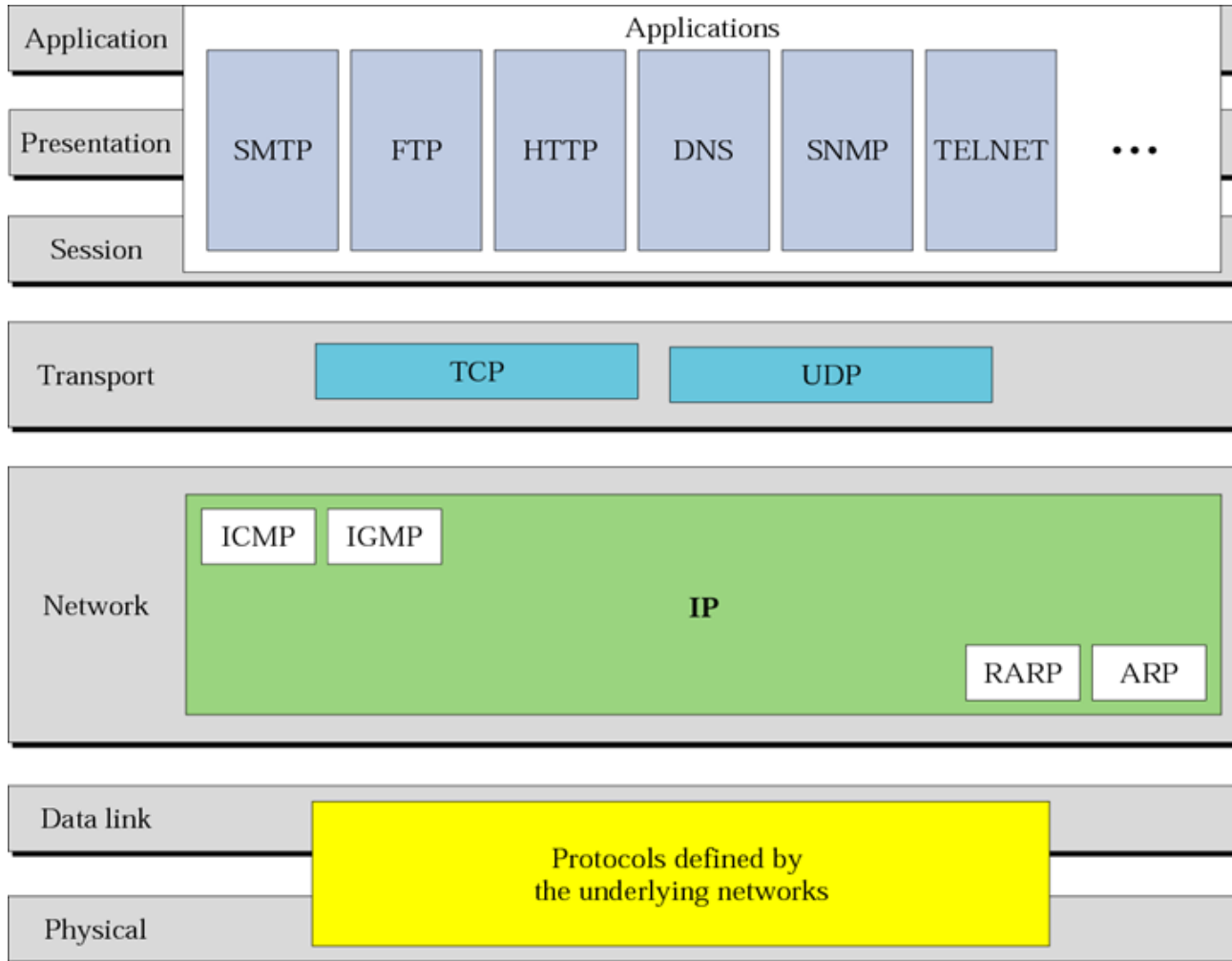


**Department of Computer and IT Engineering
University of Kurdistan**

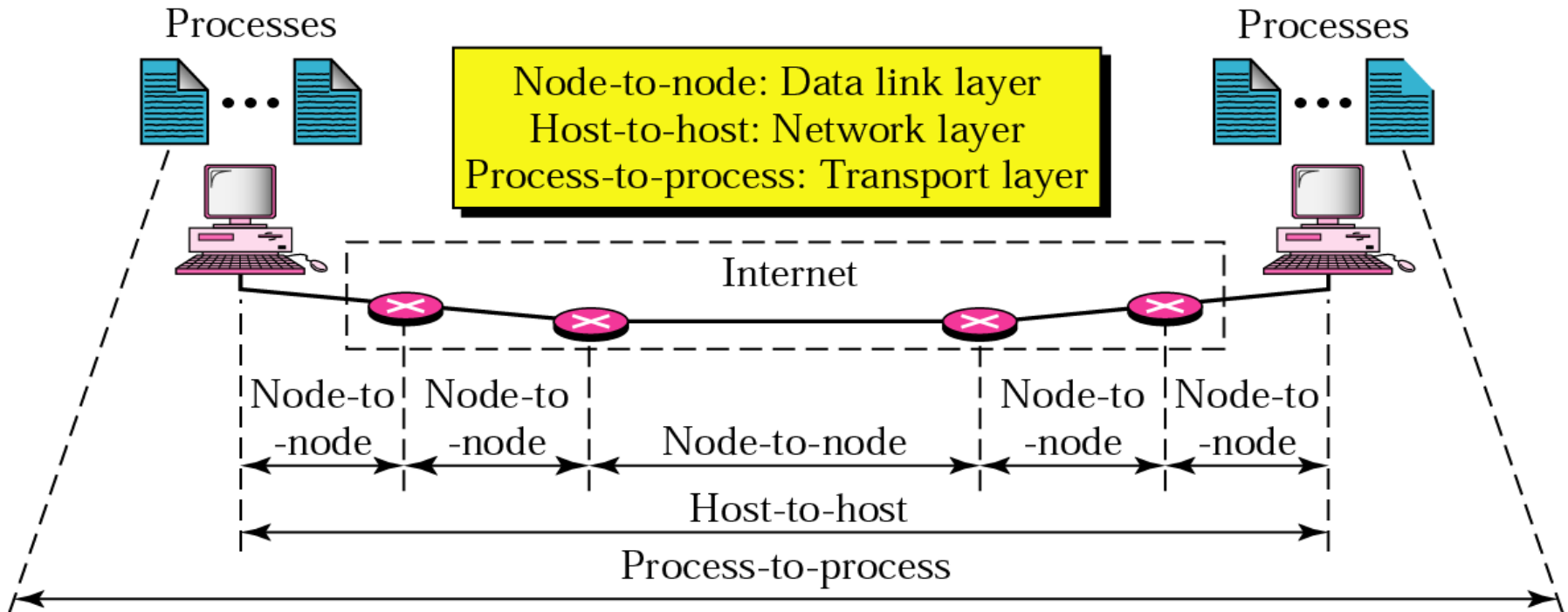
Advanced Computer Networks
Transport Layer

By: Dr. Alireza Abdollahpouri

TCP/IP protocol suite



Transport Layer

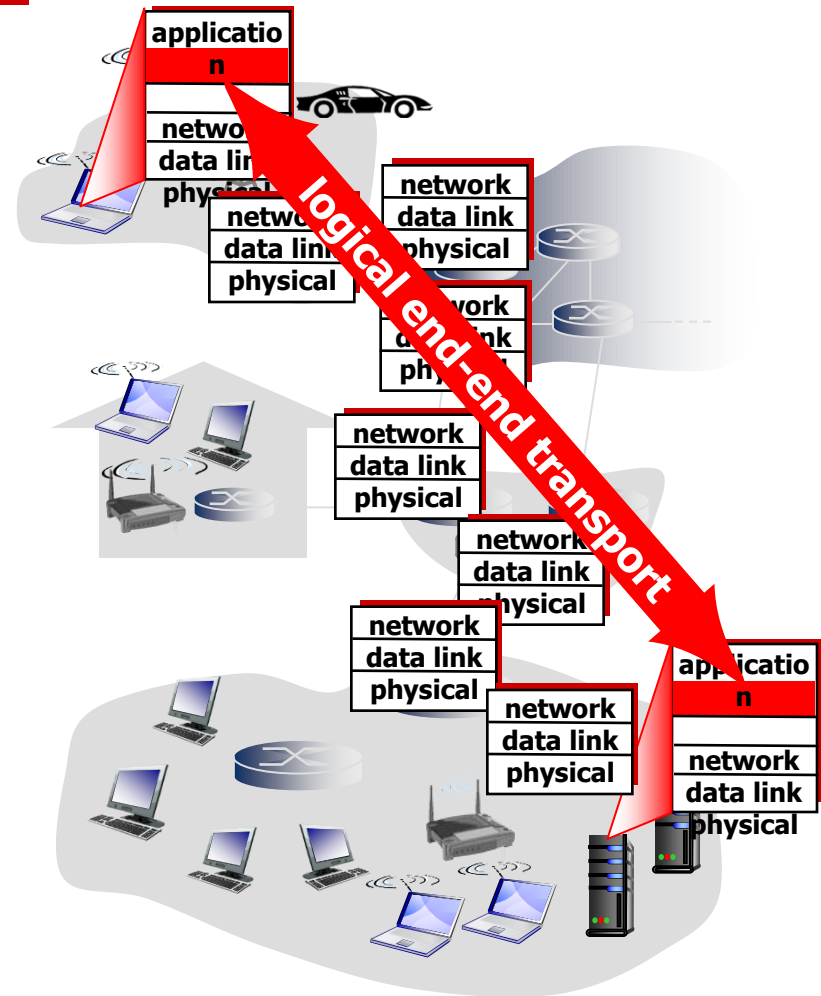


The transport layer is responsible for process-to-process delivery.

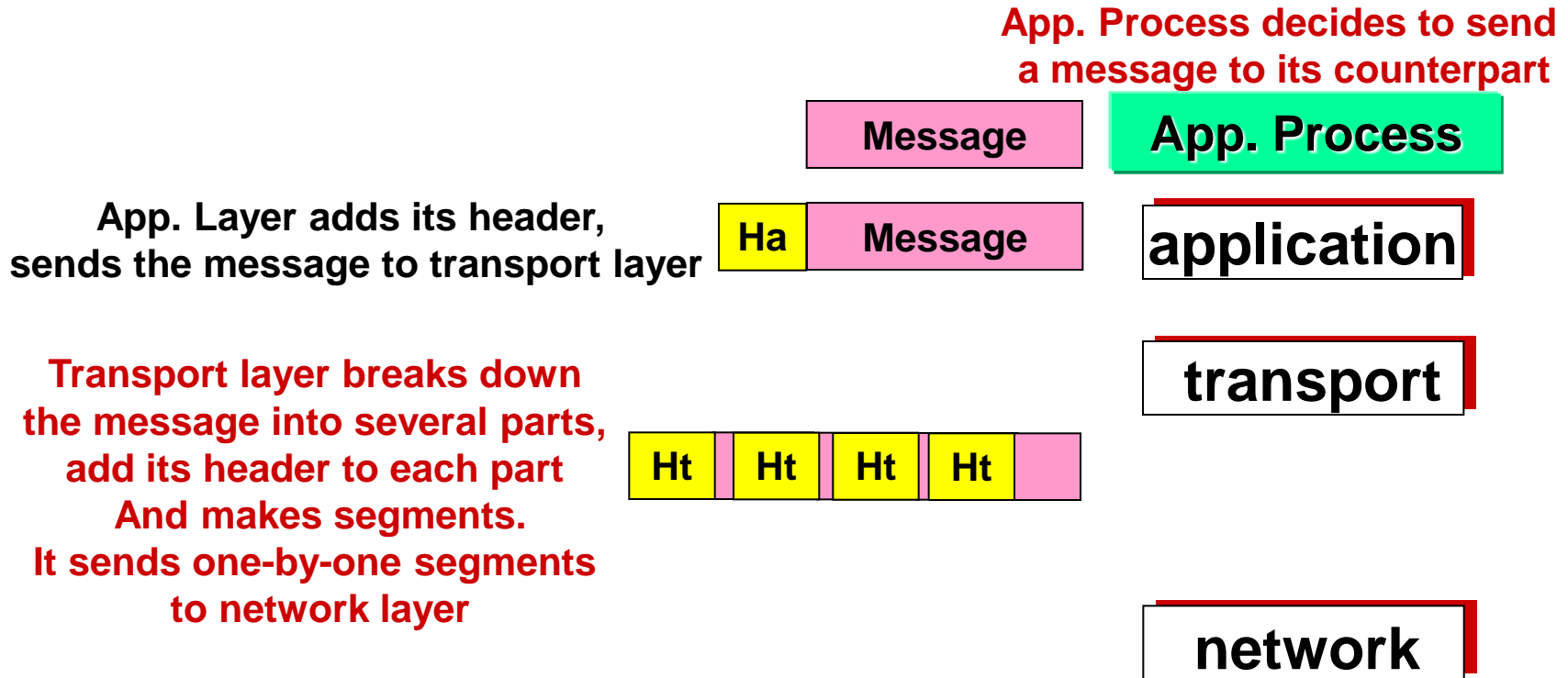


Transport Layer

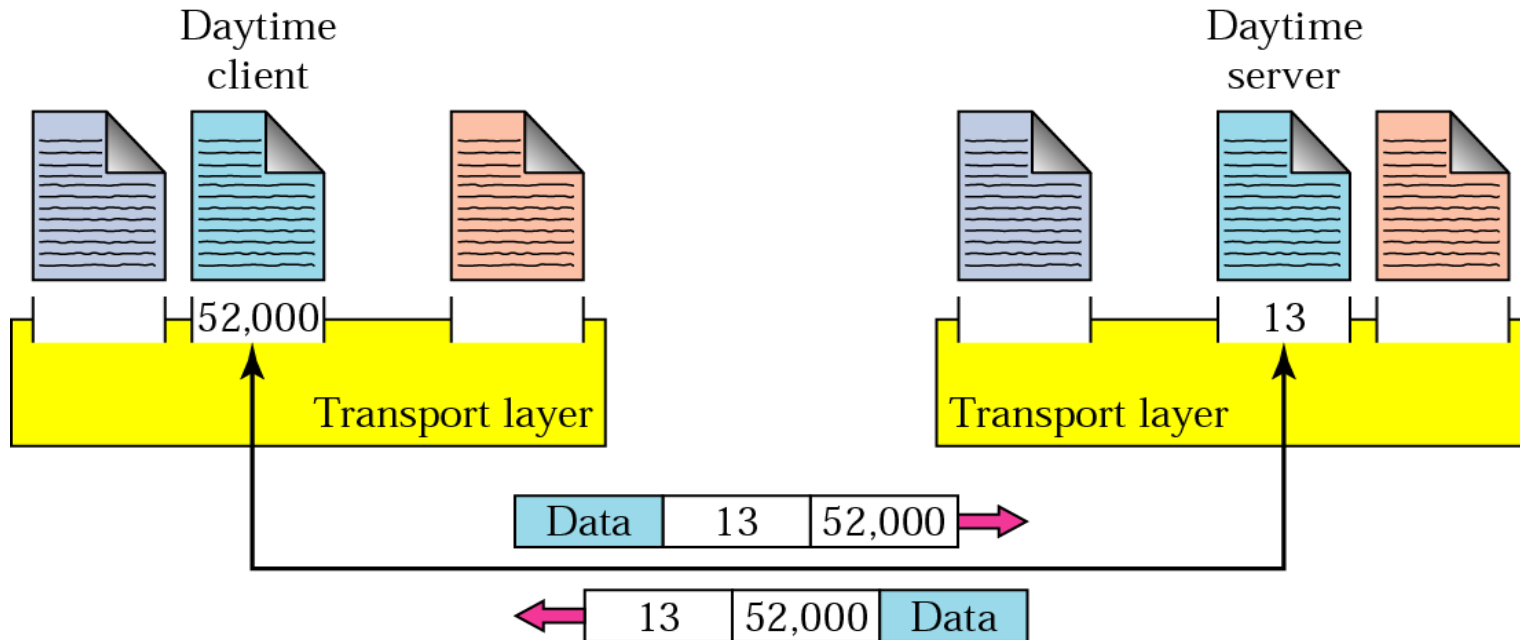
- provide *logical communication* between application processes running on different hosts
- transport protocols run in end systems
 - **sending side**: breaks app messages into **segments**, passes to network layer
 - **receiving side**: reassembles segments into messages, passes to application layer
- more than one transport protocol available to applications.
 - **Internet: TCP and UDP**



