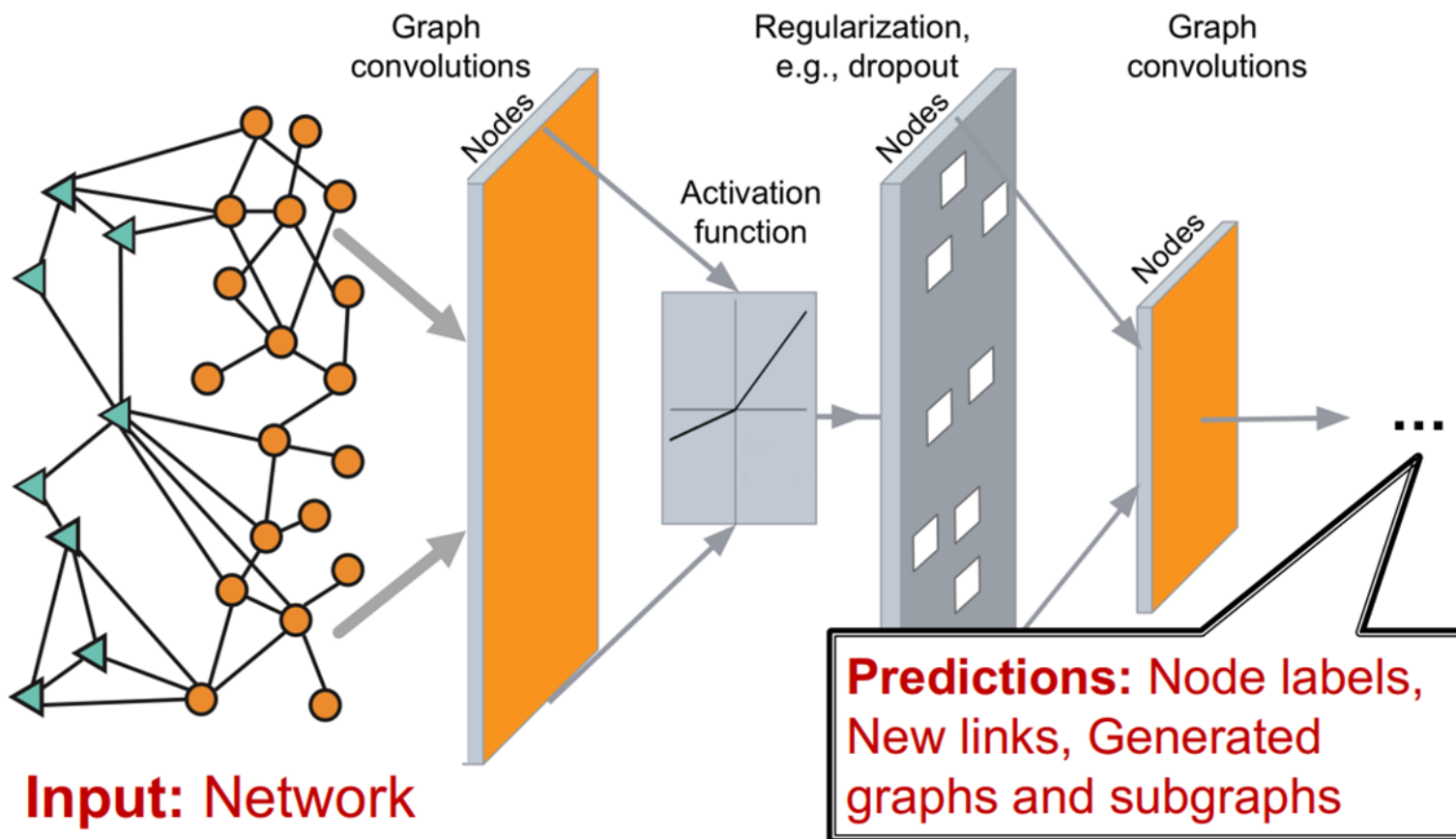
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- 2012: [AlexNet](#) by [Alex Krizhevsky](#), [Ilya Sutskever](#), and [Geoffrey Hinton](#)
- 2014: Generative adversarial network (**GAN**) by (Ian Goodfellow et al.)
- 2017: Transformer
- 2020: GPT-3
- 2022: ChatGPT



# A Brief History

## Graph Neural Networks



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# Applications





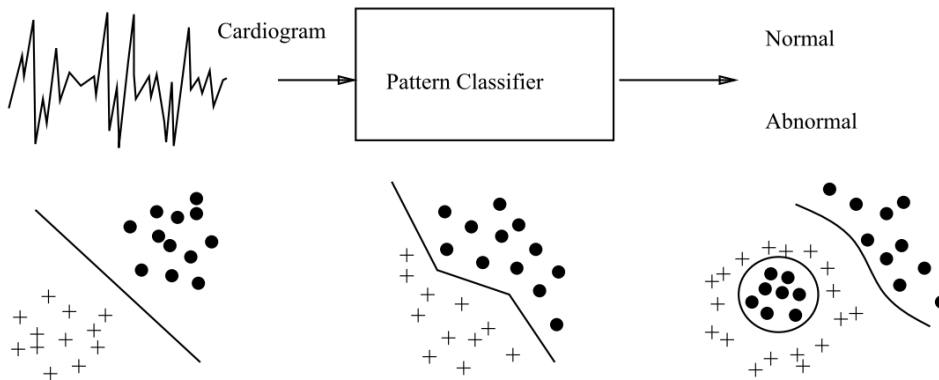
# What can a ANN do?