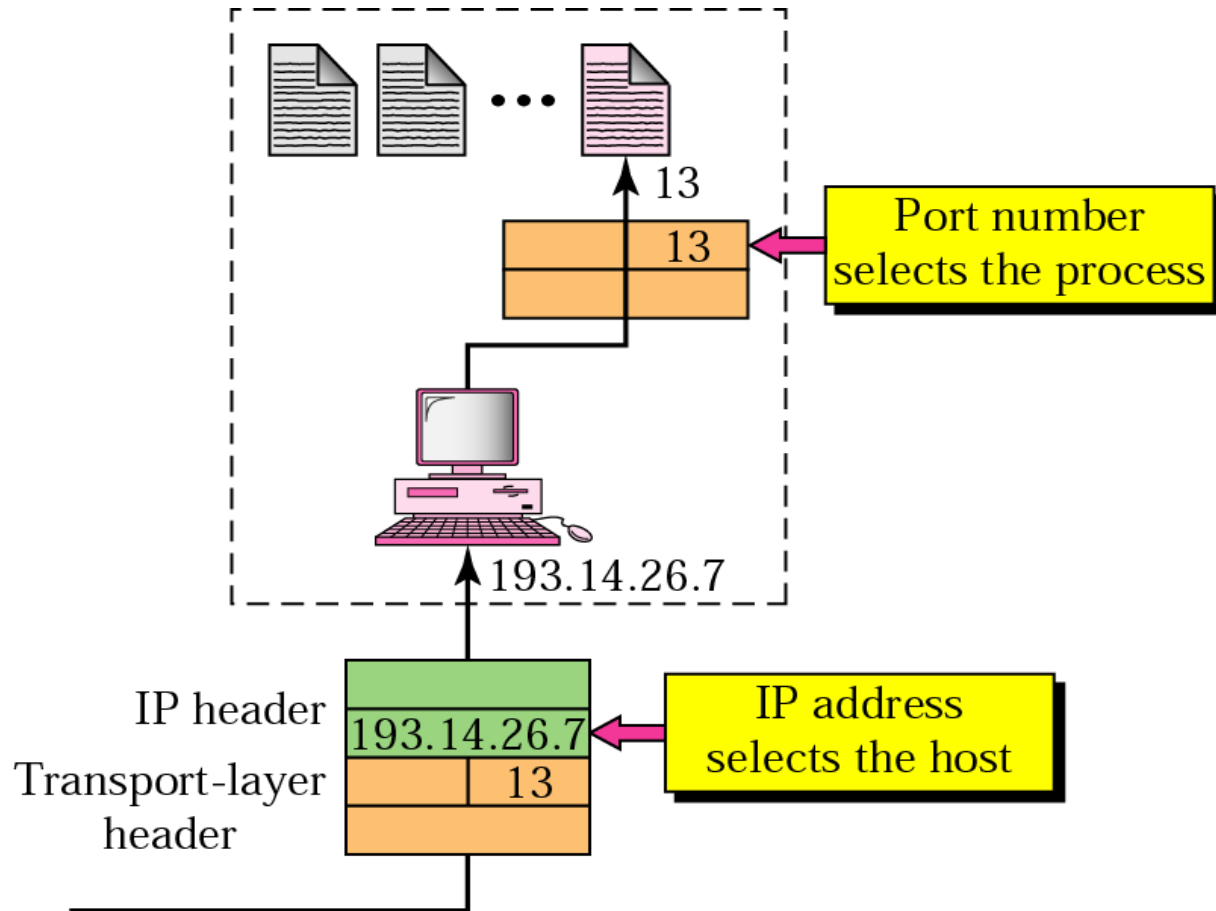
Protocol layering and data



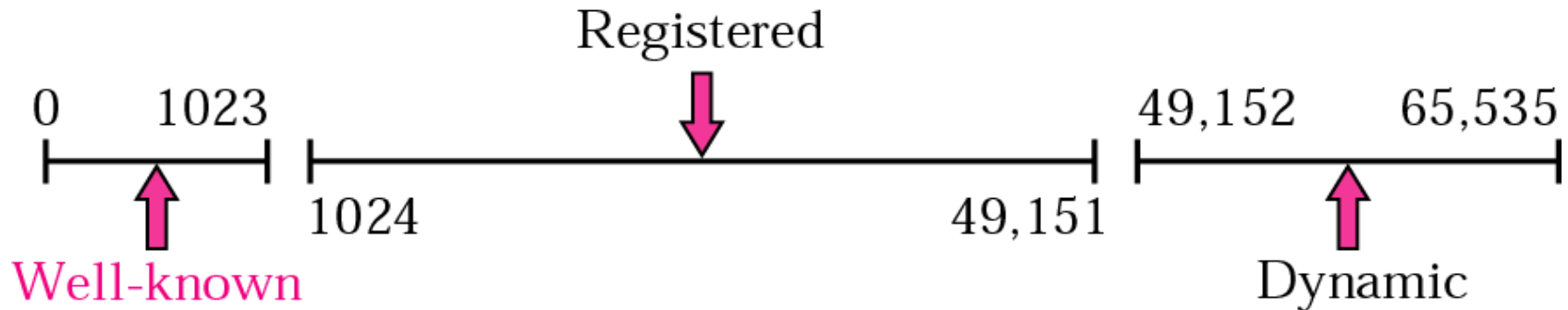
Port numbers



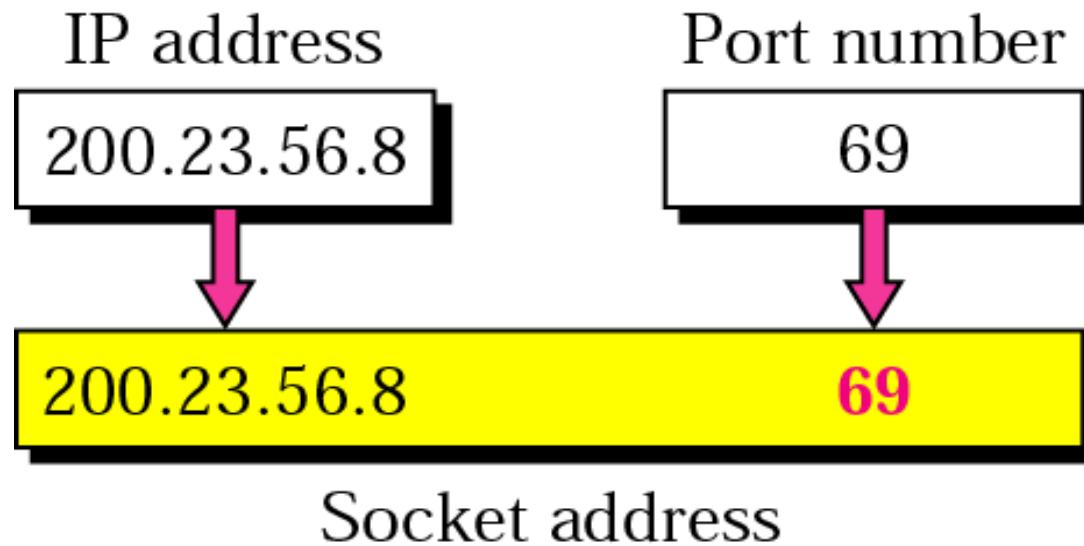
IP addresses versus port numbers



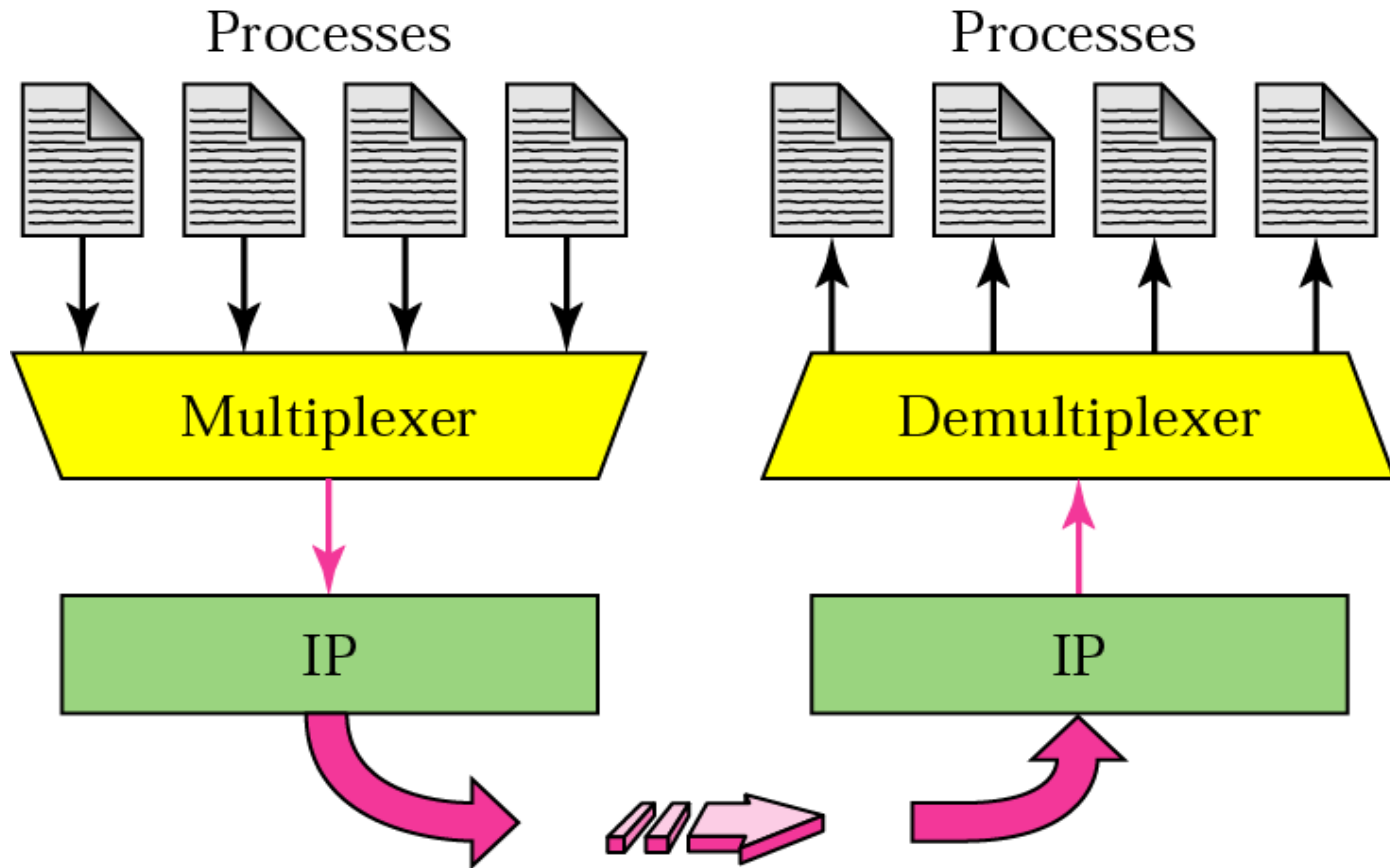
IANA ranges for port numbers



Socket address



Multiplexing and demultiplexing



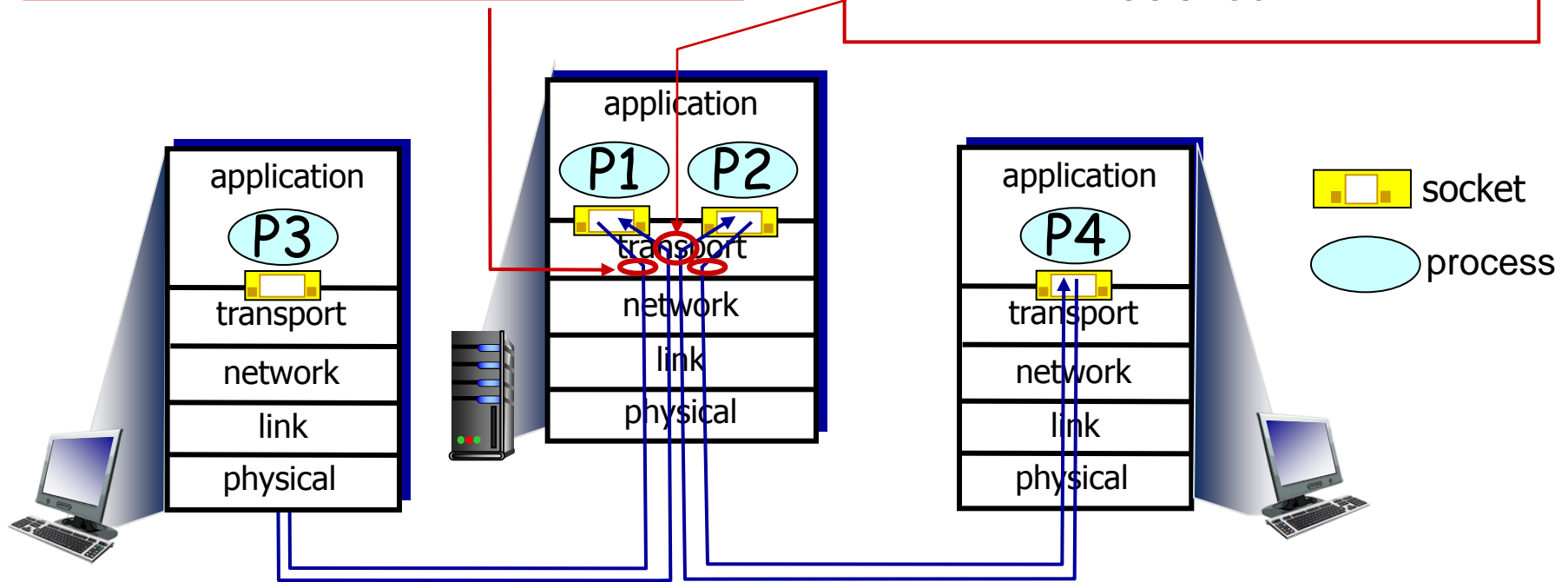
Multiplexing and demultiplexing

multiplexing at sender:

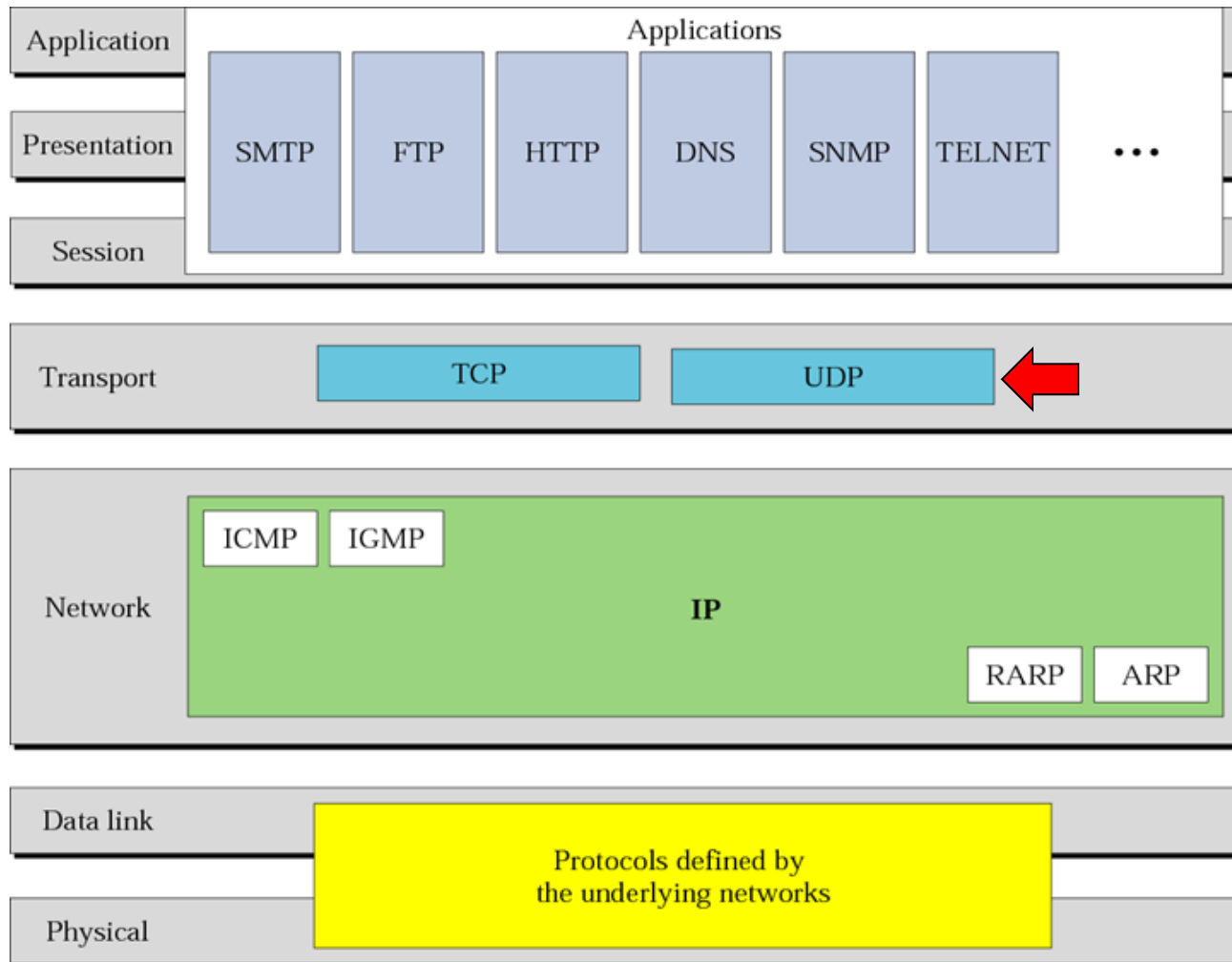
handle data from multiple sockets, add transport header (later used for demultiplexing)

demultiplexing at receiver:

use header info to deliver received segments to correct socket



TCP/IP protocol suite



UDP

*UDP is a connectionless, unreliable protocol that has **no** flow and error control. It uses port numbers to multiplex data from the application layer.*

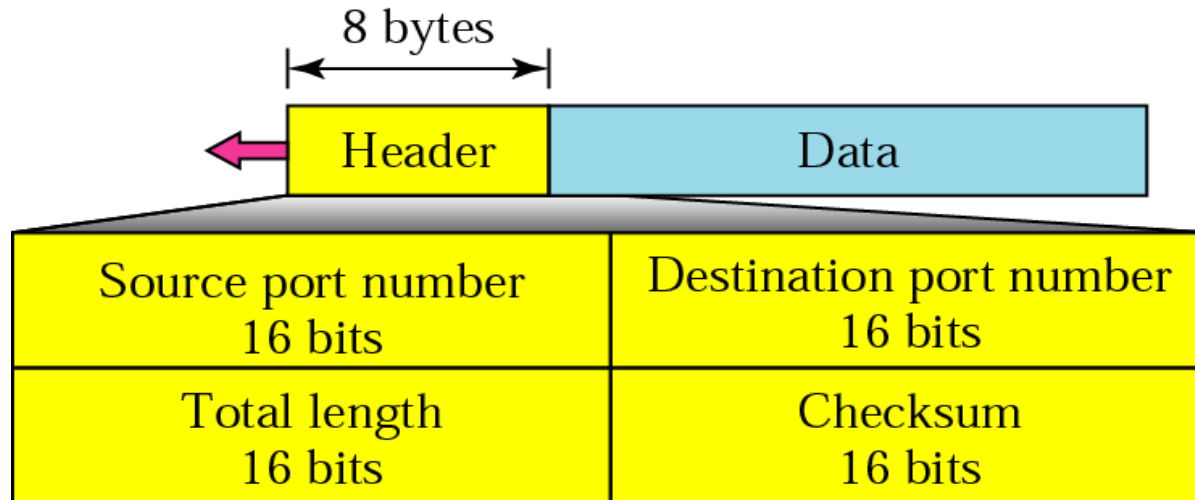


Some Well-known ports used by UDP

| Port | Protocol | Description |
|------|------------|---|
| 13 | Daytime | Returns the date and the time |
| 17 | Quote | Returns a quote of the day |
| 53 | Nameserver | Domain Name Service |
| 67 | Boots | Server port to download bootstrap information |
| 68 | Bootpc | Client port to download bootstrap information |
| 69 | TFTP | Trivial File Transfer Protocol |
| 111 | RPC | Remote Procedure Call |
| 123 | NTP | Network Time Protocol |
| 161 | SNMP | Simple Network Management Protocol |



User datagram format

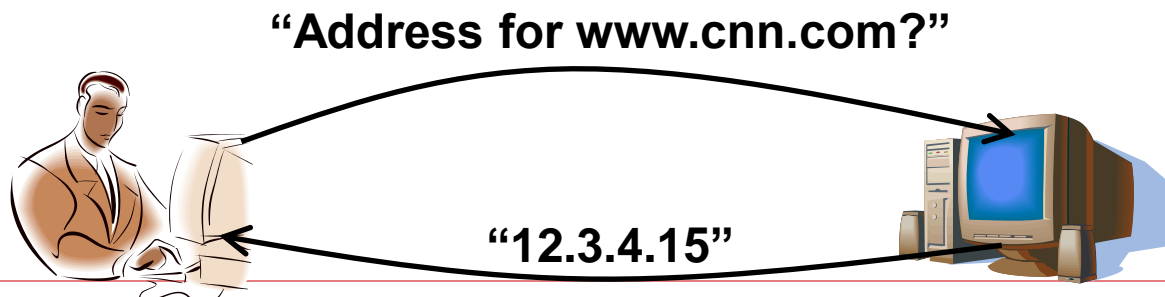


The calculation of checksum and its inclusion in the user datagram are optional.

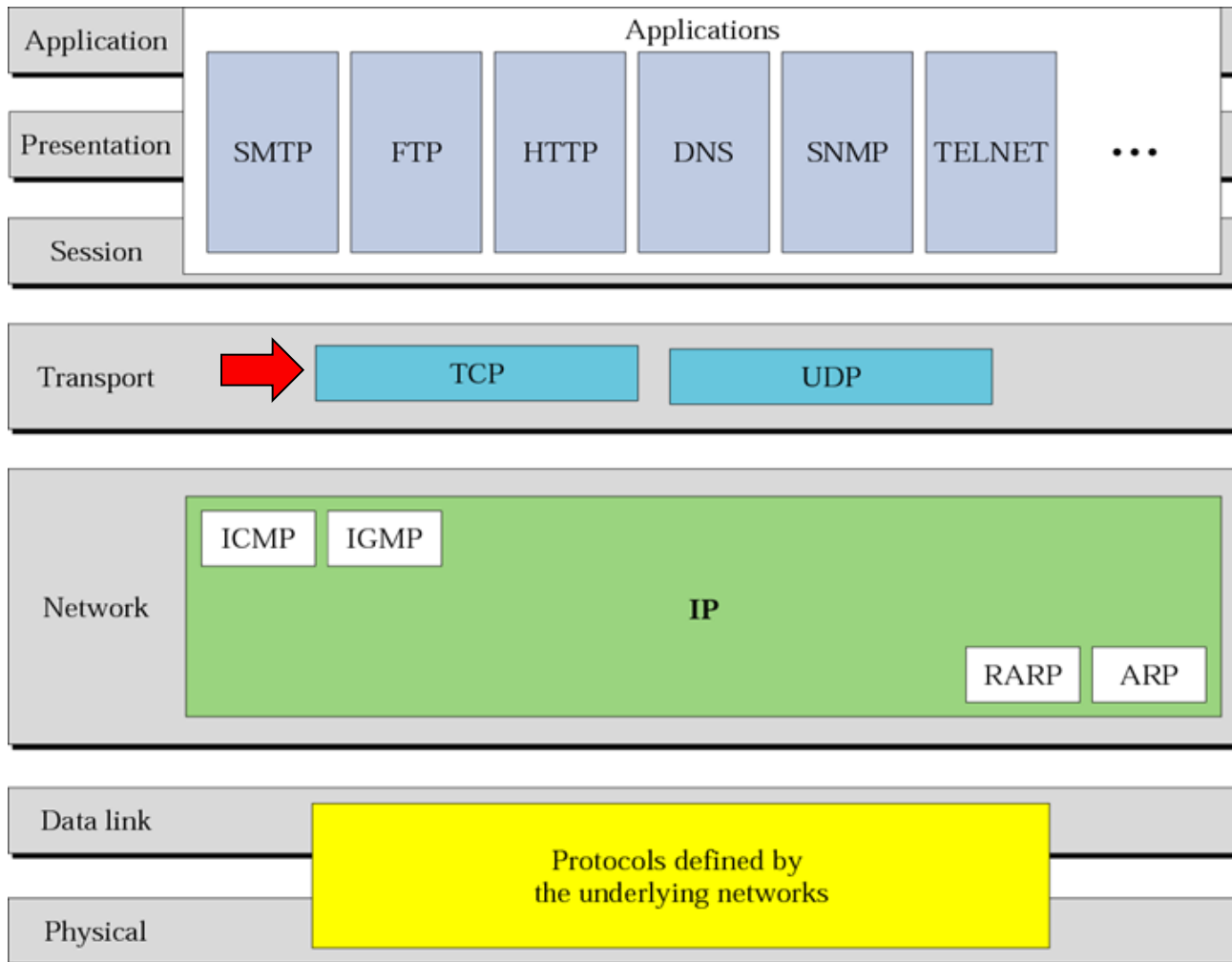


Popular Applications That Use UDP

- **Multimedia streaming**
 - Retransmitting lost/corrupted packets is not worthwhile
 - By the time the packet is retransmitted, it's too late
 - E.g., telephone calls, video conferencing, gaming
- **Simple query protocols like Domain Name System**
 - Overhead of connection establishment is overkill
 - Easier to have the application retransmit if needed



TCP/IP protocol suite

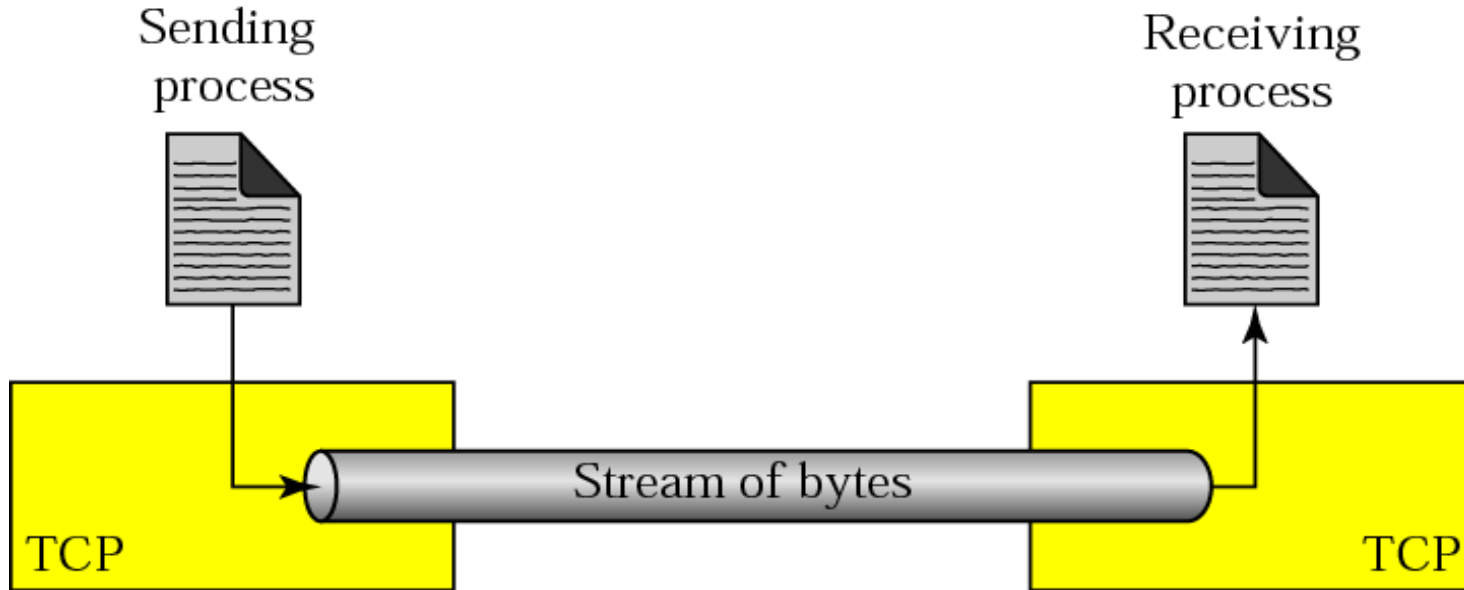


Transmission Control Protocol (TCP)