---

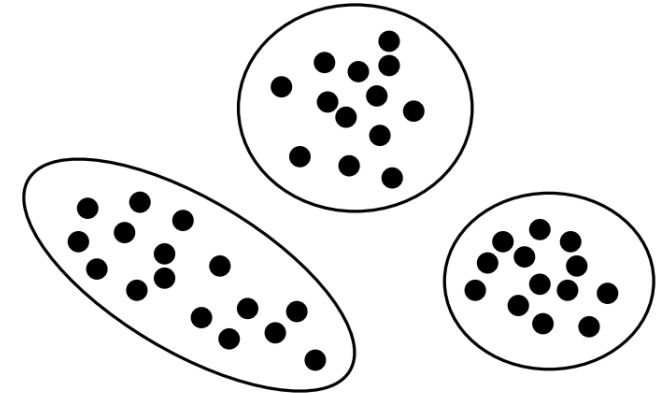
- ✦ Pattern Classification
- ✦ Clustering
- ✦ Compute a known function
- ✦ Approximate an unknown function
- ✦ Pattern Recognition
- ✦ Signal Processing
- ✦ Content addressed memory
- ✦ Learn to do any of the above



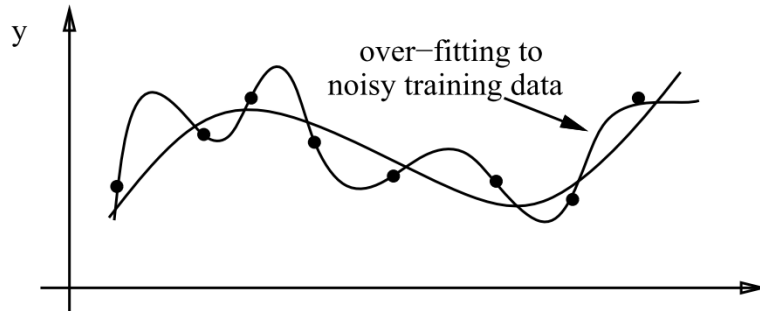
# What can a ANN do?



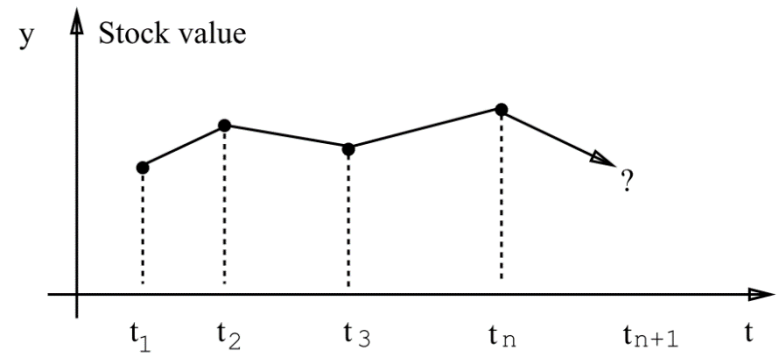
Pattern classification



clustering

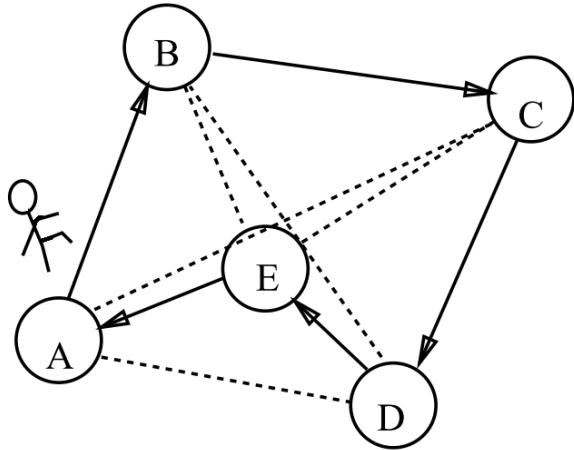


Function approximation



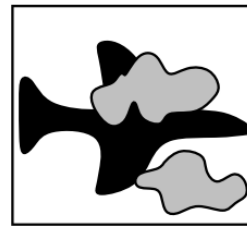
Prediction/forecasting

# What can a ANN do?



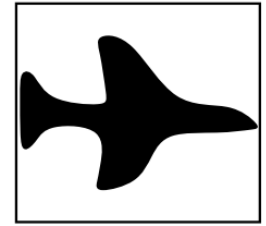
Optimization  
(TSP problem)

Airplane partially  
occluded by clouds

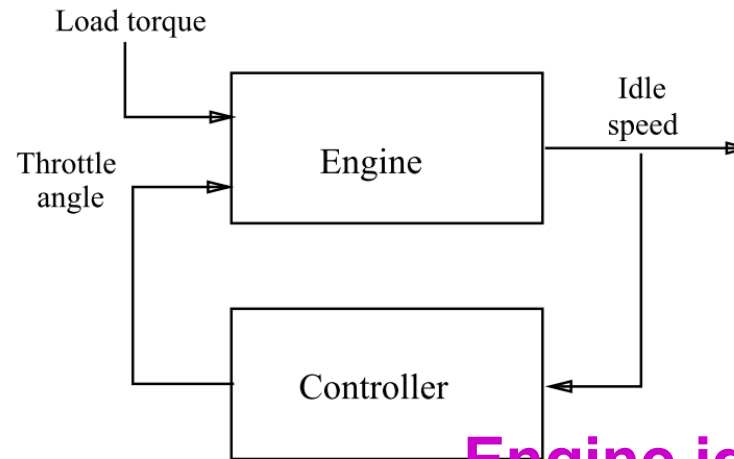


Associative  
Memory

Retrieved airplane

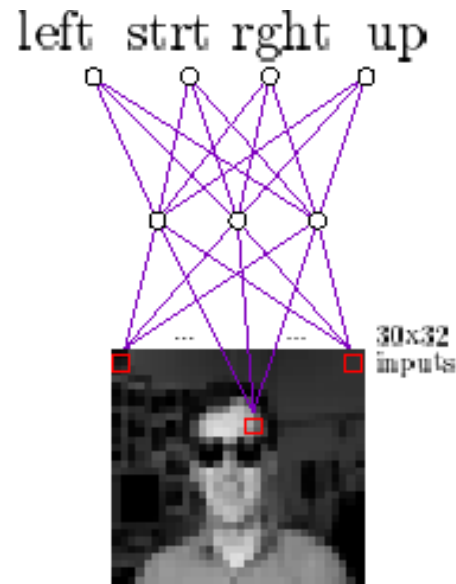


Retrieval by content



Engine idle speed control

# Application examples



Typical input images

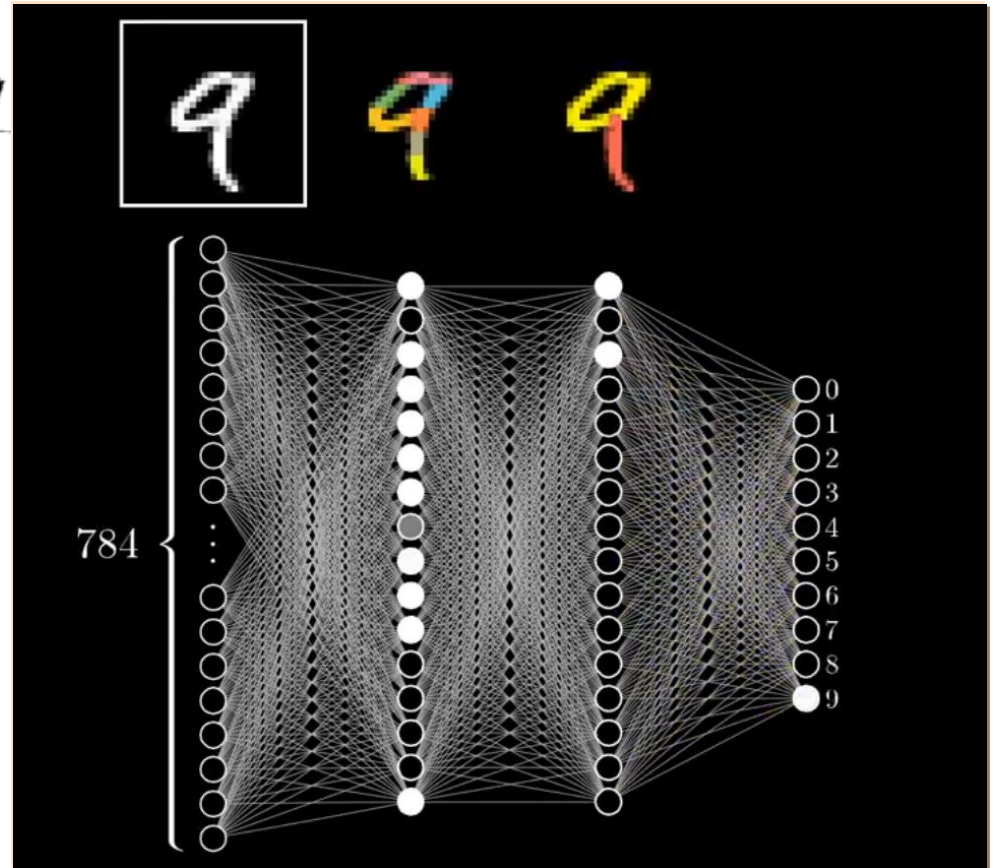
**90% accurate learning head pose, and recognizing 1-of-20 faces**