- **Connection oriented**
 - Explicit set-up and tear-down of TCP session
- **Stream-of-bytes service**
 - Sends and receives a stream of bytes, not messages
- **Reliable, in-order delivery**
 - Checksums to detect corrupted data
 - Acknowledgments & retransmissions for reliable delivery
 - Sequence numbers to detect losses and reorder data
- **Flow control**
 - Prevent overflow of the receiver's buffer space
- **Congestion control**
 - Adapt to network congestion for the greater good



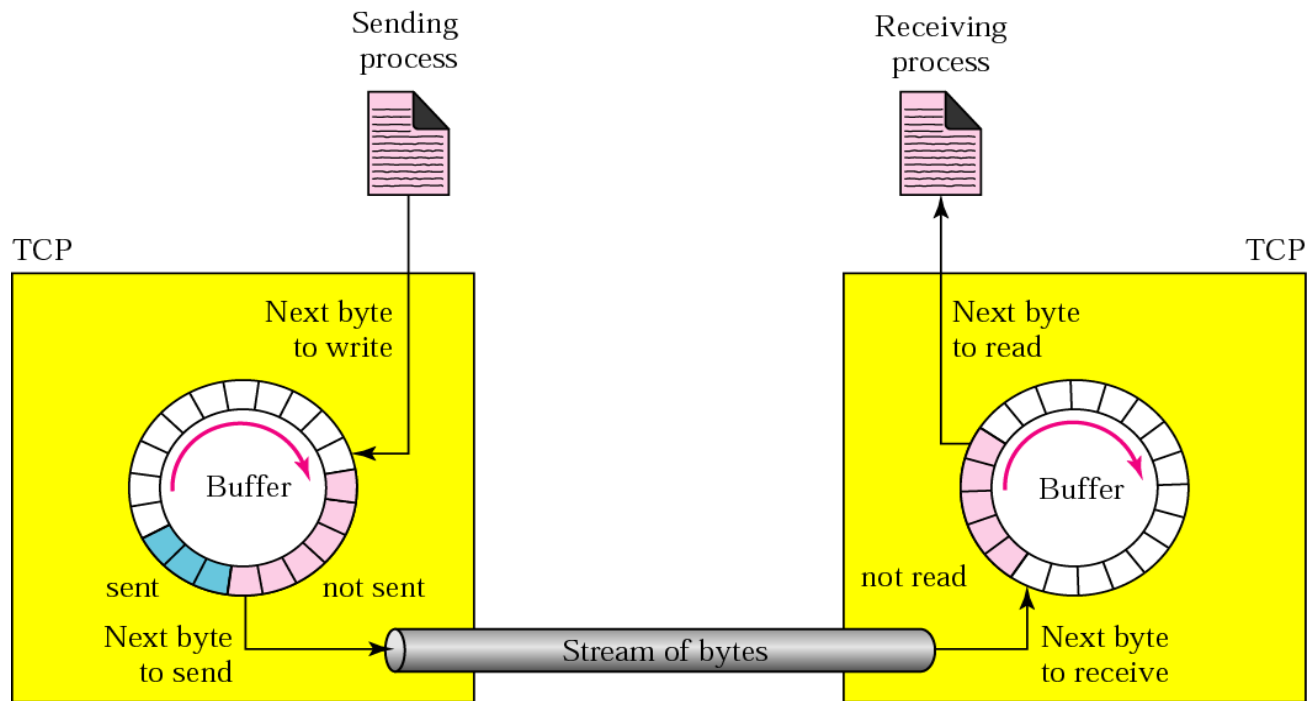
Stream delivery



TCP محیطی را فراهم می آورد که گویی دو پروسه به وسیله یک لوله فرضی به همدیگر متصل شده اند



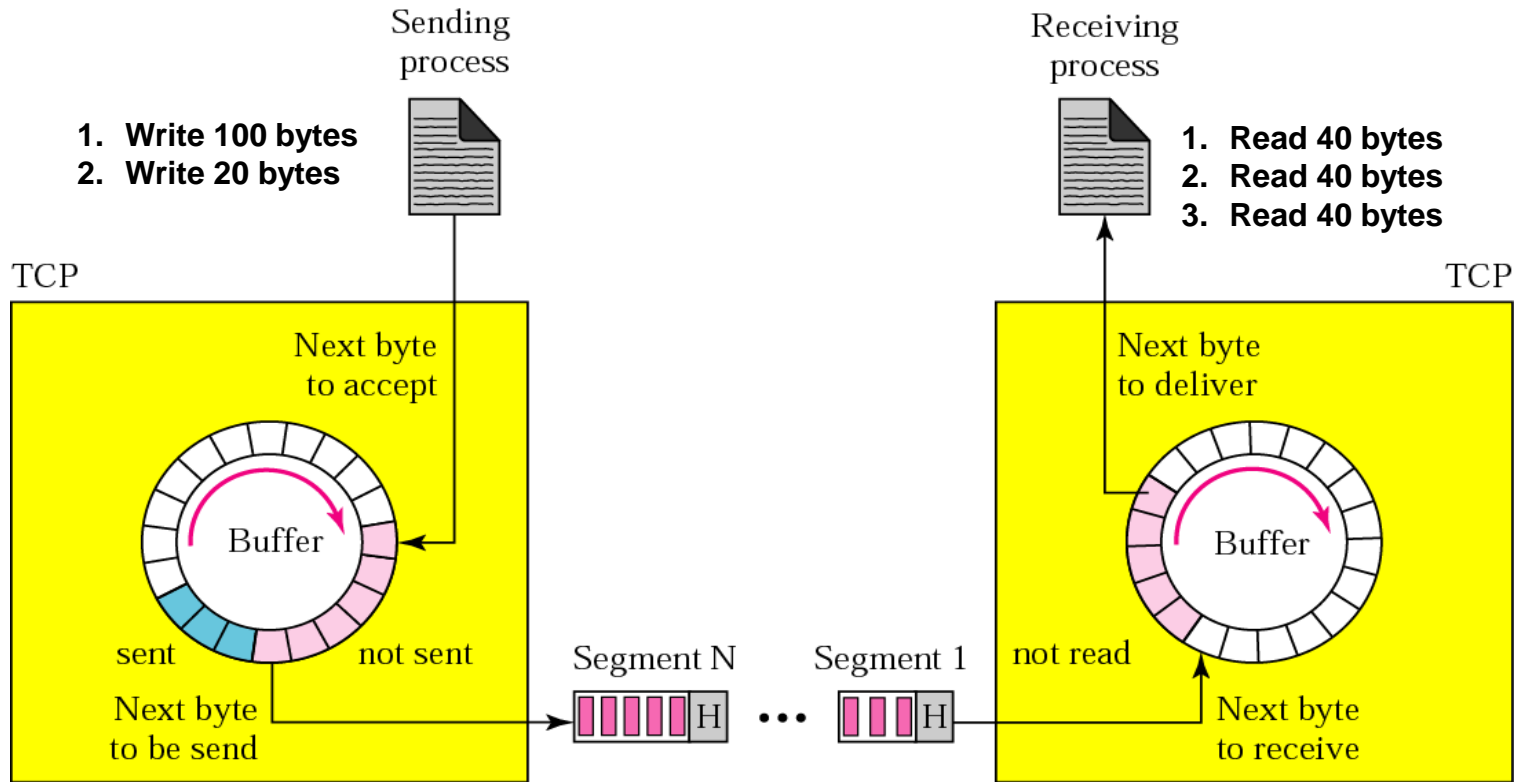
Sending and receiving buffers



TCP در دو طرف فرستنده و گیرنده یک بافر برای ارسال و دریافت دارد



TCP segments



TCP جریانی از بایتها را در قالب سگمنت ها ارسال می کند
لایه بالاتر چیزی در مورد سگمنتها و محدوده آنها نمیداند





The bytes of data being transferred in each connection are numbered by TCP. The numbering starts with a randomly generated number.



Example of Byte numbering and sequence numbers

Suppose a TCP connection is transferring a file of 5000 bytes. The first byte is numbered 10001. What are the sequence numbers for each segment if data is sent in five segments, each carrying 1000 bytes?

Solution

The following shows the sequence number for each segment:

Segment 1 → Sequence Number: 10,001 (range: 10,001 to 11,000)

Segment 2 → Sequence Number: 11,001 (range: 11,001 to 12,000)

Segment 3 → Sequence Number: 12,001 (range: 12,001 to 13,000)

Segment 4 → Sequence Number: 13,001 (range: 13,001 to 14,000)

Segment 5 → Sequence Number: 14,001 (range: 14,001 to 15,000)





*The value in the **sequence number** field of a segment defines the number of the **first data byte** contained in that segment.*





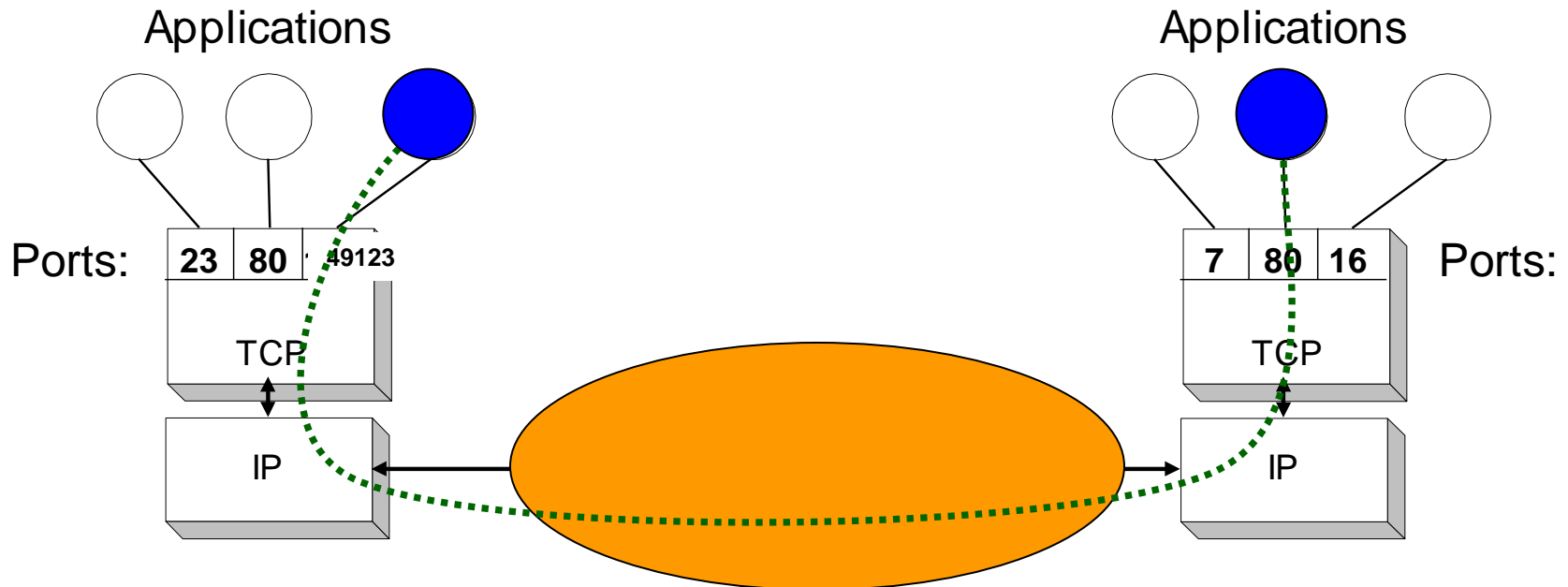
Note:

*The value of the **acknowledgment** field in a segment defines the number of the **next byte** a party expects to receive.*

The acknowledgment number is cumulative.



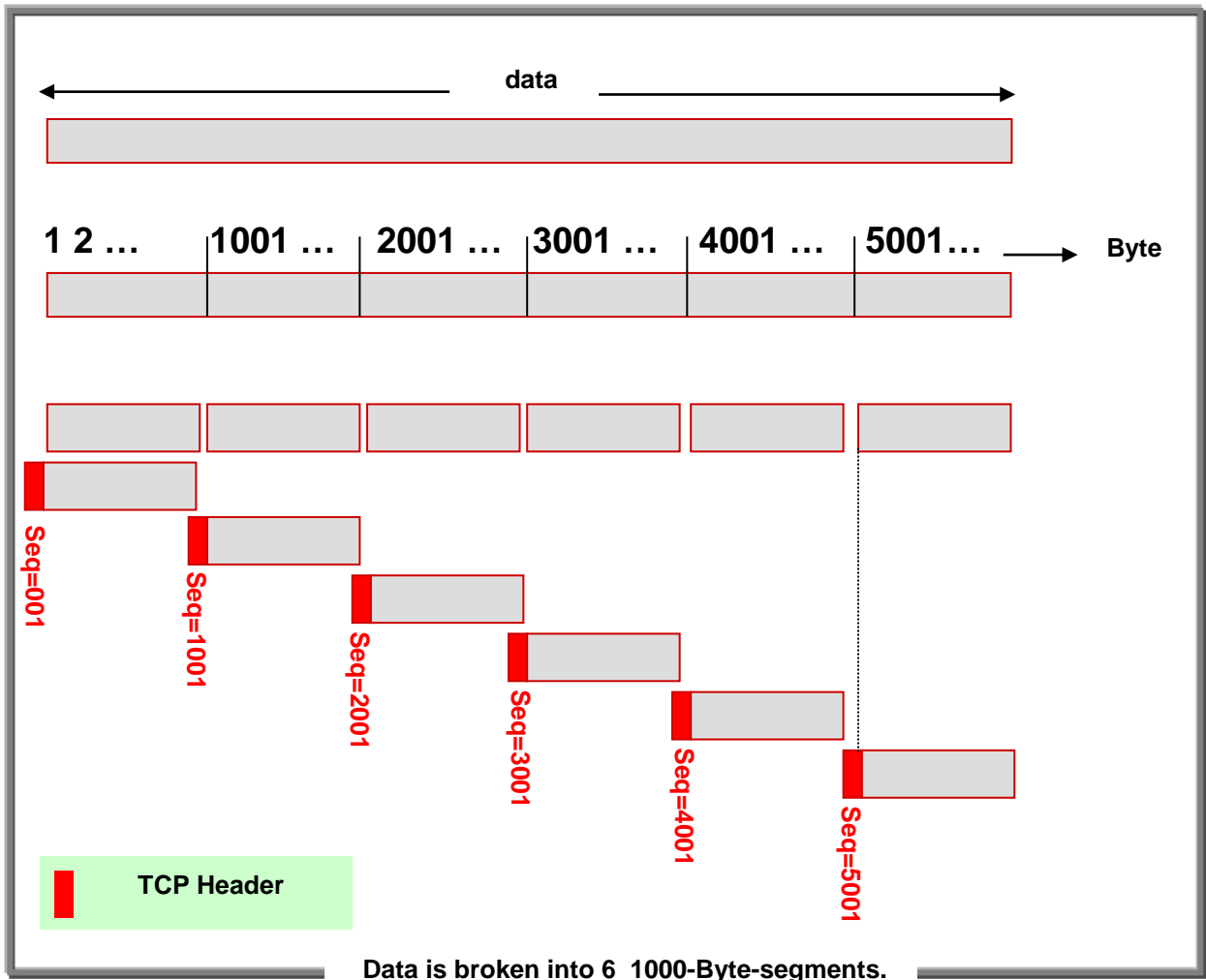
TCP connection



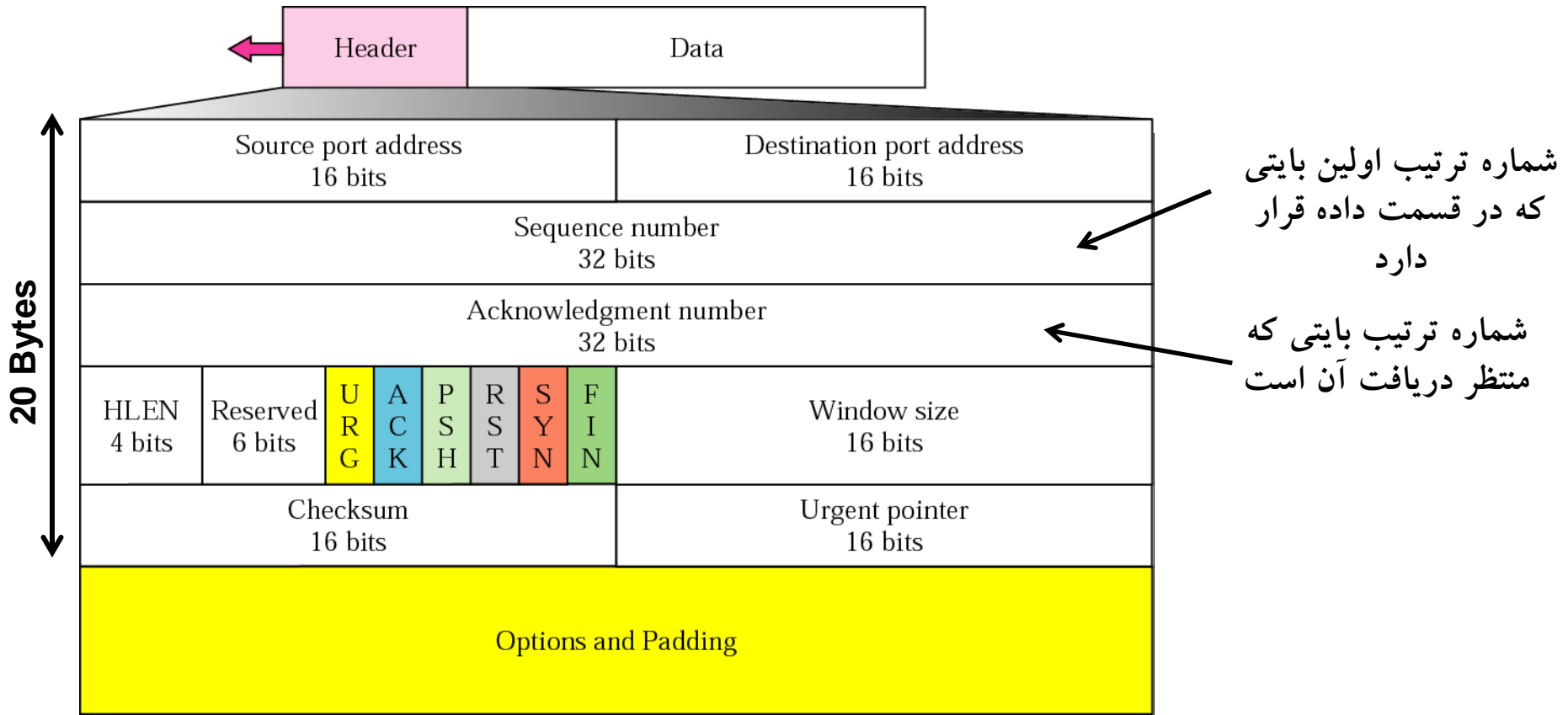
A pair **<IP address, port number>** identifies one endpoint of a connection.

Two pairs **<client IP address, server port number>** and **<server IP address, server port number>** identify a TCP connection.