# Application examples

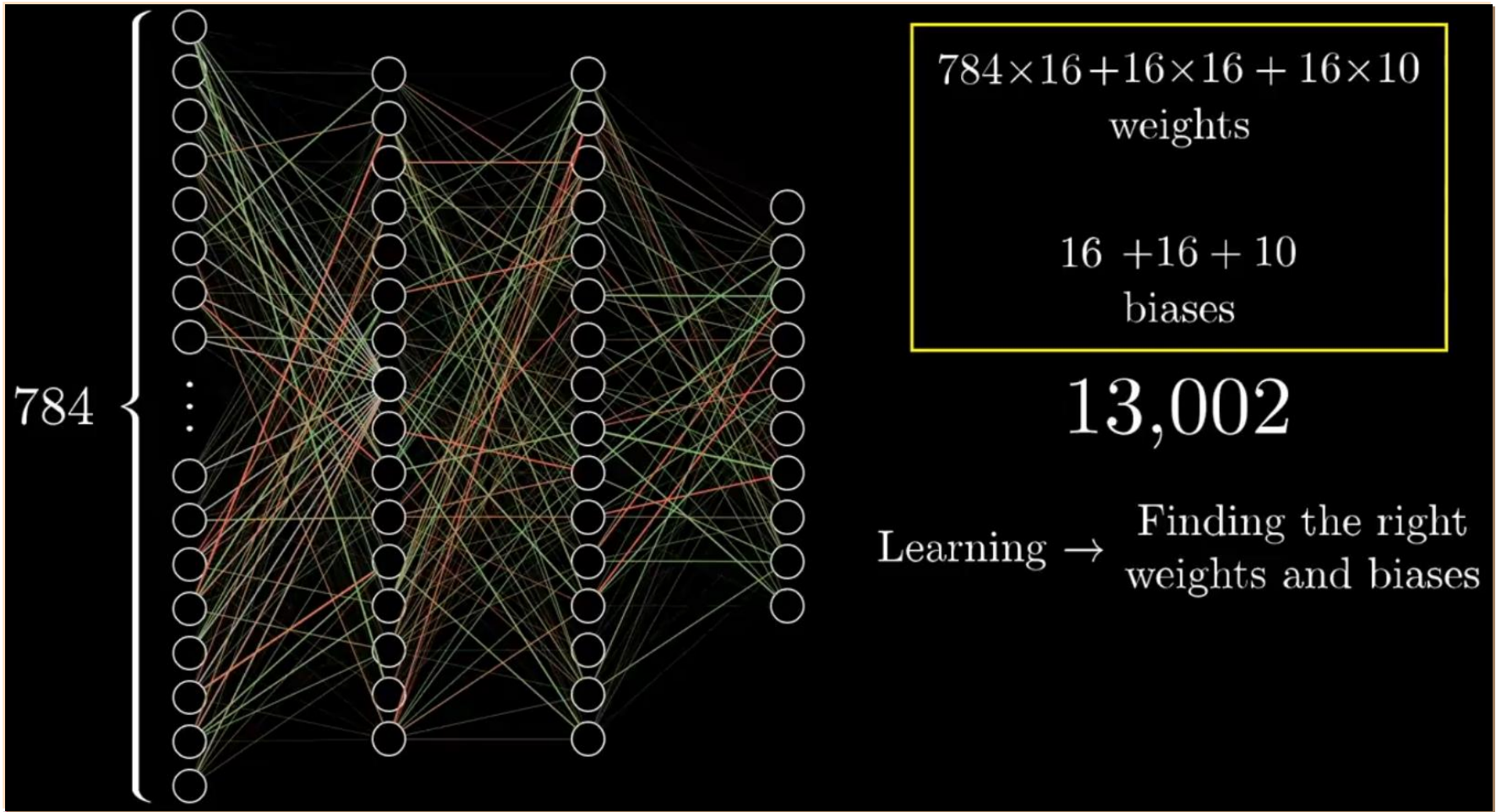
40004    14310  
37879    05453  
33302    75216  
35460    44209

1011913485726803226414186  
2359720299299722510046701  
3084114591010613406103631  
1064111030473262001979966  
8912056708557131427955460  
2019730187112993089970984  
0109707597331972013519055  
1075318255182314338010963  
1787521655460354603546055  
18255108303047520739401

## Handwritten digit recognition

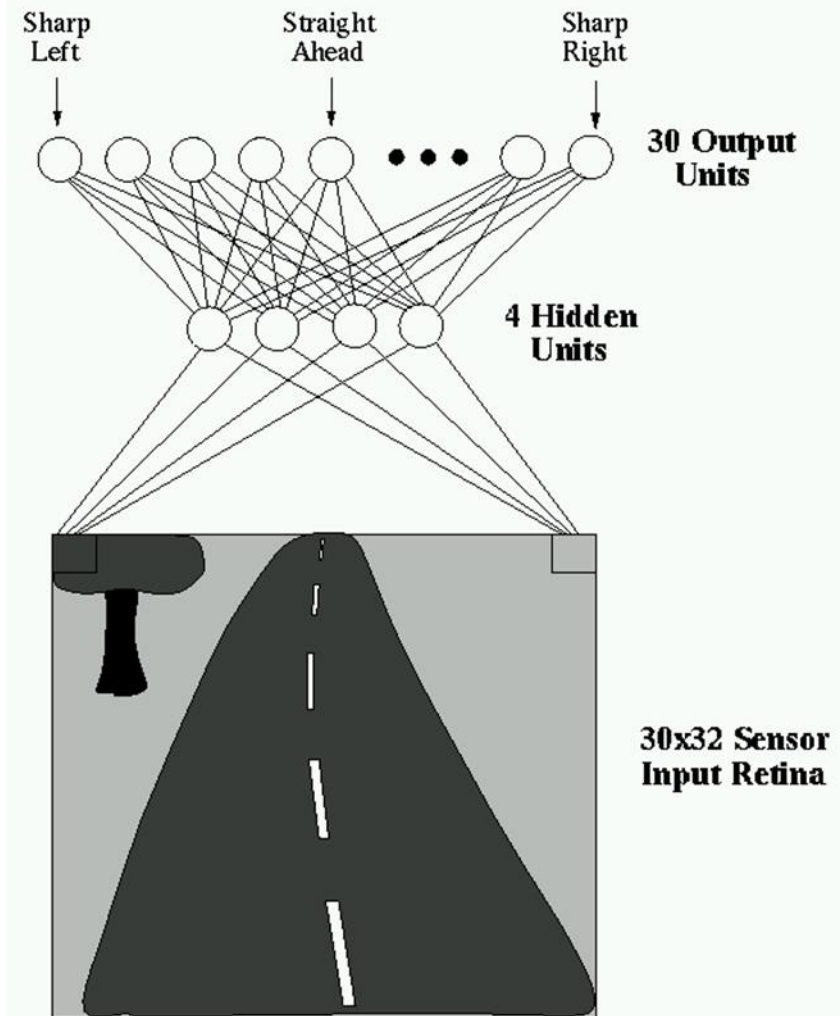


# Application examples



# Application examples

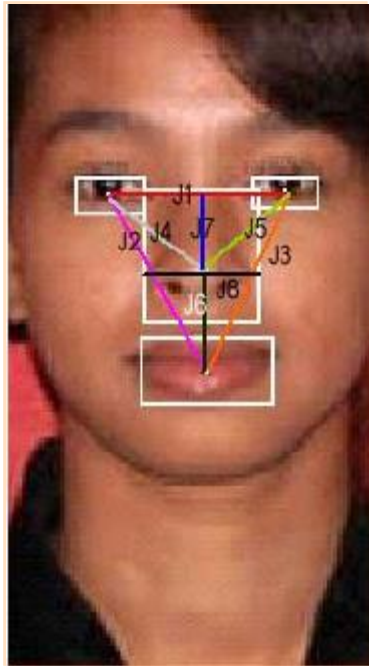
**ALVINN = Autonomous Land Vehicle in a Neural Network.**  
Was groundbreaking at the time, although it has now been superseded.  
ALVINN drives 70 mp/h on highways.



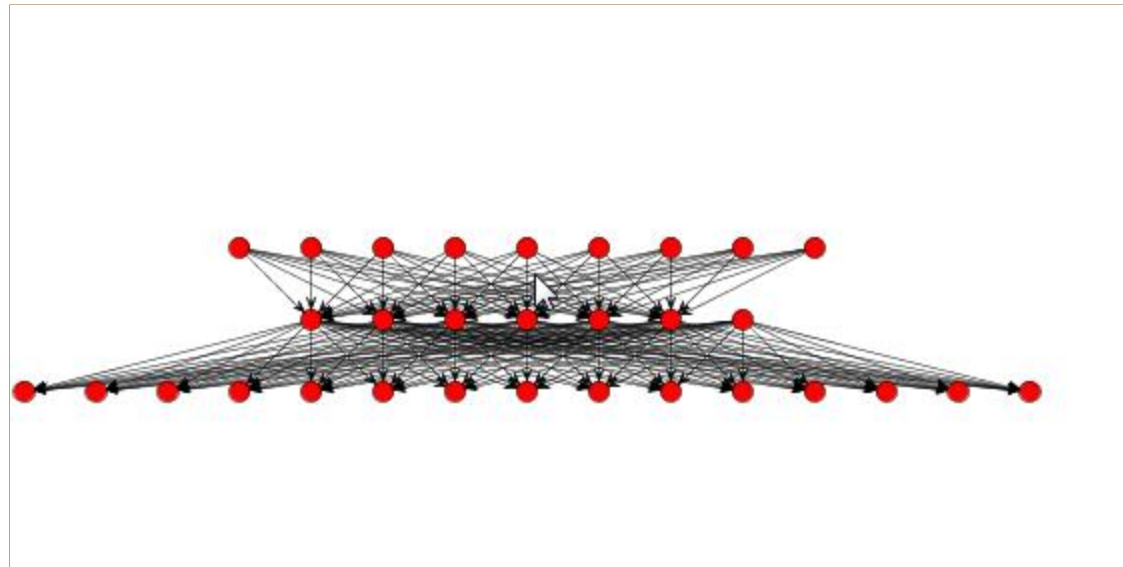


# Application examples

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## Neural Nets for Face Recognition



<http://neuroph.sourceforge.net/tutorials/FaceRecognition/FaceRecognitionUsingNeuralNetwork.html>