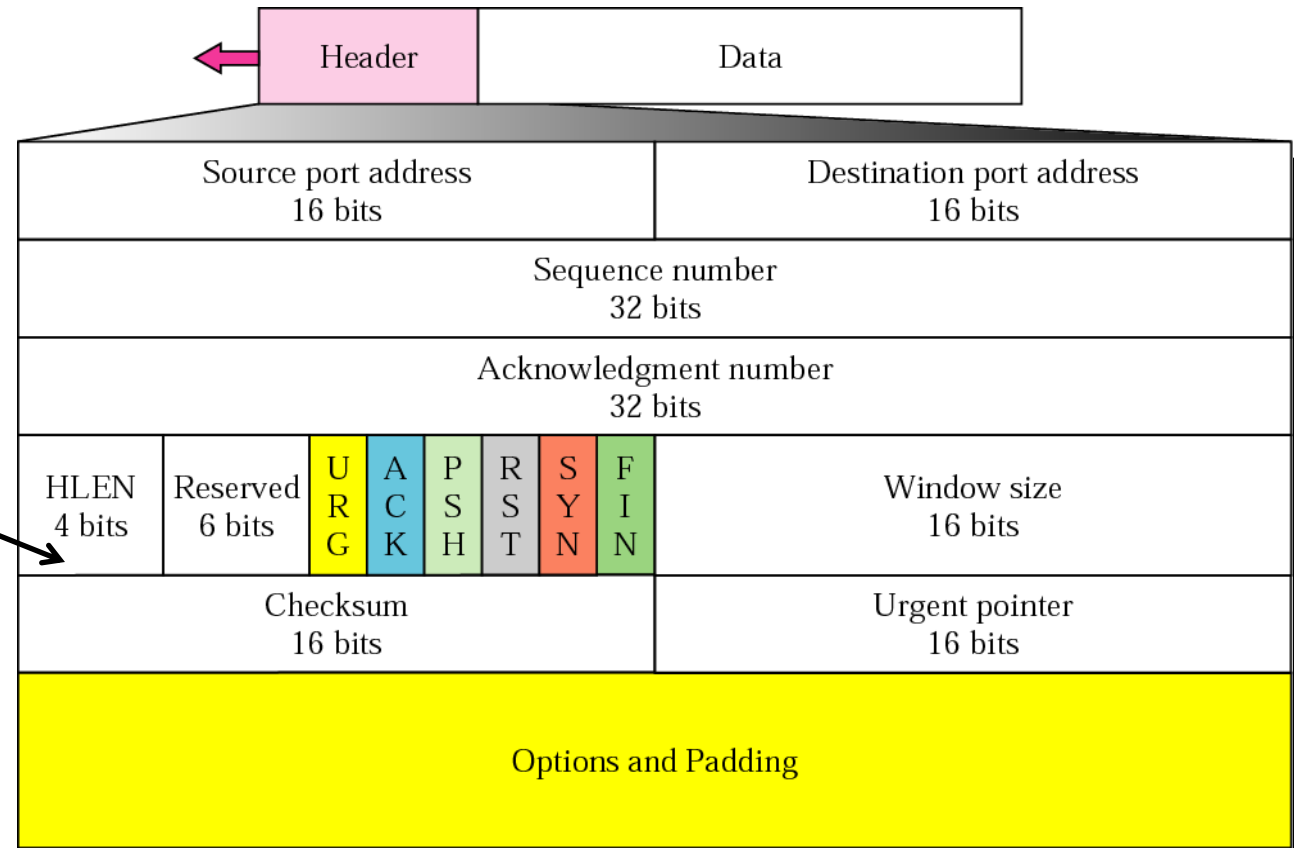




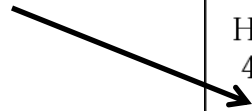
TCP Header



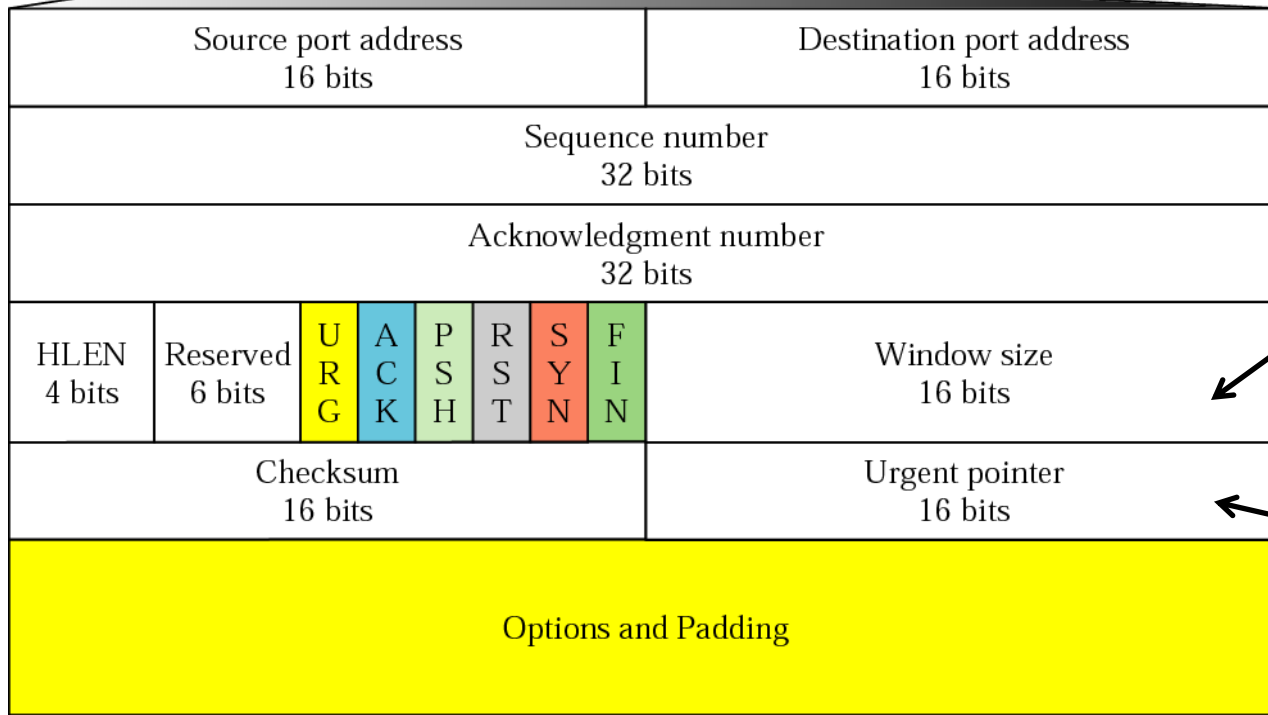
TCP Header



طول هدر بر حسب کلمه ۴
بایتی



TCP Header



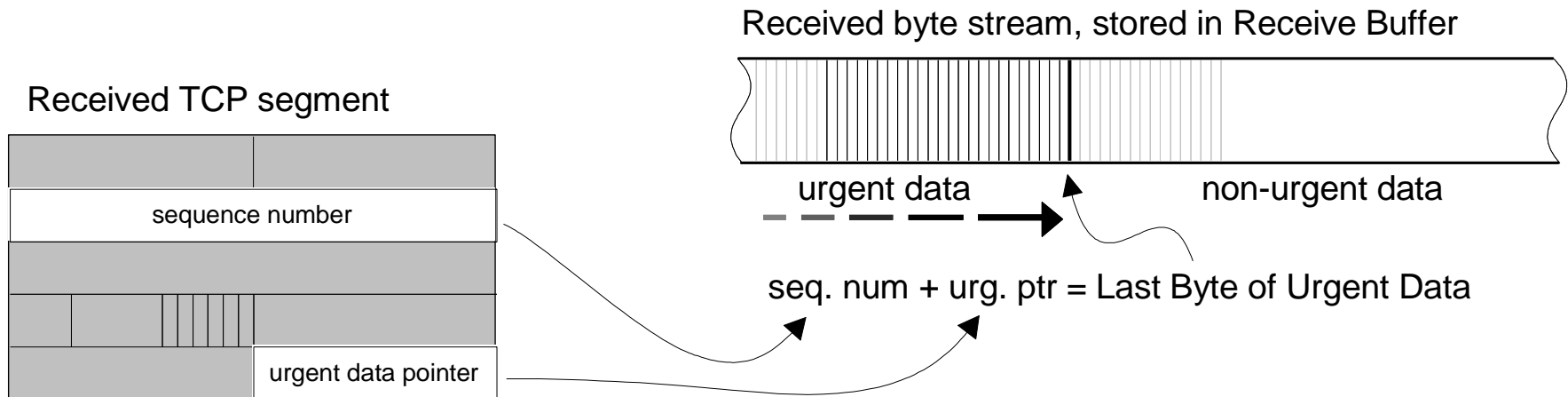
اندازه پنجره دریافت - مورد استفاده در مکانیسم کنترل جریان

این فیلد به عنوان یک اشاره گر موقعیت داده های اضطراری را درون سگمنت معین میکند



TCP Header - Urgent Data Pointer

- Last byte of urgent data (LBUD) = $\text{sequenceNumber} + \text{urgentPointer}$
- First byte of urgent data never explicitly defined
- Any data in Receive buffer up to LBUD may be considered urgent



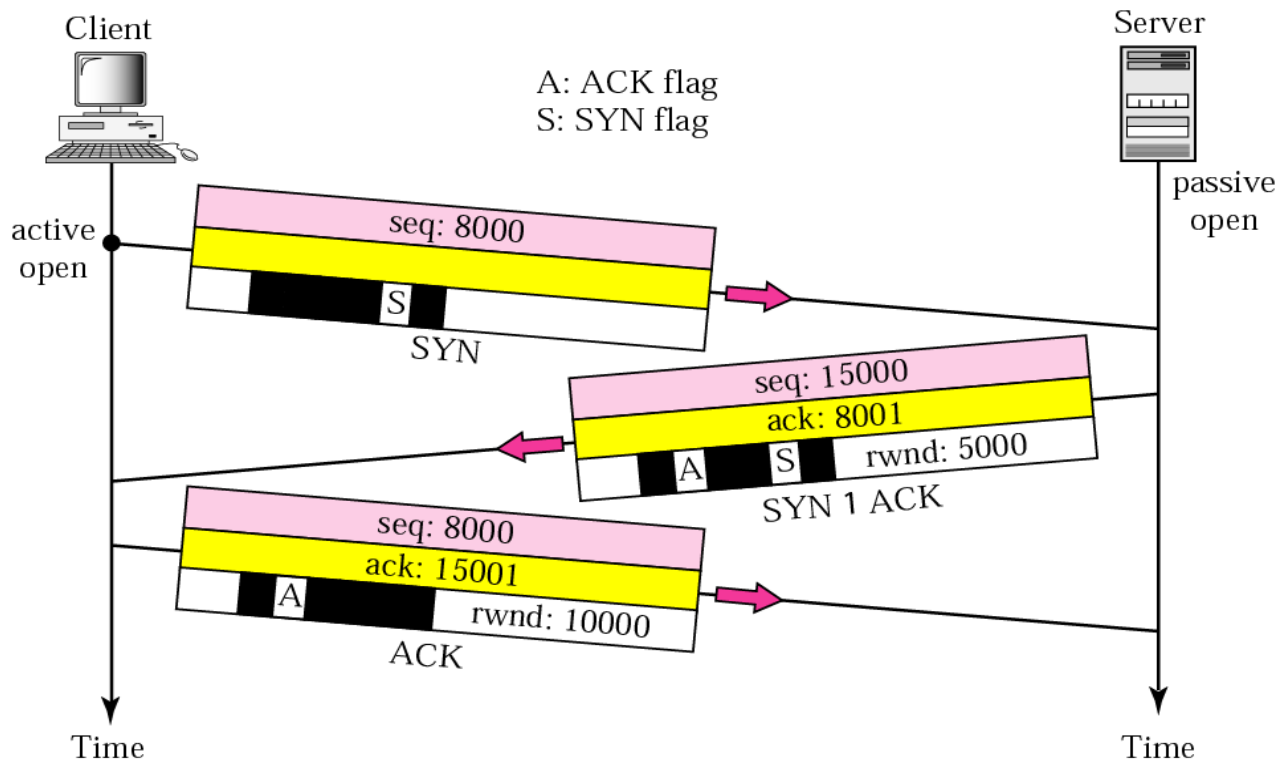
Description of flags in the control field

| <i>Flag</i> | <i>Description</i> |
|-------------|--|
| URG | The value of the urgent pointer field is valid |
| ACK | The value of the acknowledgment field is valid |
| PSH | Push the data |
| RST | The connection must be reset |
| SYN | Synchronize sequence numbers during connection |
| FIN | Terminate the connection |

بیت‌های **SYN** و **FIN** و **ACK** برای برقراری و قطع اتصال استفاده می‌شوند



Connection establishment using “three-way handshaking”



A SYN segment cannot carry data, but it consumes one sequence number.



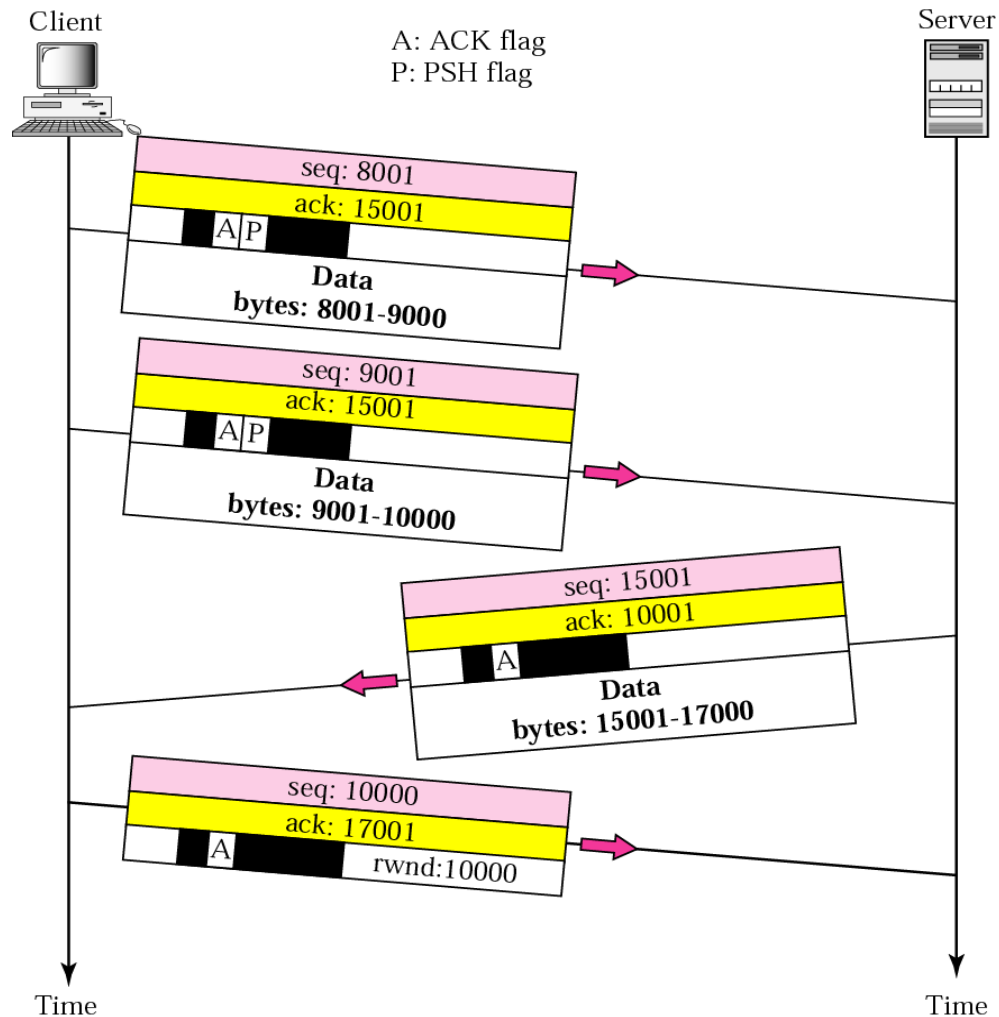


A SYN + ACK segment cannot carry data, but does consume one sequence number.

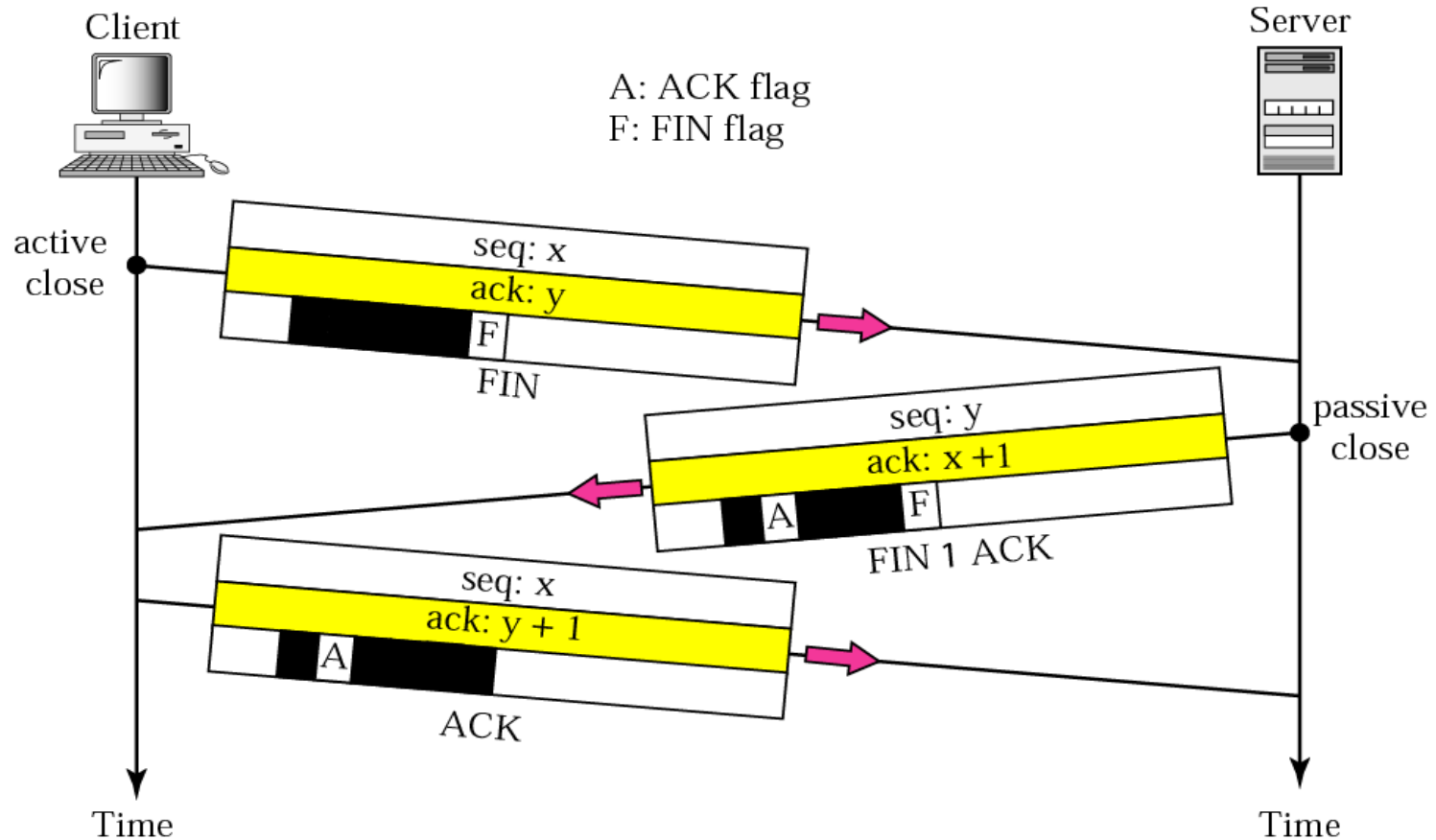
An ACK segment, if carrying no data, consumes no sequence number.



Data transfer



Connection termination



The FIN and (FIN+ACK) segments consume one sequence number if they do not carry data.

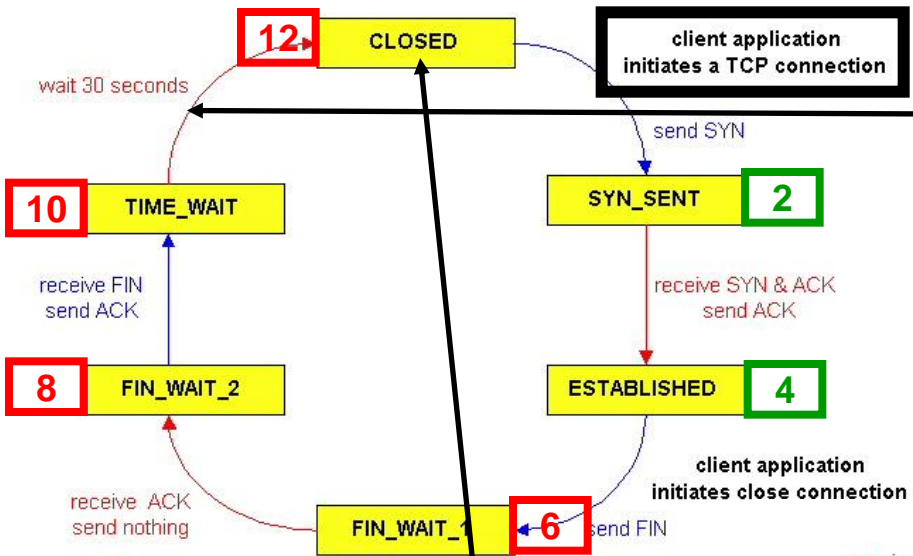


States for TCP

| <i>State</i> | <i>Description</i> |
|--------------------|--|
| CLOSED | There is no connection |
| LISTEN | Passive open received; waiting for SYN |
| SYN-SENT | SYN sent; waiting for ACK |
| SYN-RCVD | SYN+ACK sent; waiting for ACK |
| ESTABLISHED | Connection established; data transfer in progress |
| FIN-WAIT-1 | First FIN sent; waiting for ACK |
| FIN-WAIT-2 | ACK to first FIN received; waiting for second FIN |
| CLOSE-WAIT | First FIN received, ACK sent; waiting for application to close |
| TIME-WAIT | Second FIN received, ACK sent; waiting for 2MSL time-out |
| LAST-ACK | Second FIN sent; waiting for ACK |
| CLOSING | Both sides have decided to close simultaneously |



TCP states

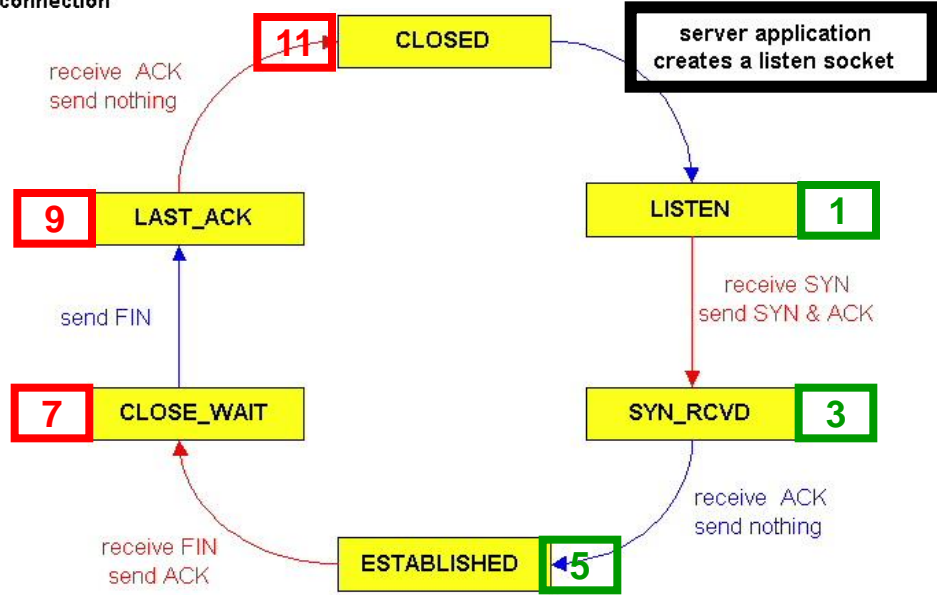


Used in case ACK gets lost. It is implementation-dependent (e.g. 30 seconds, 1 minute, 2 minutes)