# Application examples

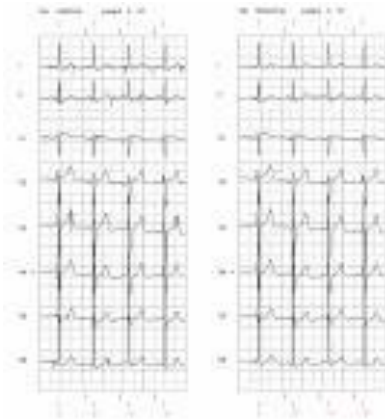
---

## Image Recognition and Classification of Postal Codes

65473      60198      68544  
70065    70117    19032    96720  
27260      61820      19559  
74136    ~~19137~~    63101  
20878    60521    38002  
48640-2398    20907    14868

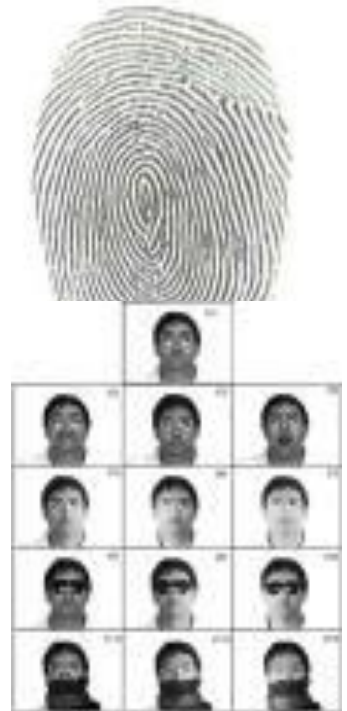
Examples of handwritten postal codes drawn from a database available from the US Postal service

# Application examples



- “Instant Physician” developed using neural net
- Net presented with a set of symptoms, medical records
- Output is best diagnosis and treatment

- Finger prints never change. Bifurcations or “Minutae”
- Minutiae-based techniques find minutiae points and map their relative placement on the finger
- Large volumes of fingerprints are collected and stored everyday in a wide range of applications including forensics, access control, and driver license registration
- FBI database contains 70 million fingerprints!



# Application examples

## System Control & Reliability

- Backing Up a truck to a loading dock is a difficult problem for a novice, easy for an experienced driver
- Very difficult problem mathematically
- Can train a neural net to solve it.



- **Automobile airbags can do serious damage**
- **System reliability continuously assessed & failure pre-empted by correct interpretation of data from accelerometers**

# Application examples Business



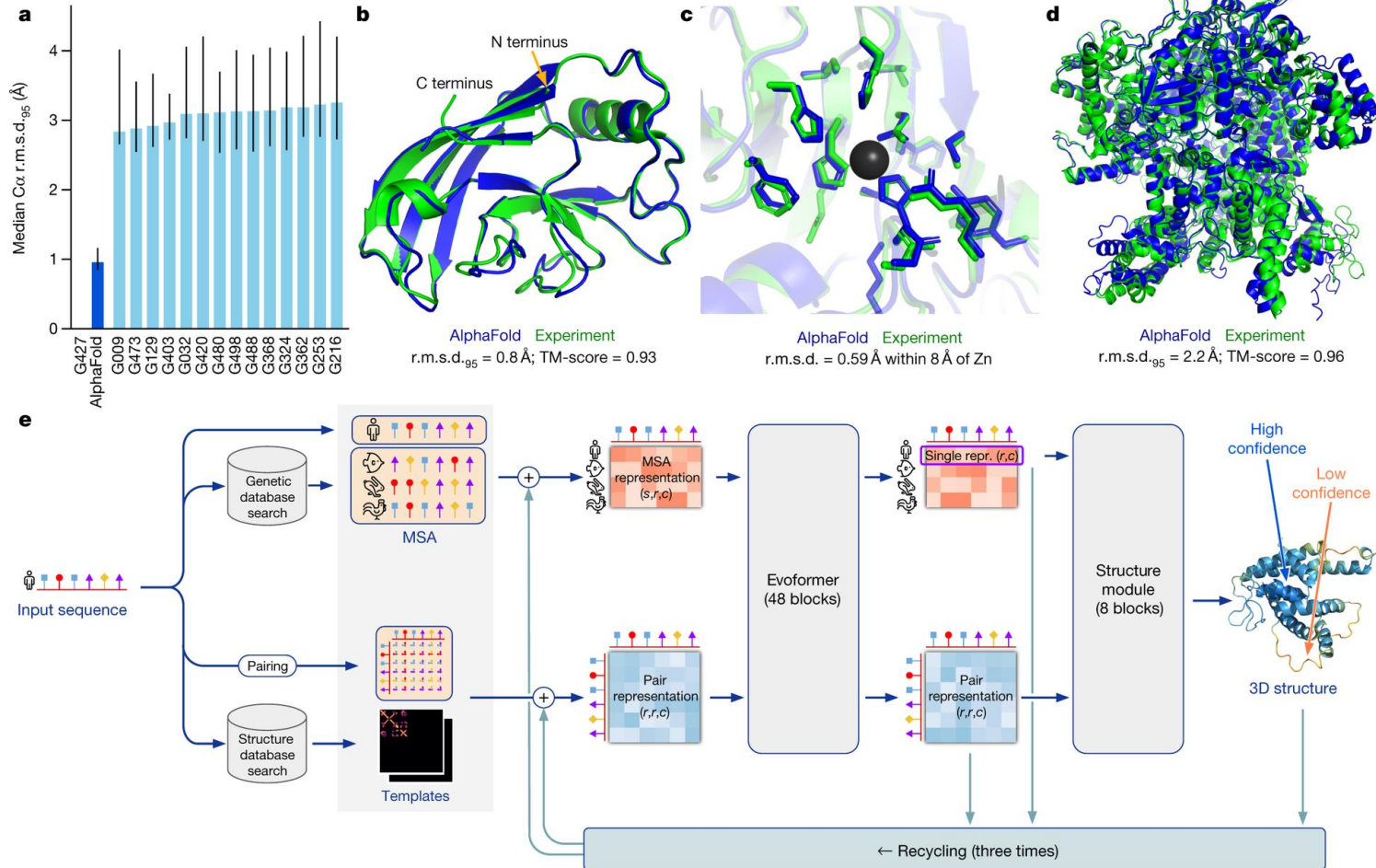
- Mortgage Risk Assessment – reduces delinquency rates
- Inputs include years of employment, # of dependents, property info, income, loan-to-value-ratio
- Output is: Loan or not

- Prediction of behavior of stock market indices
- Requires knowledge of market history
- Time series forecasting
- Short and long term predictions



# Application examples

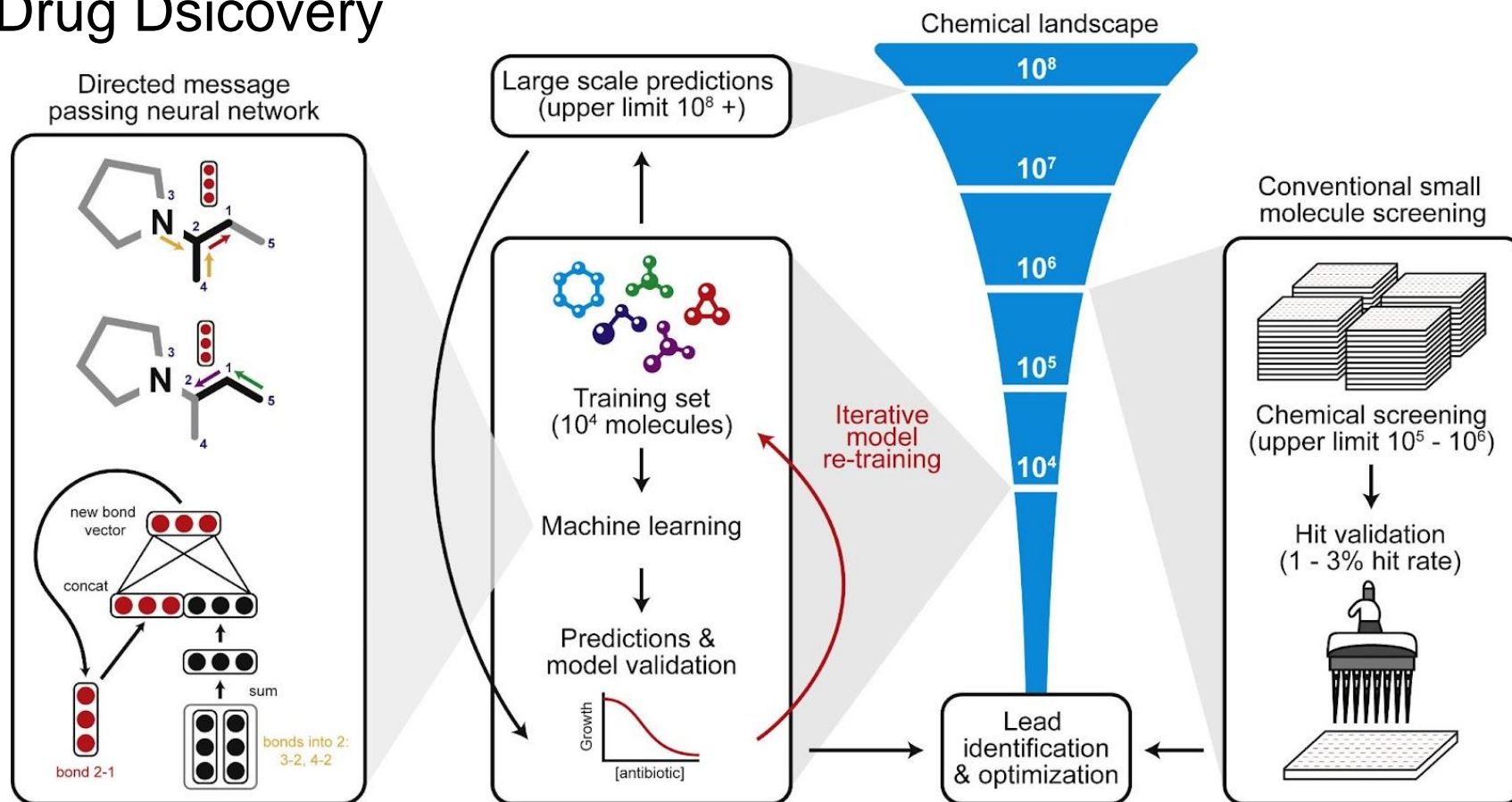
## DeepMind's AlphaFold (Protein Folding)





# Application examples

## Drug Discovery



# Application examples

Recommender systems

**Goal: Recommend items users might like**

**Users**



Interactions



"You might also like"

**Items**



# Who is concerned with NNs?

---

- **Computer scientists** want to find out about the properties of non-symbolic information processing with neural nets and about learning systems in general.
- **Statisticians** use neural nets as flexible, nonlinear regression and classification models.
- **Engineers** of many kinds exploit the capabilities of neural networks in many areas, such as signal processing and automatic control.
- **Cognitive scientists** view neural networks as a possible apparatus to describe models of thinking and consciousness (High-level brain function).
- **Neuro-physiologists** use neural networks to describe and explore medium-level brain function (e.g. memory, sensory system, motorics).