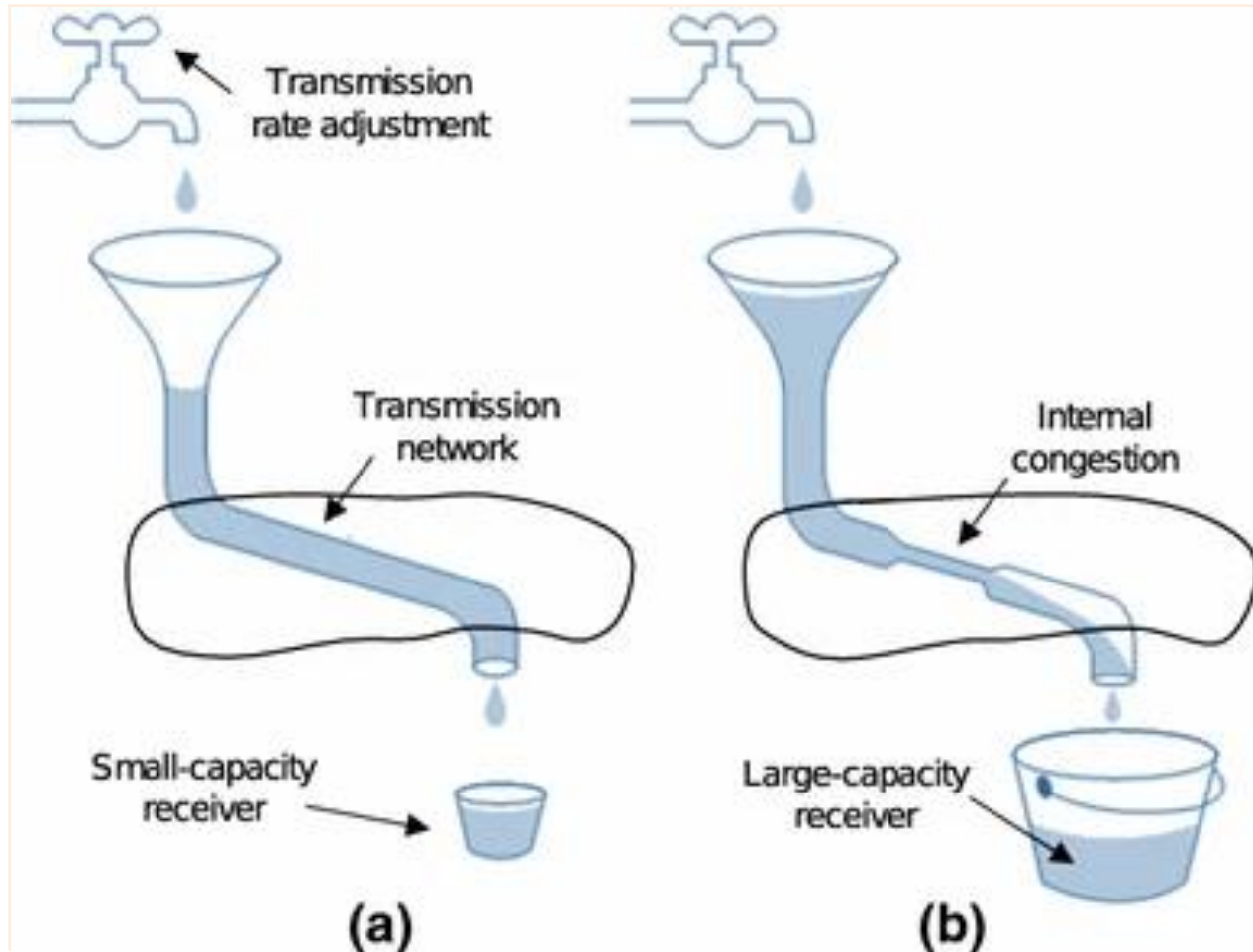
TCP client lifecycle

Connection formally closes – all resources (e.g. port numbers) are released

TCP server lifecycle



TCP flow control and congestion control



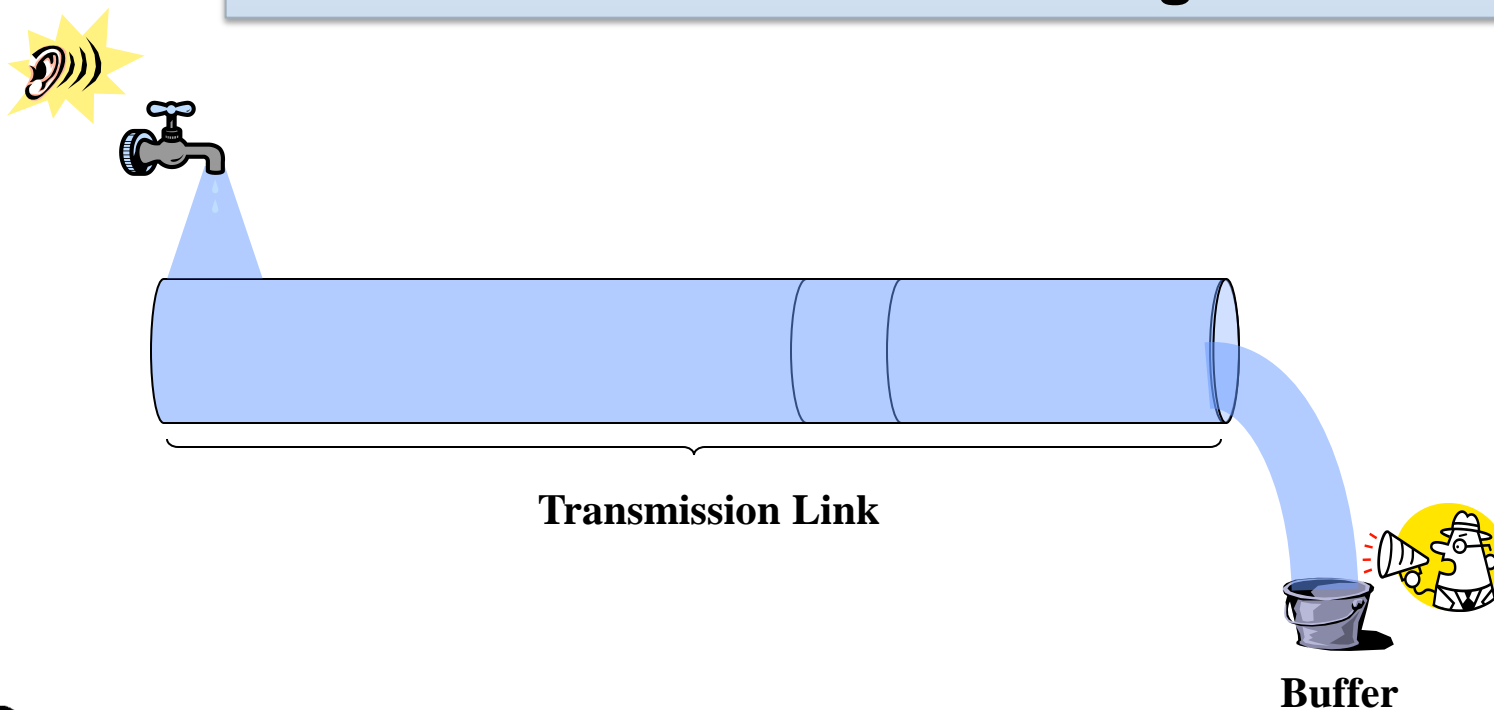
Flow control

Congestion control

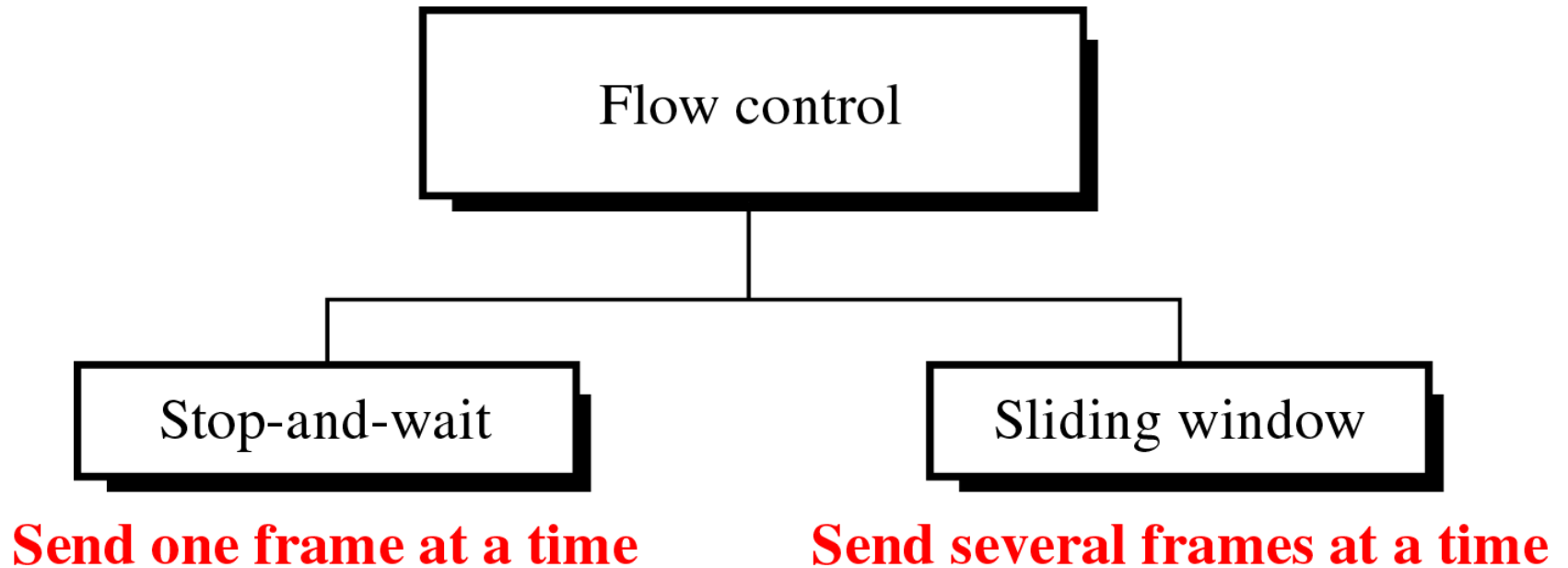


Flow Control

The process of managing the rate of data transmission between two nodes to prevent a fast sender from overwhelming a slow receiver



Categories of Flow Control

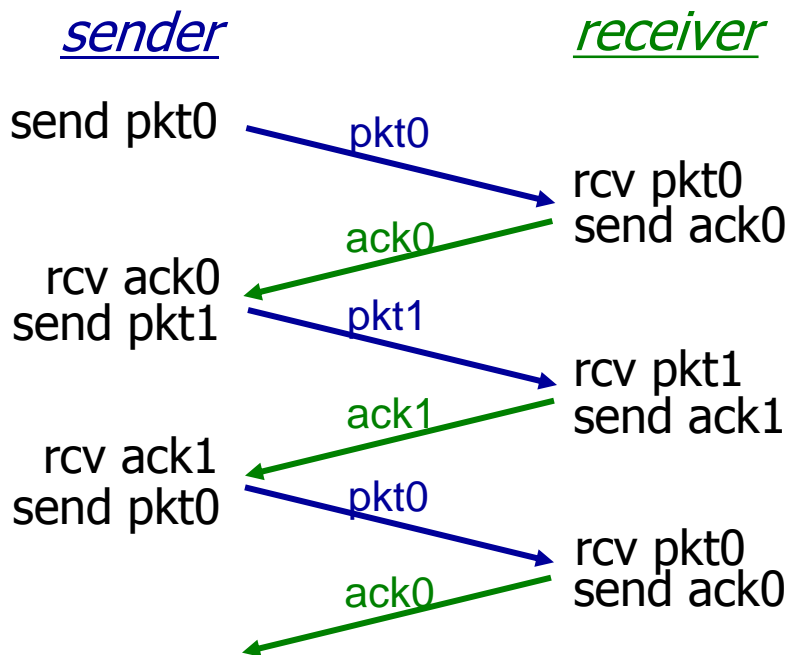


Stop-and-Wait Automatic Repeat reQuest

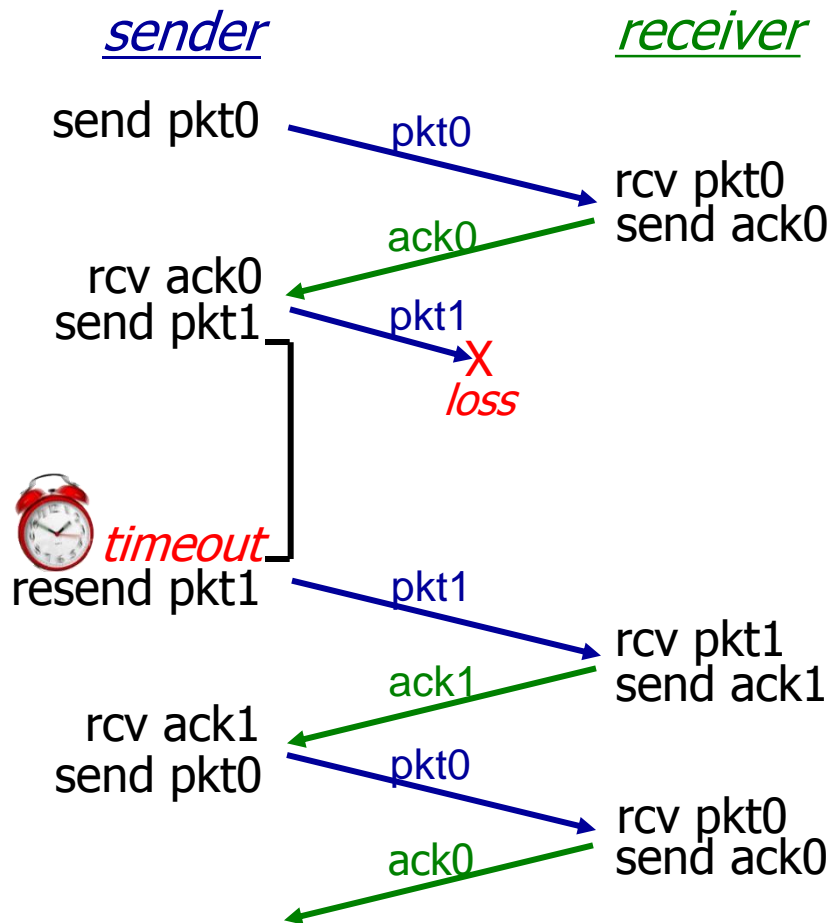
- Simplest flow and error control mechanism
- The sending device keeps a copy of the last frame transmitted until it receives an acknowledgement
 - identification of duplicate transmission (lost or delayed **ACK**)
- A damaged or lost frame is treated in the same way
- **Timers** introduced
- Positive ACK sent only for frames received safe & sound



Stop-and-Wait



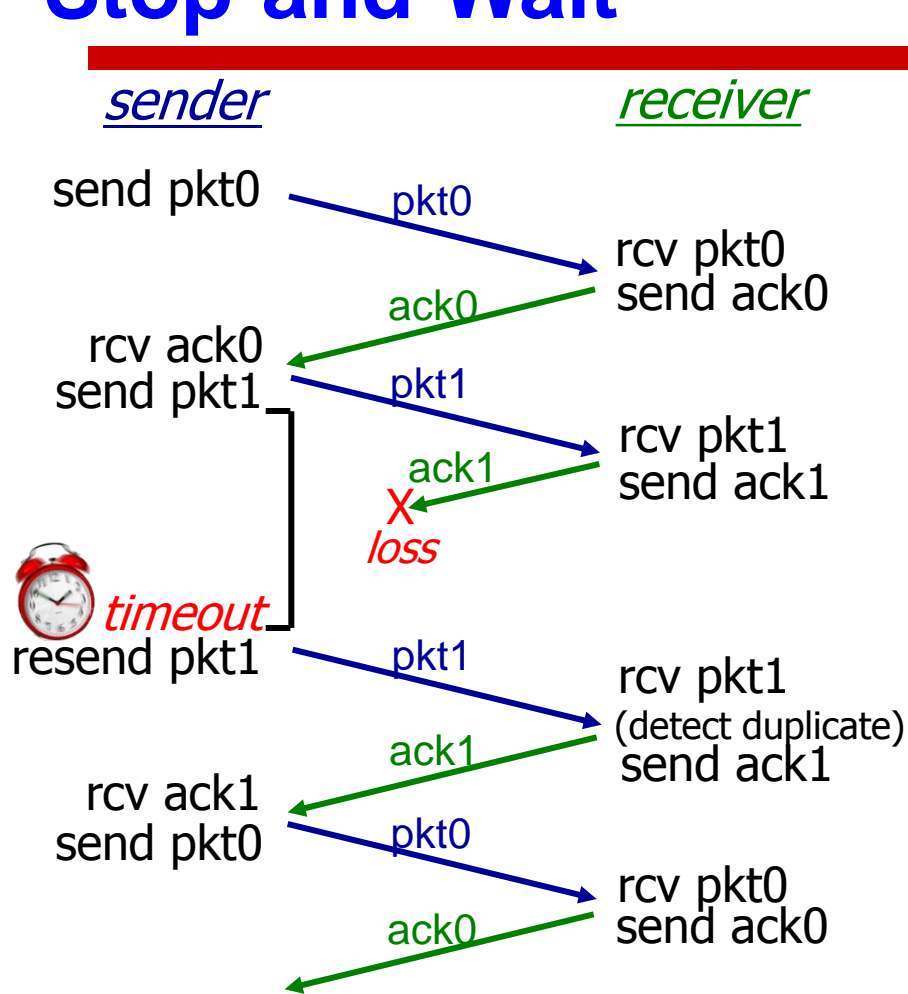
(a) no loss



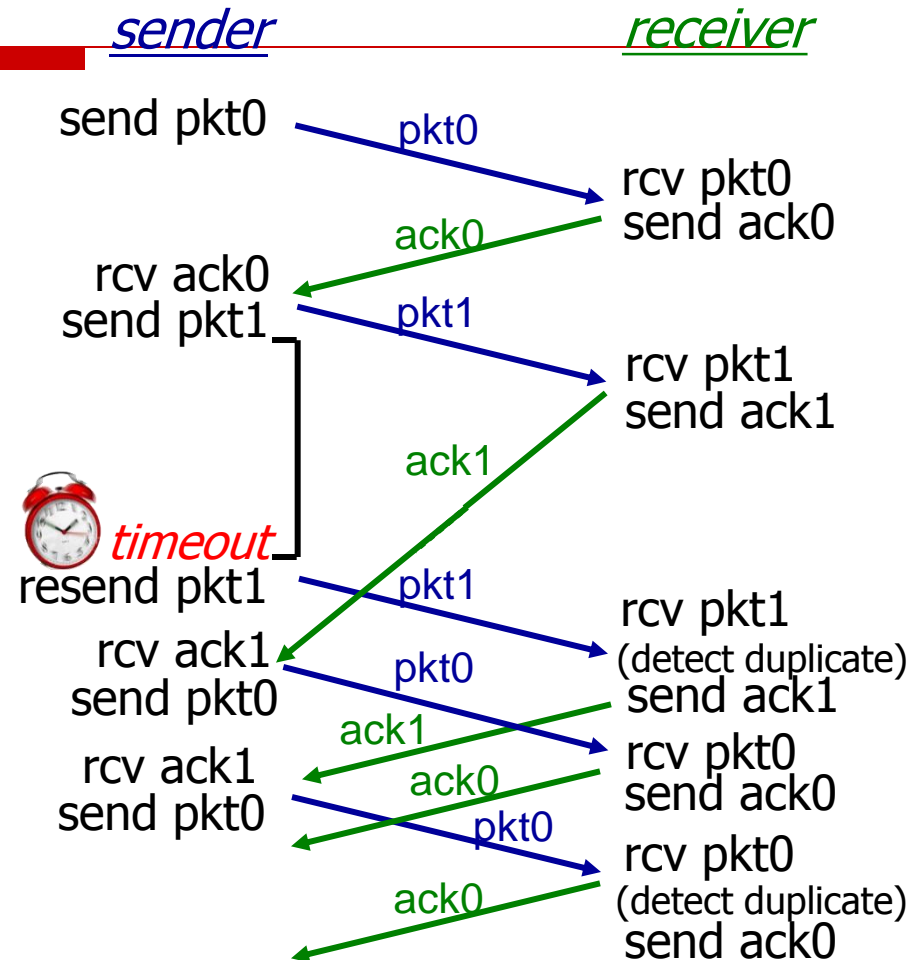
(b) packet loss



Stop-and-Wait



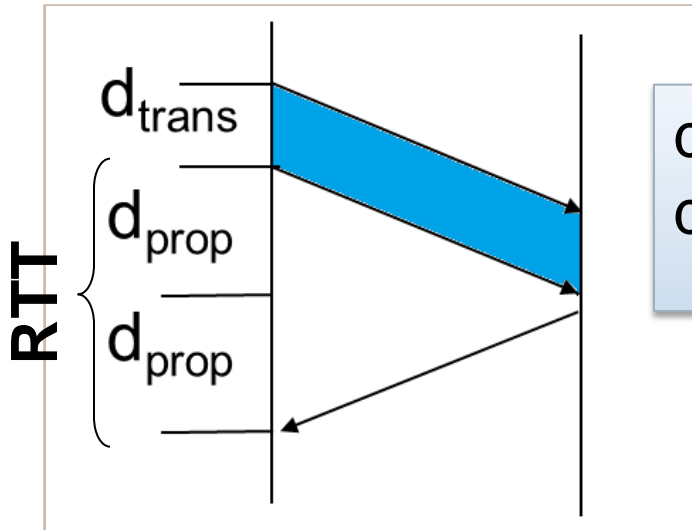
(c) ACK loss



(d) premature timeout/ delayed ACK



Performance of Stop-and-wait ARQ



$$d_{trans} = (\text{Frame size}) / \text{Bandwidth}$$
$$d_{prop} = (\text{Speed of signal}) / (\text{Channel length})$$

$$\text{Utilization} = d_{trans} / (d_{trans} + 2 d_{prop}), \quad \text{error free case}$$