# Who is concerned with NNs?

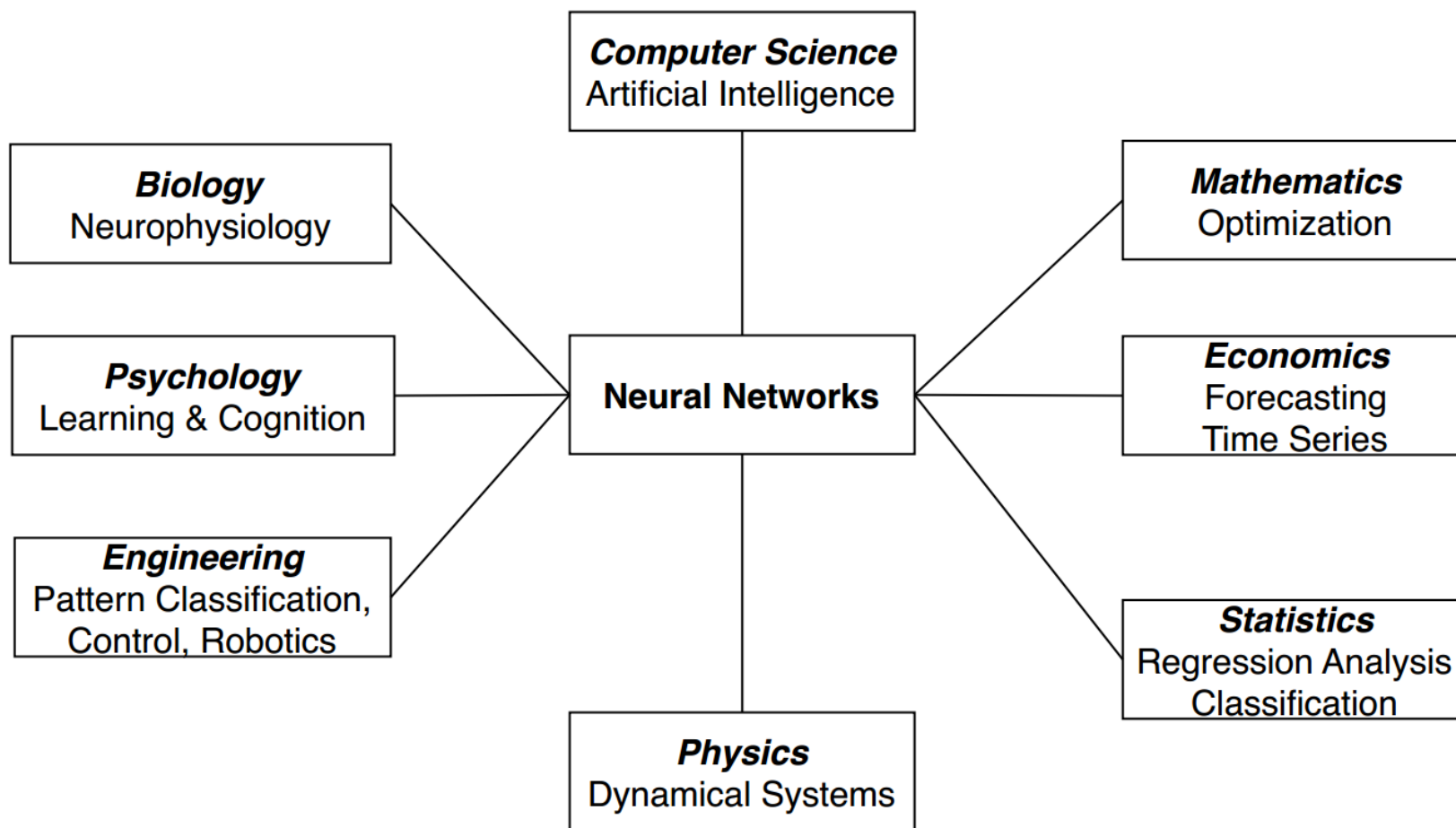
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- **Physicists** use neural networks to model phenomena in statistical mechanics and for a lot of other tasks.
- **Biologists** use Neural Networks to interpret nucleotide sequences.
- **Philosophers** and some other people may also be interested in Neural Networks for various reasons





# Multi-disciplinary area



A clear blue sky with several fluffy white clouds scattered across it. The clouds are of varying sizes and are positioned mostly in the upper and middle sections of the frame. The word "Questions" is written in a large, white, sans-serif font in the bottom right corner.

**Questions**