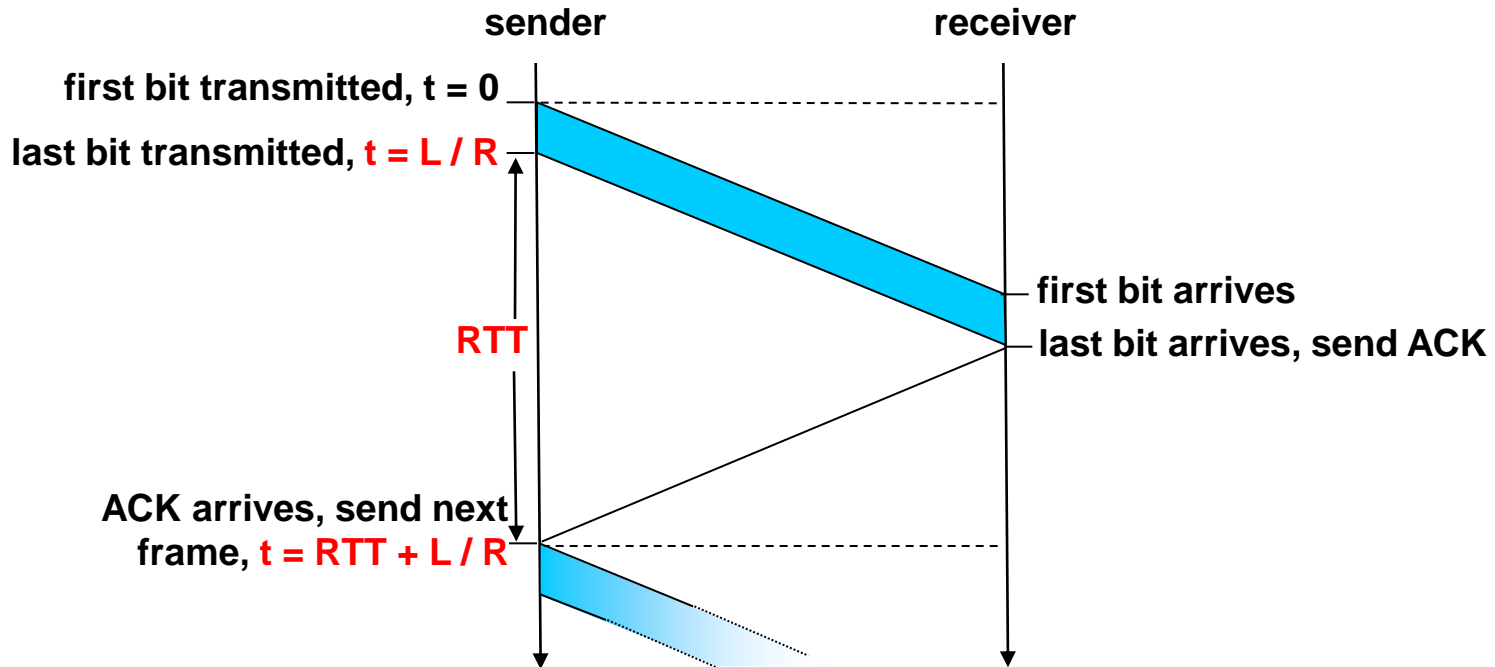
or

$$\text{Utilization} = (1 - P_E) d_{trans} / (d_{trans} + 2 d_{prop}), \quad \text{error case}$$



Stop-and-wait operation

Example: 1 Gbps link, 15 ms prop. delay, 8000 bit frame:



$$d_{trans} = \frac{L}{R} = \frac{8000\text{bits}}{10^9\text{bps}} = 8\text{microseconds}$$

$$U_{sender} = \frac{L/R}{RTT + L/R} = \frac{.008}{30.008} = 0.00027$$

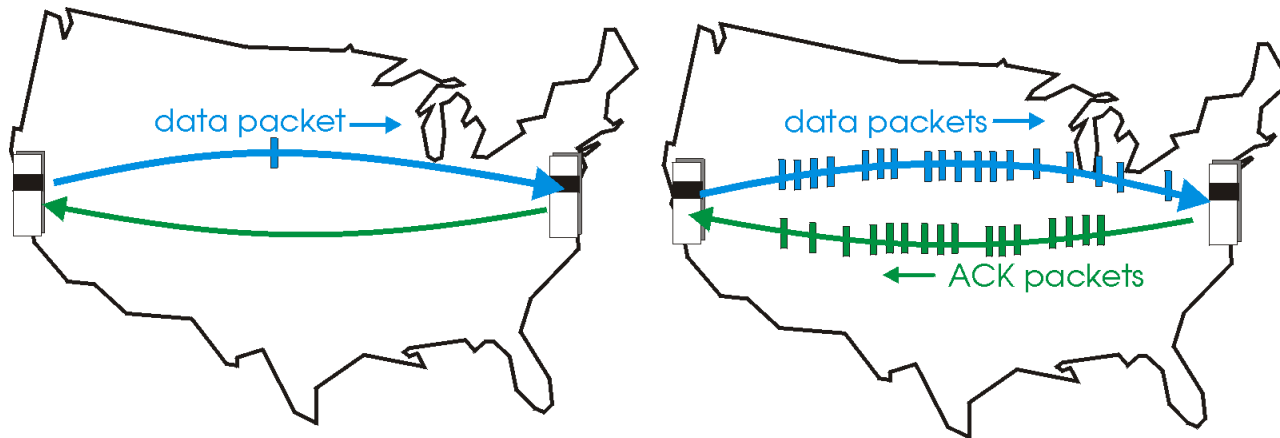
very low



Sliding window (Pipelined) protocols

Pipelining: sender allows multiple, “in-flight”, yet-to-be-acknowledged frames

- range of sequence numbers must be increased
- buffering at sender and/or receiver



(a) a stop-and-wait protocol in operation

(b) a pipelined protocol in operation

- Two generic forms of pipelined protocols:

Go-Back-N , *Selective repeat*



Sliding Window Protocols

Go Back n

از بسته خراب شده به بعد مجددا ارسال شوند

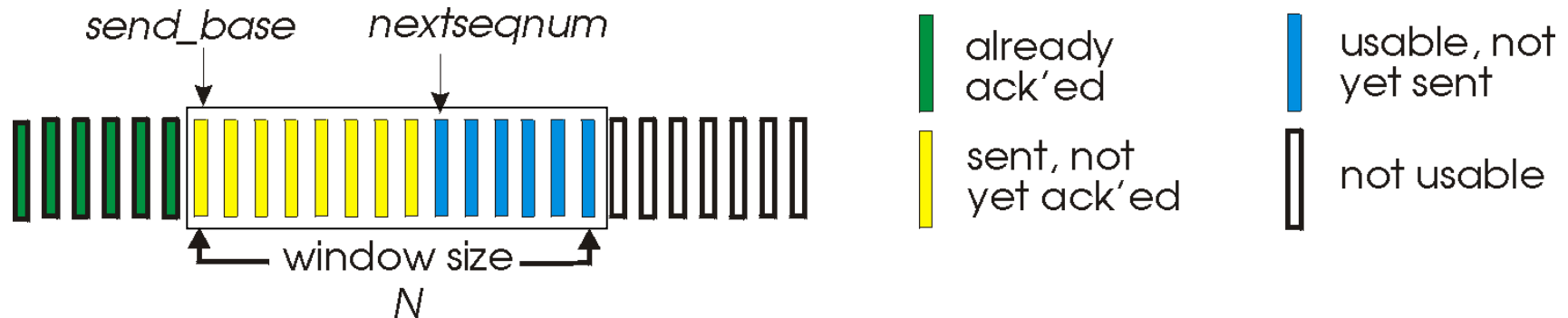
Selective Repeat

فقط بسته خراب شده مجددا ارسال شود



Go-Back-N: sender

- k-bit seq # in pkt header
- “window” of up to N, consecutive unack’ed pkts allowed



- ACK(n): ACKs all pkts up to, including seq # n - **“cumulative ACK”**
 - may receive duplicate ACKs (see receiver)
- timer for oldest in-flight pkt
- *timeout(n)*: retransmit packet n and all higher seq # pkts in window



GBN in action

sender window (N=4)

0 1 2 3 4 5 6 7 8
 0 1 2 3 4 5 6 7 8
 0 1 2 3 4 5 6 7 8
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8
 0 1 2 3 4 5 6 7 8
 0 1 2 3 4 5 6 7 8
 0 1 2 3 4 5 6 7 8

sender

send pkt0
 send pkt1
 send pkt2
 send pkt3
 (wait)

rcv ack0, send pkt4
 rcv ack1, send pkt5

ignore duplicate ACK



pkt 2 timeout

send pkt2
 send pkt3
 send pkt4
 send pkt5

receiver

receive pkt0, send ack0
 receive pkt1, send ack1
 receive pkt3, discard,
 (re)send ack1
 receive pkt4, discard,
 (re)send ack1
 receive pkt5, discard,
 (re)send ack1

rcv pkt2, deliver, send ack2
 rcv pkt3, deliver, send ack3
 rcv pkt4, deliver, send ack4
 rcv pkt5, deliver, send ack5

X loss

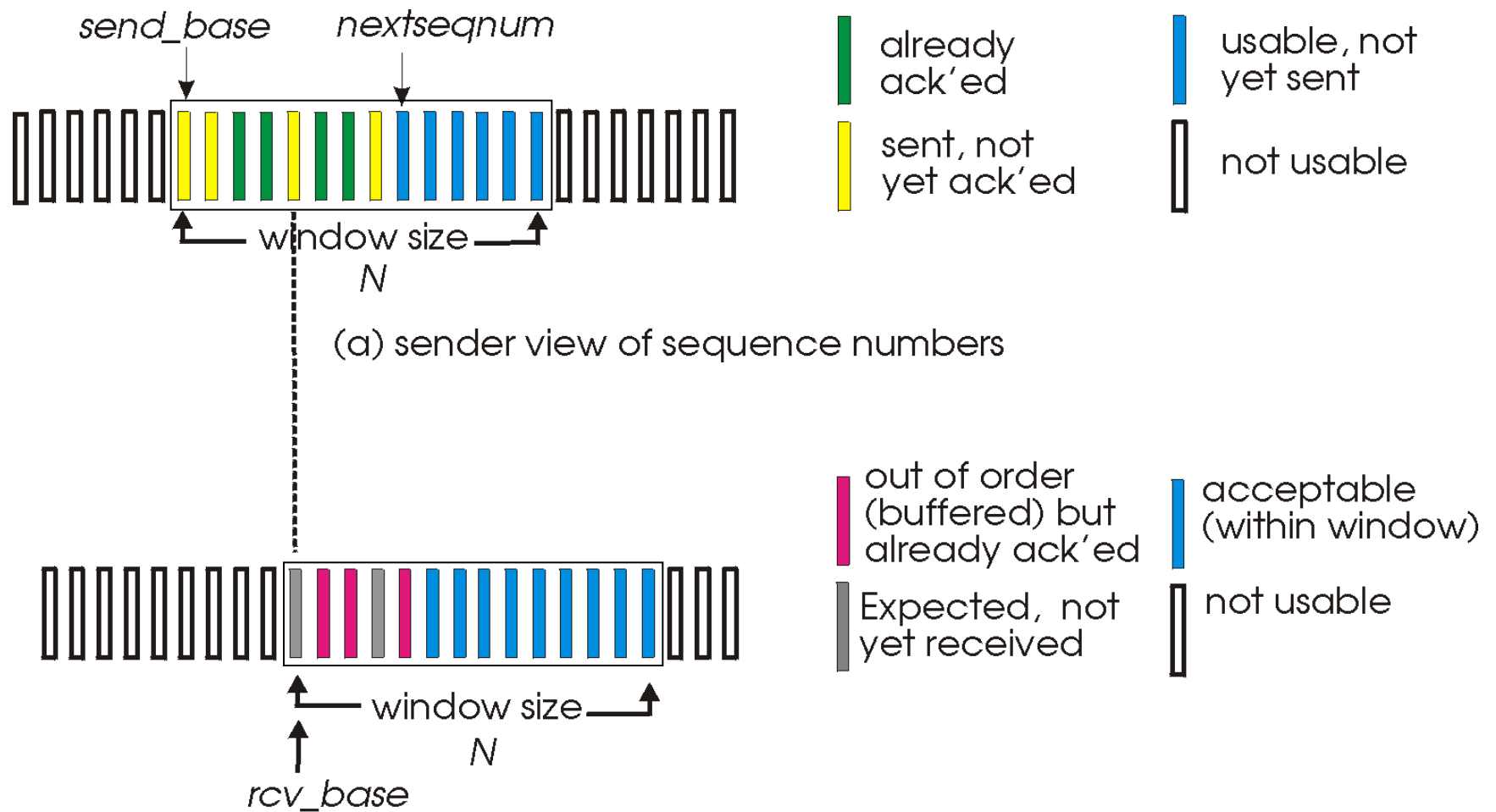


Selective repeat

- receiver *individually* acknowledges all correctly received pkts
 - buffers pkts, as needed, for eventual in-order delivery to upper layer
- sender only resends pkts for which ACK not received
 - sender timer for each unACKed pkt
- sender window
 - N consecutive seq #'s
 - limits seq #'s of sent, unACKed pkts



Selective repeat: sender, receiver windows



Selective repeat in action

sender window (N=4)

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

sender

send pkt0

send pkt1

send pkt2

send pkt3

(wait)

rcv ack0, send pkt4

rcv ack1, send pkt5

record ack3 arrived



pkt 2 timeout

send pkt2

record ack4 arrived

record ack5 arrived

receiver

receive pkt0, send ack0

receive pkt1, send ack1

receive pkt3, buffer,
send ack3

receive pkt4, buffer,
send ack4

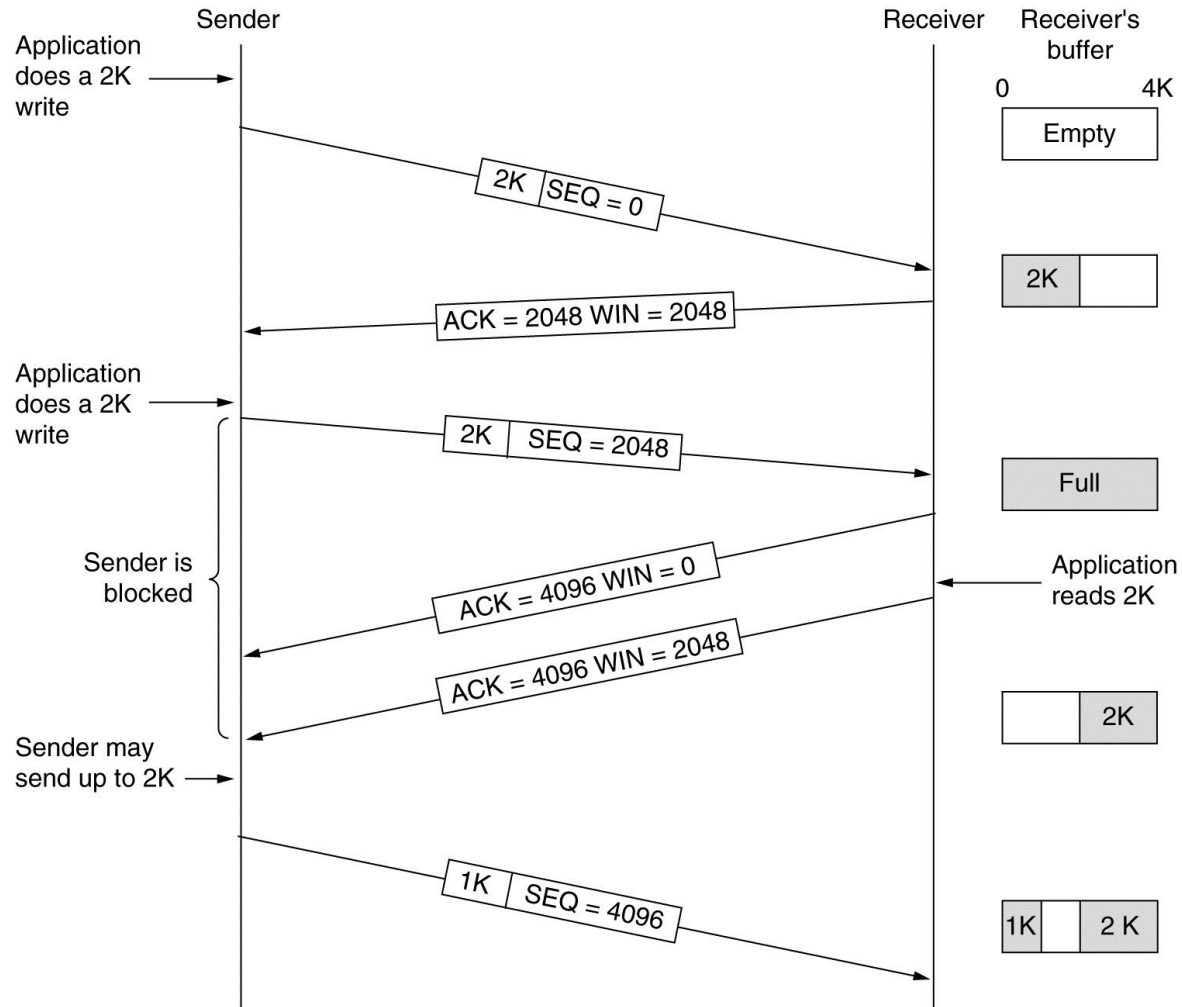
receive pkt5, buffer,
send ack5

rcv pkt2; deliver pkt2,
pkt3, pkt4, pkt5; send ack2

X loss



TCP Flow control

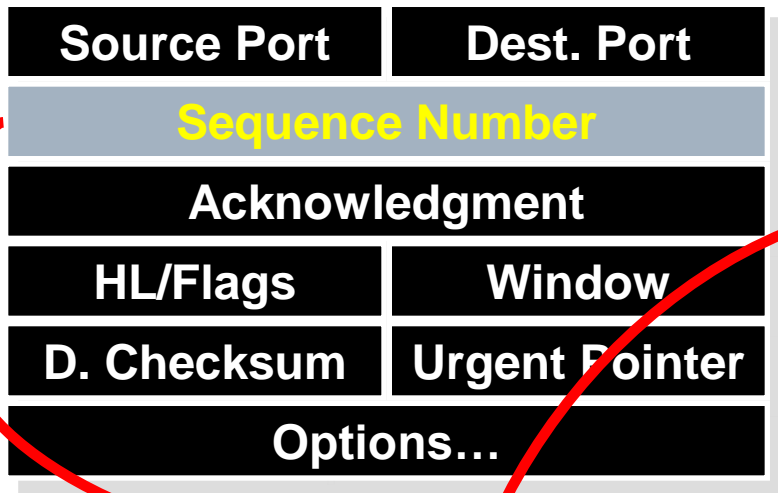


مقدار درج شده در فیلد **Window Size** در هر بسته **TCP**، به طرف مقابل تفهیم می‌کند که از بایتی که شماره آن در فیلد **Acknowledgement Number** درج شده، به اندازه چند بایت حق ارسال داده دارد. درج عدد صفر در فیلد **Window Size** کاملاً قانونی و معتبر است و در حقیقت بیان می‌کند که اگر چه تا بایت شماره **Acknowledgement Number-۱**، دریافت شده و لیکن به دلیل کمبود شدید فضای بافر، تا اطلاع ثانوی نمی‌تواند پذیرای داده بیشتری باشد. گیرنده بعداً می‌تواند به فرستنده مجوز ارسال بدهد: این کار با فرستادن یک بسته که در آن فیلد **Acknowledgement Number** همان مقدار قبلی را دارد و فیلد **Window Size** آن غیر صفر است، صورت می‌گیرد.

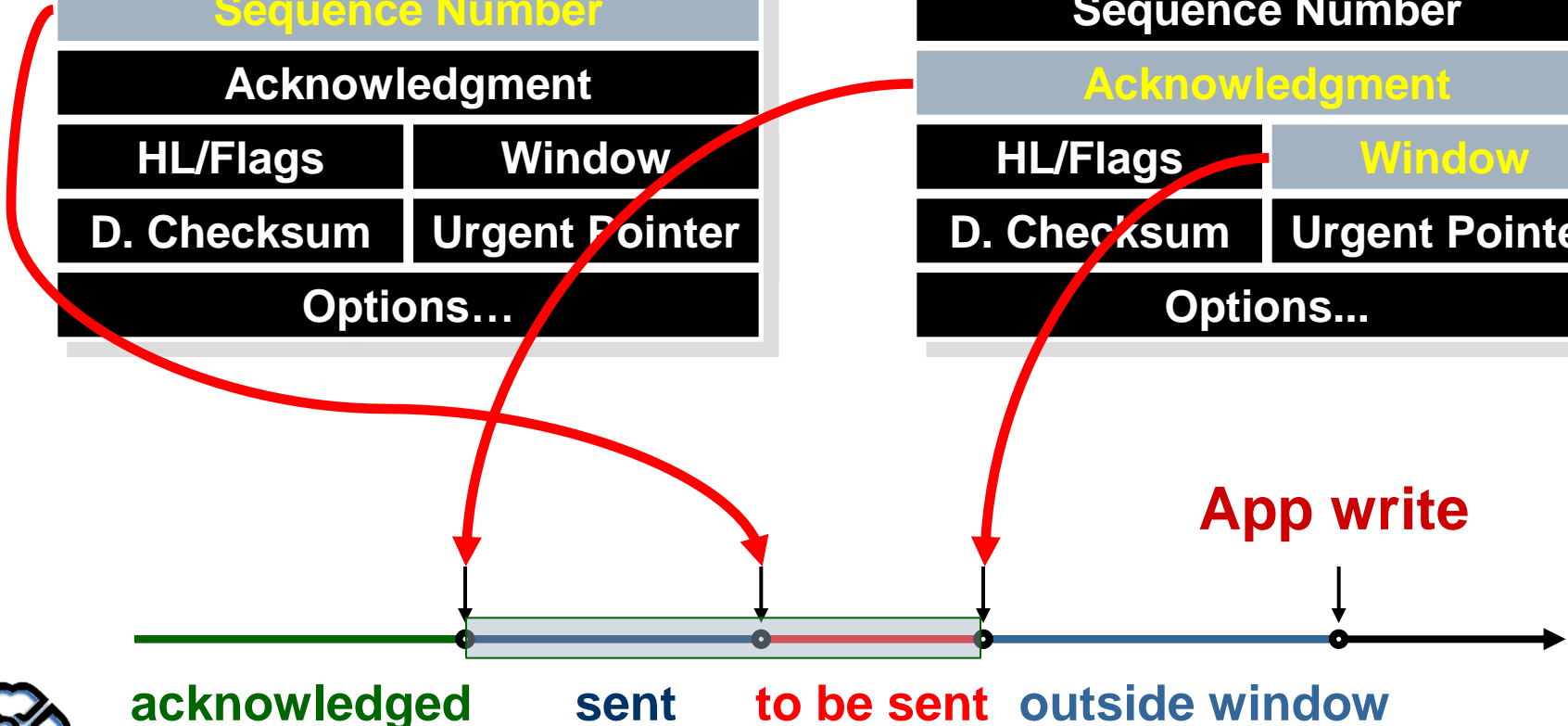
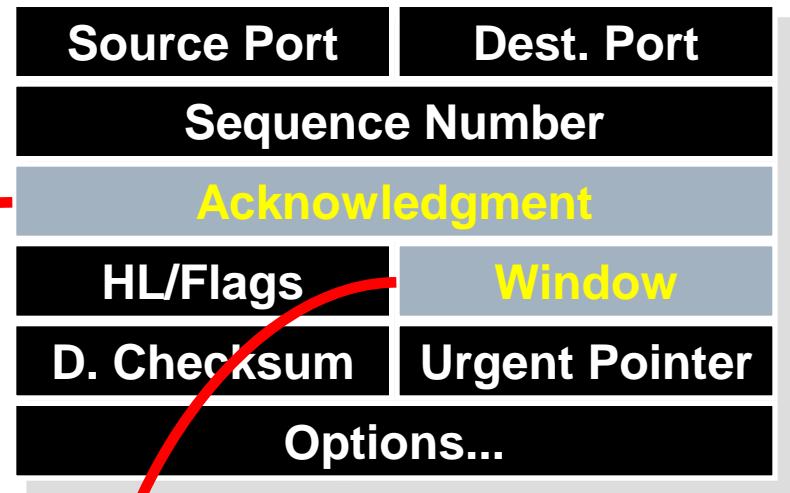


Window Flow Control: Sender Side

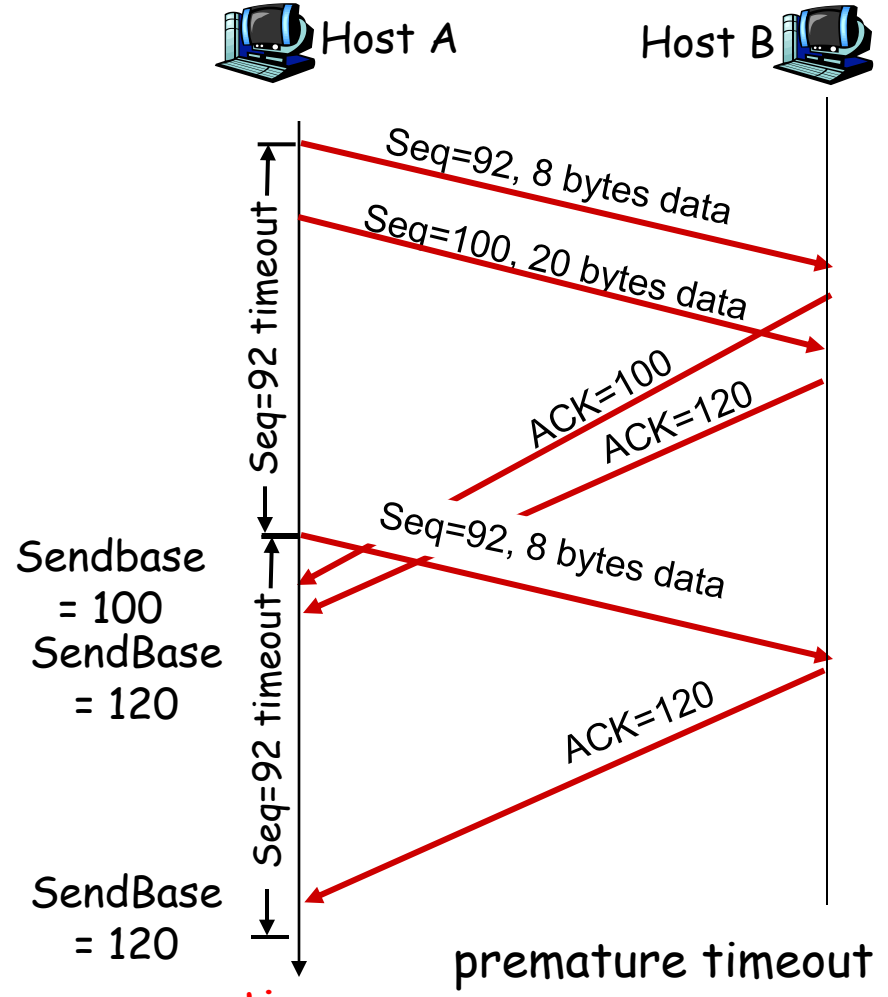
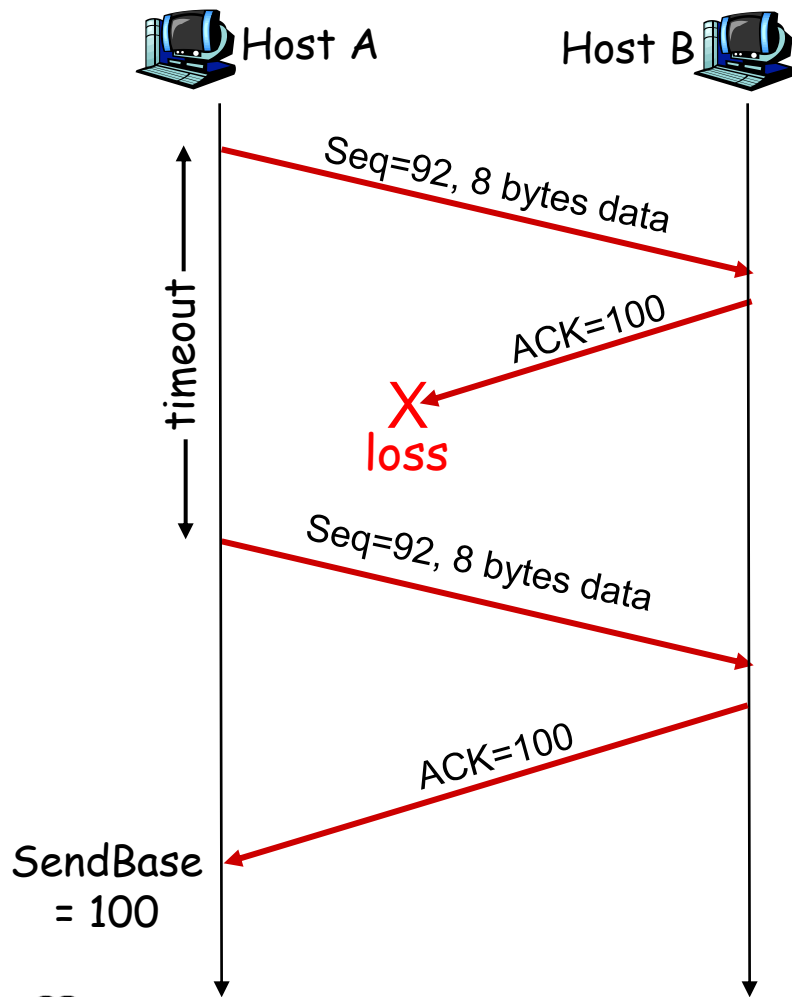
Segment Sent



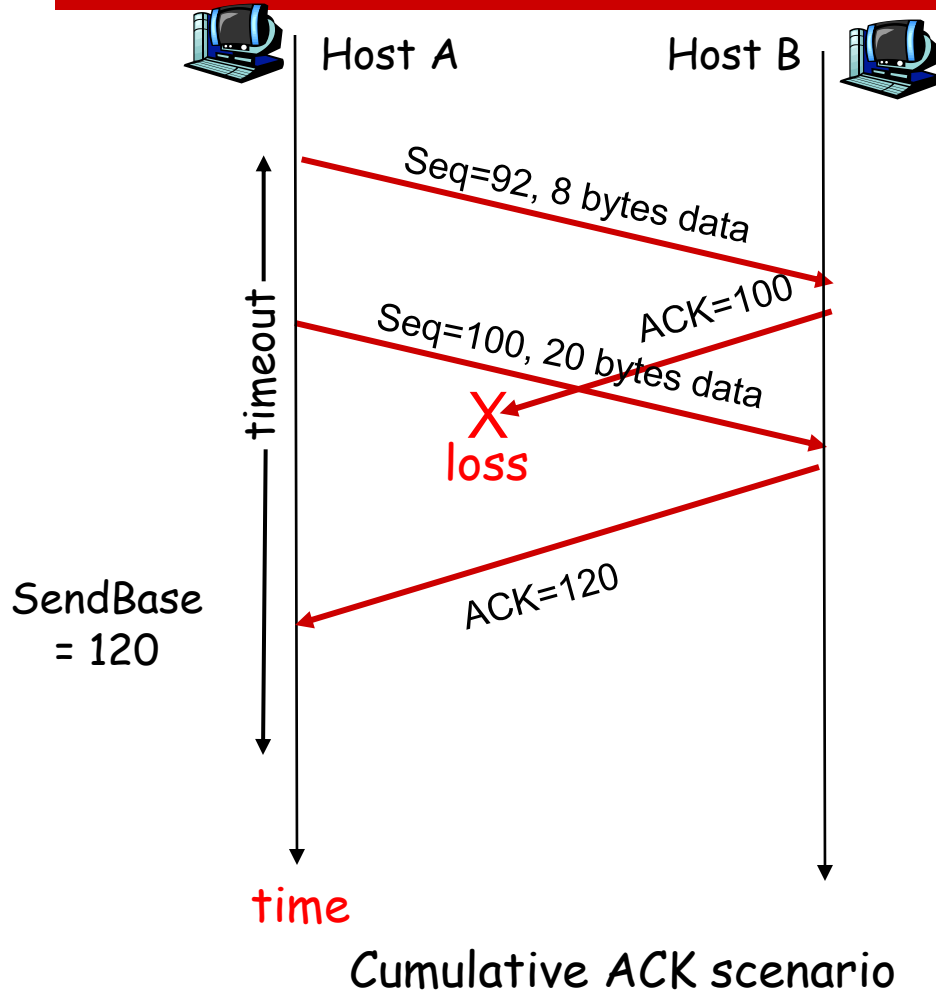
Segment Received



TCP: retransmission scenarios



TCP retransmission scenarios (more)



TCP Congestion Control

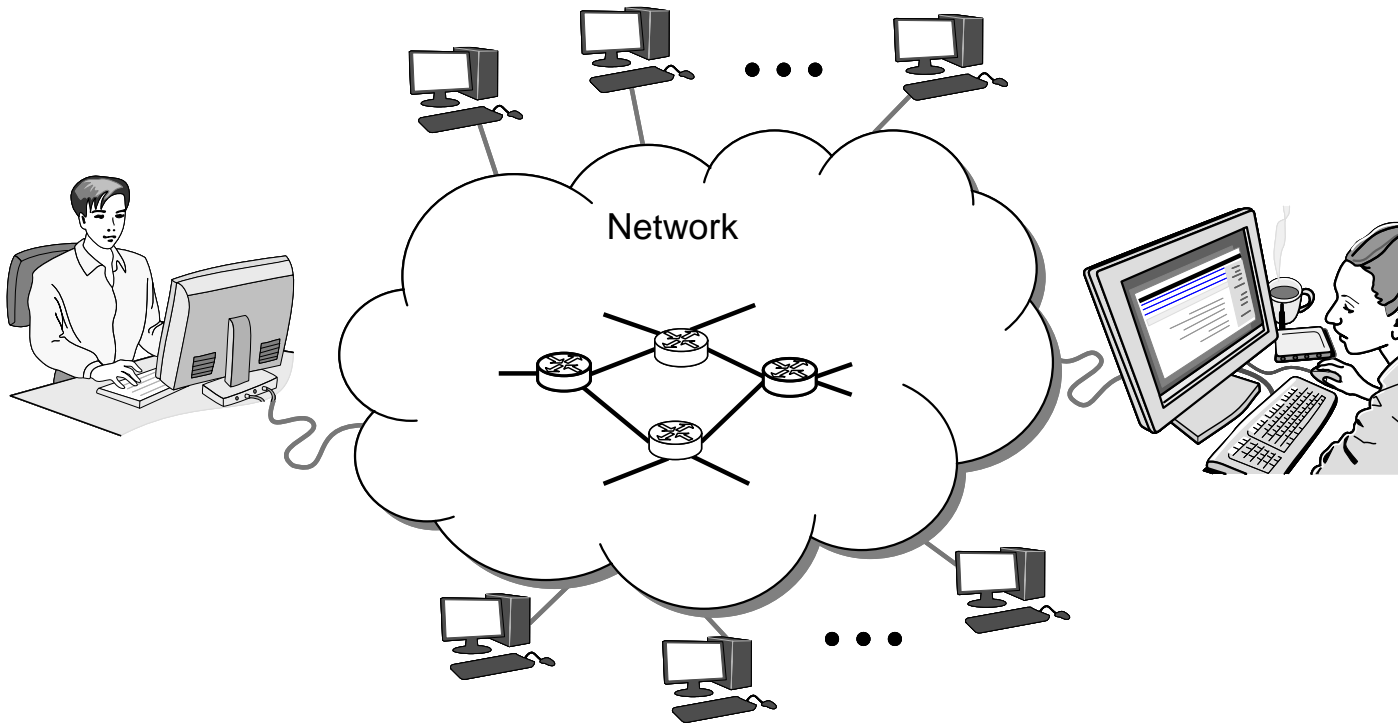


- TCP limit sending rate as a function of perceived network congestion
 - little traffic – increase sending rate
 - much traffic – reduce sending rate
- Congestion algorithm has three major “components”:
 - additive-increase, multiplicative-decrease (AIMD)
 - slow-start
 - reaction to timeout events



Network Conceptual Model

Many sources and many receivers ...

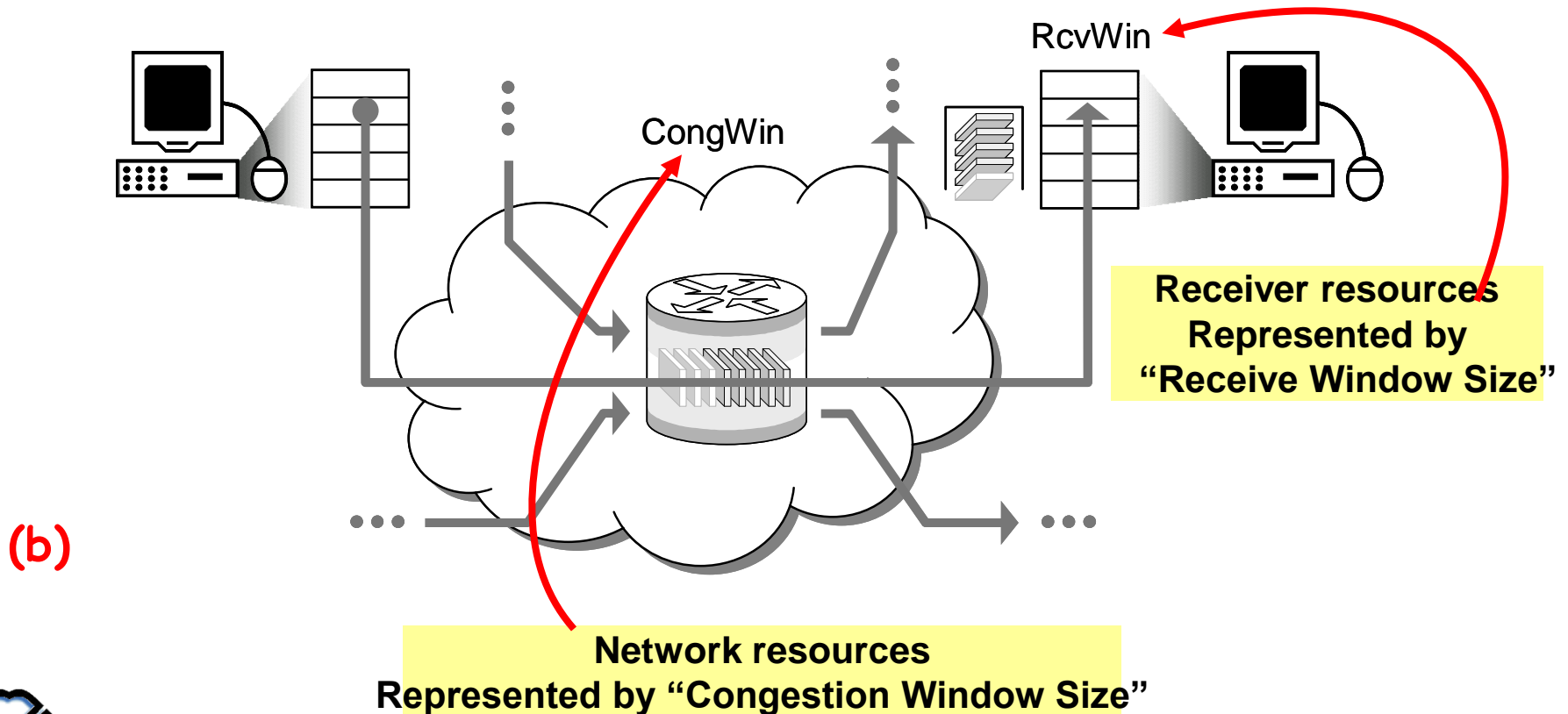


We don't know when sources will start/end their sessions; also their datarates are variable

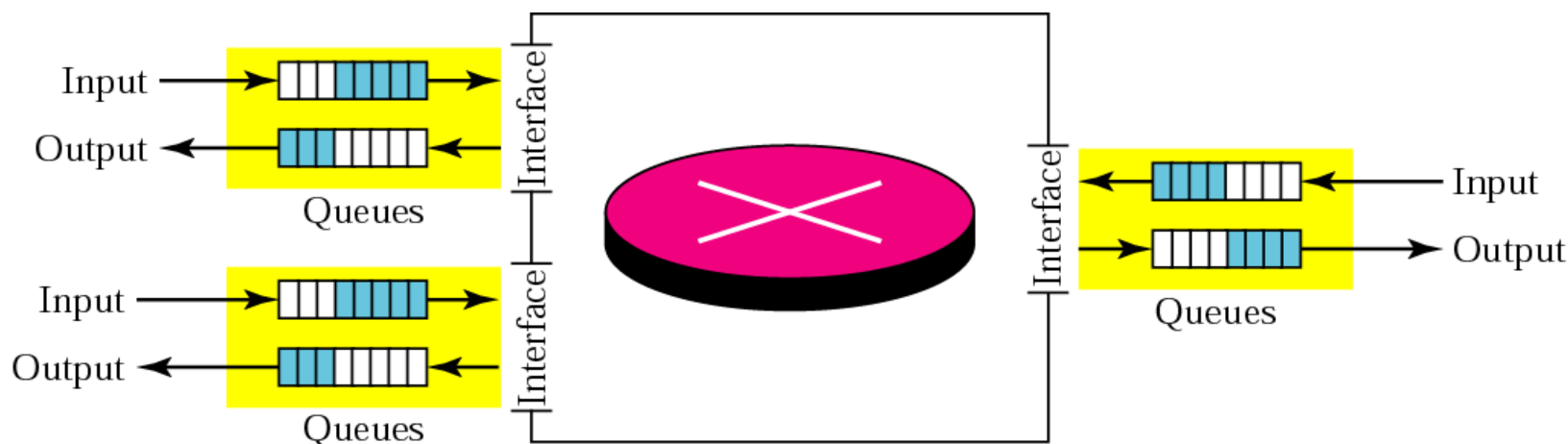


Simplified Network Model

The entire network is abstracted as a single router – “black box”

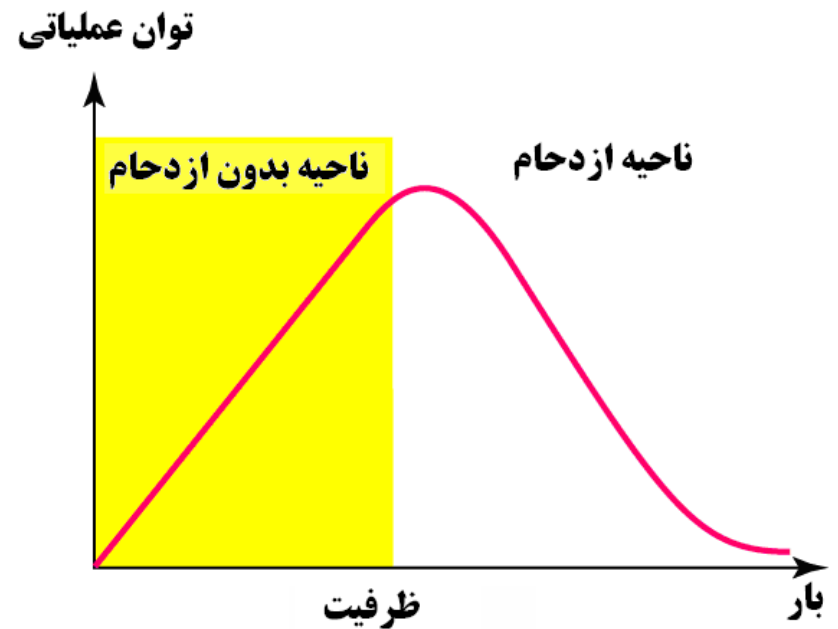
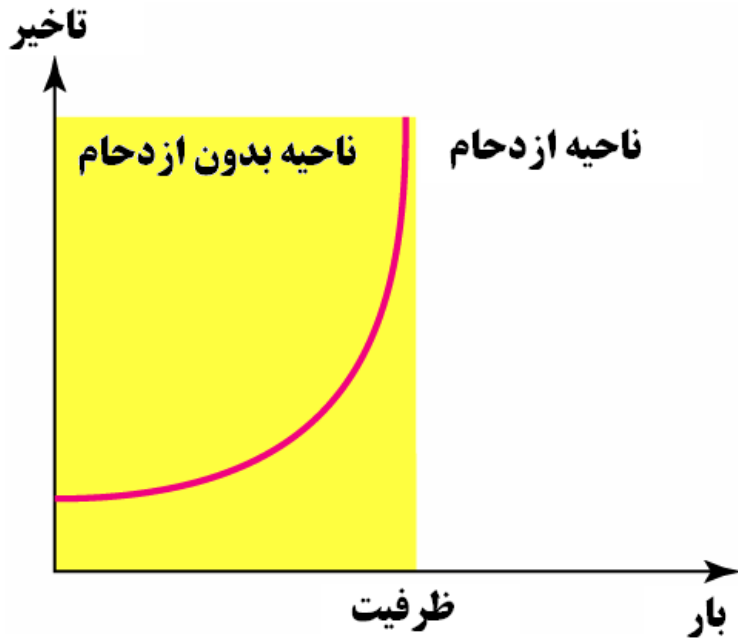


Router queues



اگر نرخ ورودی بسته ها، از سرعت پردازش بسته ها در داخل مسیریاب بیشتر باشد، صفهای ورودی طولانی خواهند شد.
اگر نرخ حرکت بسته ها در صفهای خروجی کمتر از نرخ پردازش آنها باشد، صفهای خروجی طولانی خواهند شد.
در صورت پر شدن بافر، مسیریاب مجبور به دور ریختن بسته میشود.

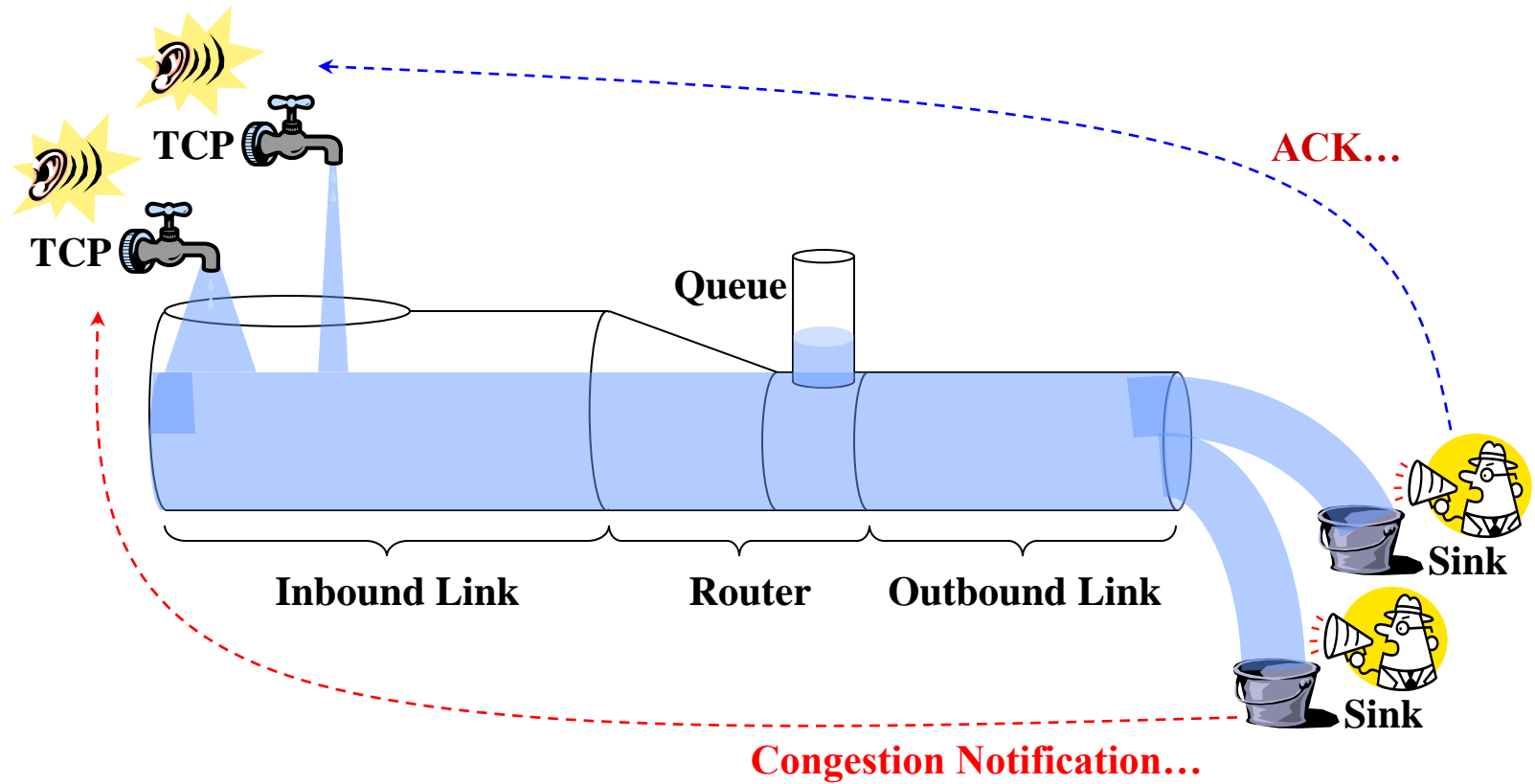




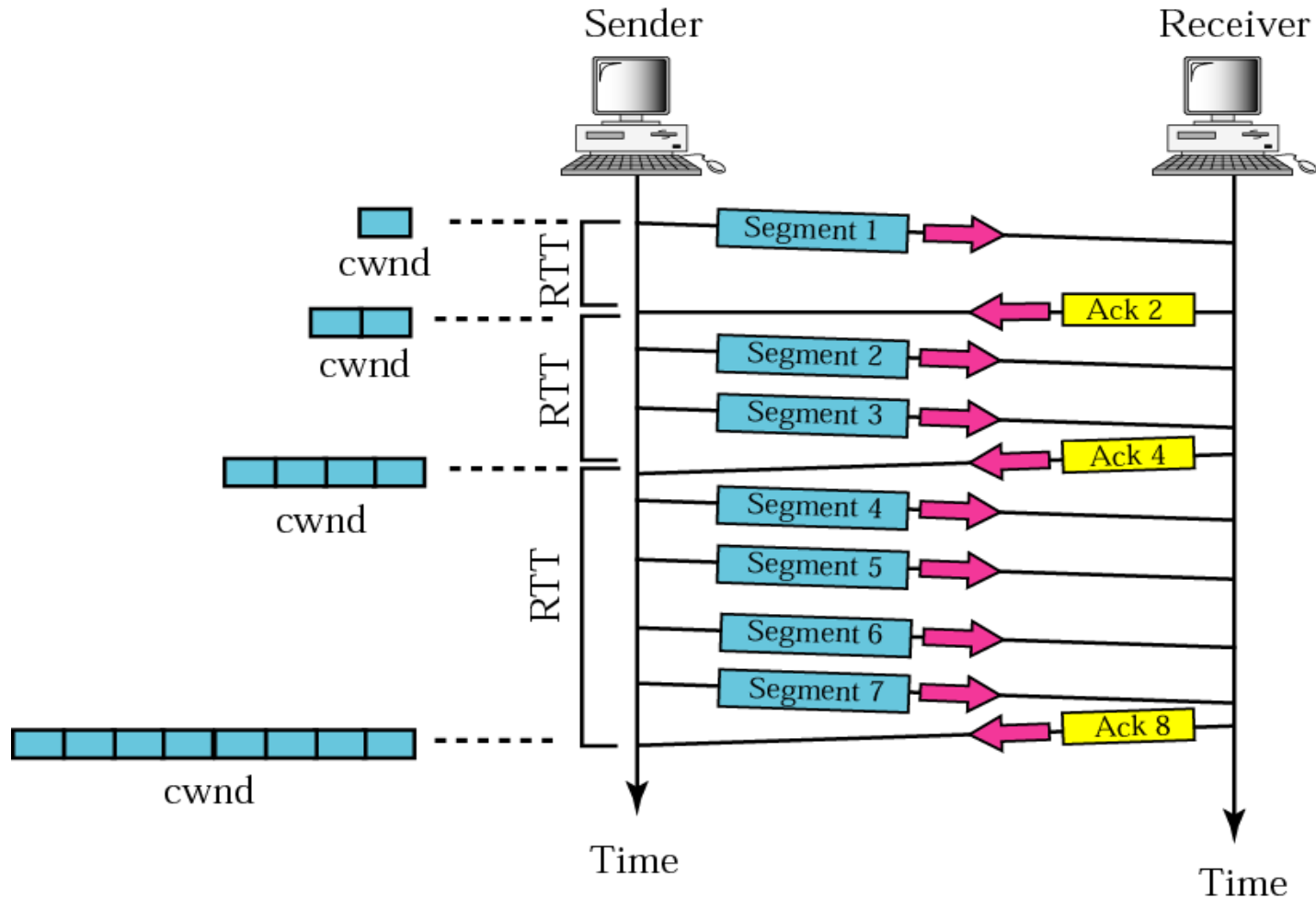
هنگامی که بار بیش از ظرفیت می‌گردد، تاخیر به سمت بینهایت می‌رود. در این حالت بسته‌ها به مقصد نمی‌رسند و صفها طولانی و طولانیتر خواهند شد. از طرف دیگر، فرستنده بسته‌ها نیز به دلیل اینکه پیام تصدیقی از جانب گیرنده دریافت نمی‌کند، اقدام به ارسال مجدد بسته‌ها نموده و به بدتر شدن شرایط کمک مینماید.



TCP in action

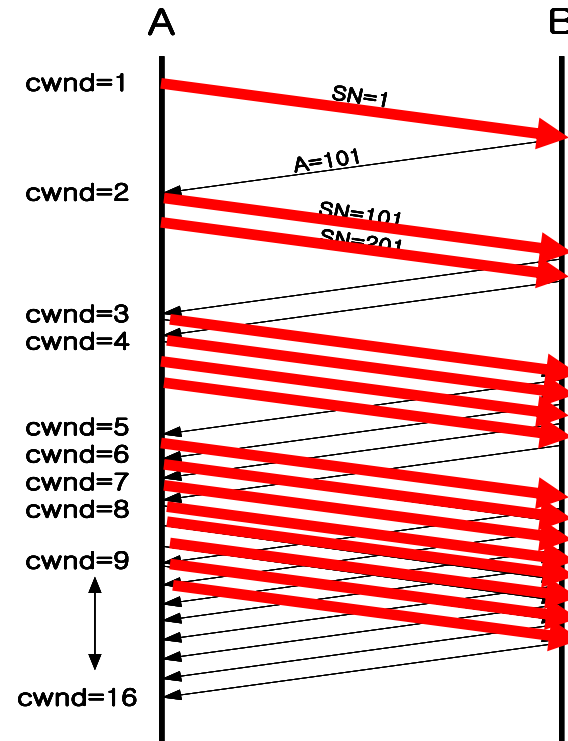
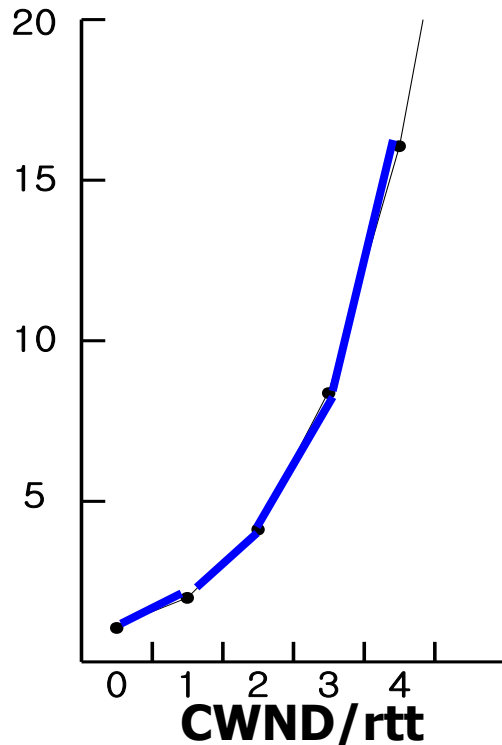


Slow start, exponential increase



Slow Start

- If CWND is less than or equal to SSTHRESH : Slow start
- Slow start dictates that CWND start at one segment, and be incremented by one segment every time an ACK is received



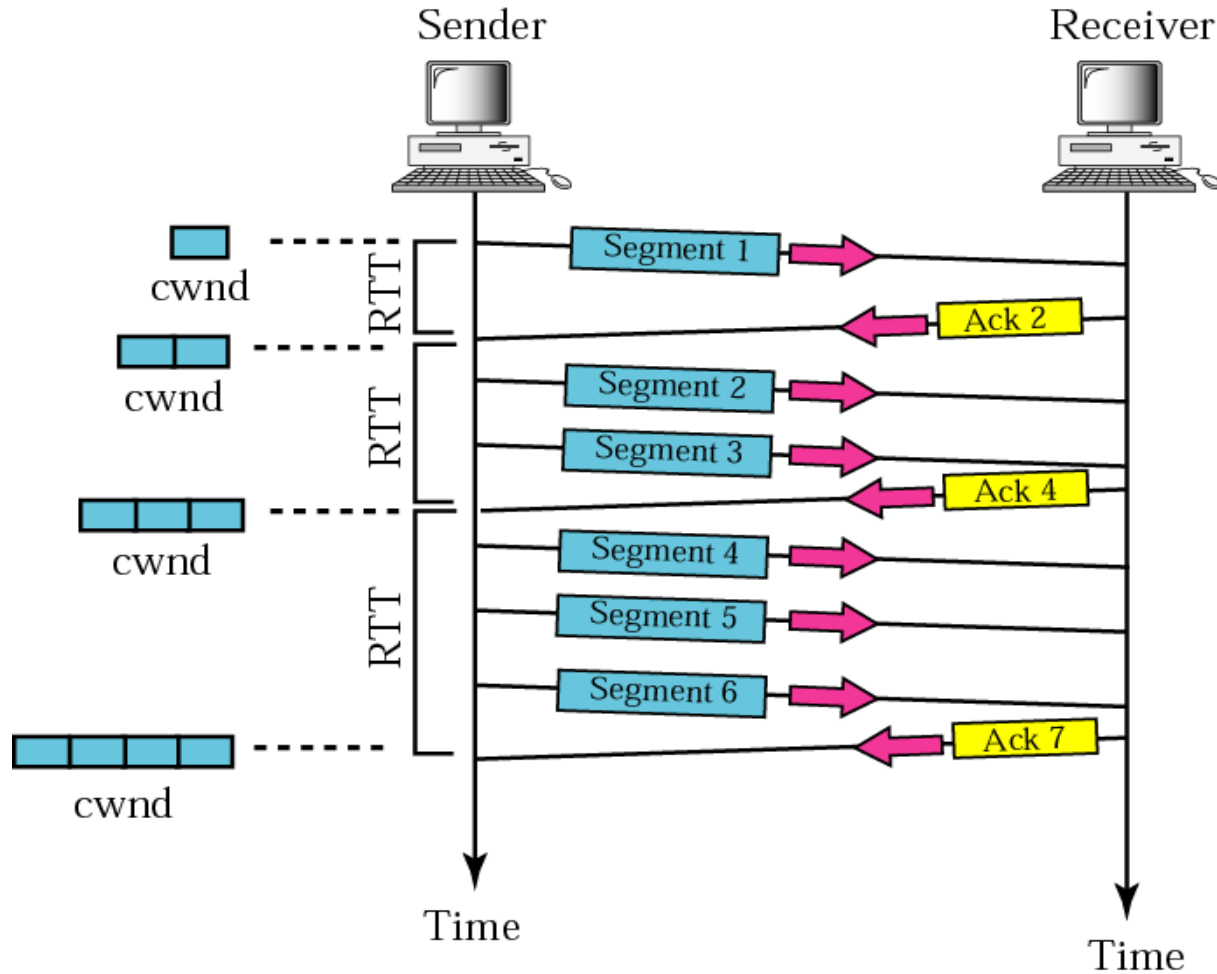


Note:

In the slow start algorithm, the size of the congestion window increases exponentially until it reaches a threshold.



Additive increase

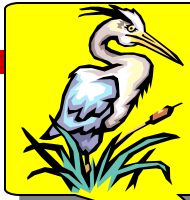




Note:

In the congestion avoidance algorithm the size of the congestion window increases additively until congestion is detected.





Note:

Most implementations react differently to congestion detection:

- ❑ If detection is by time-out, a new slow start phase starts.***
- ❑ If detection is by three ACKs, a new congestion avoidance phase starts.***

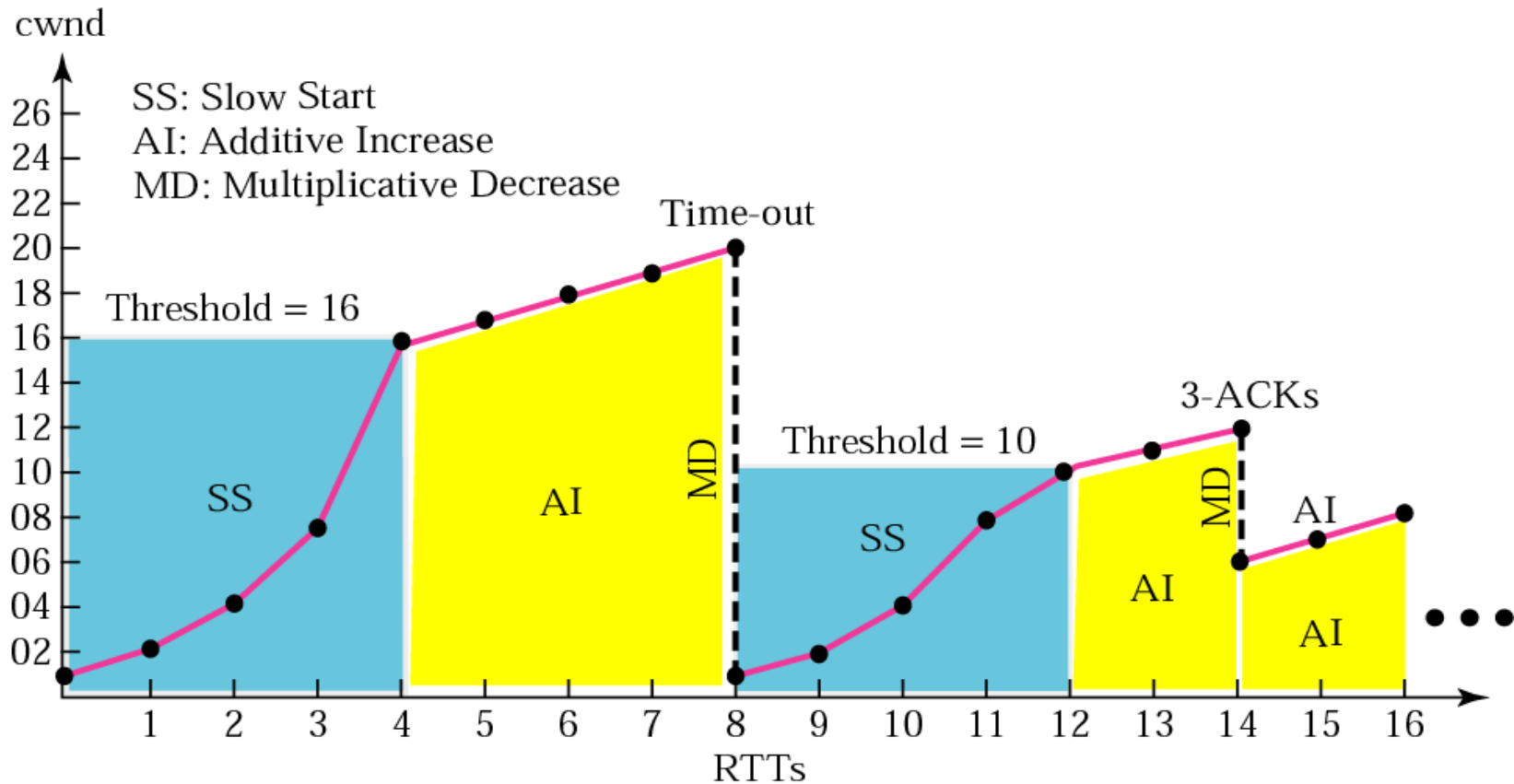


Fast Retransmit

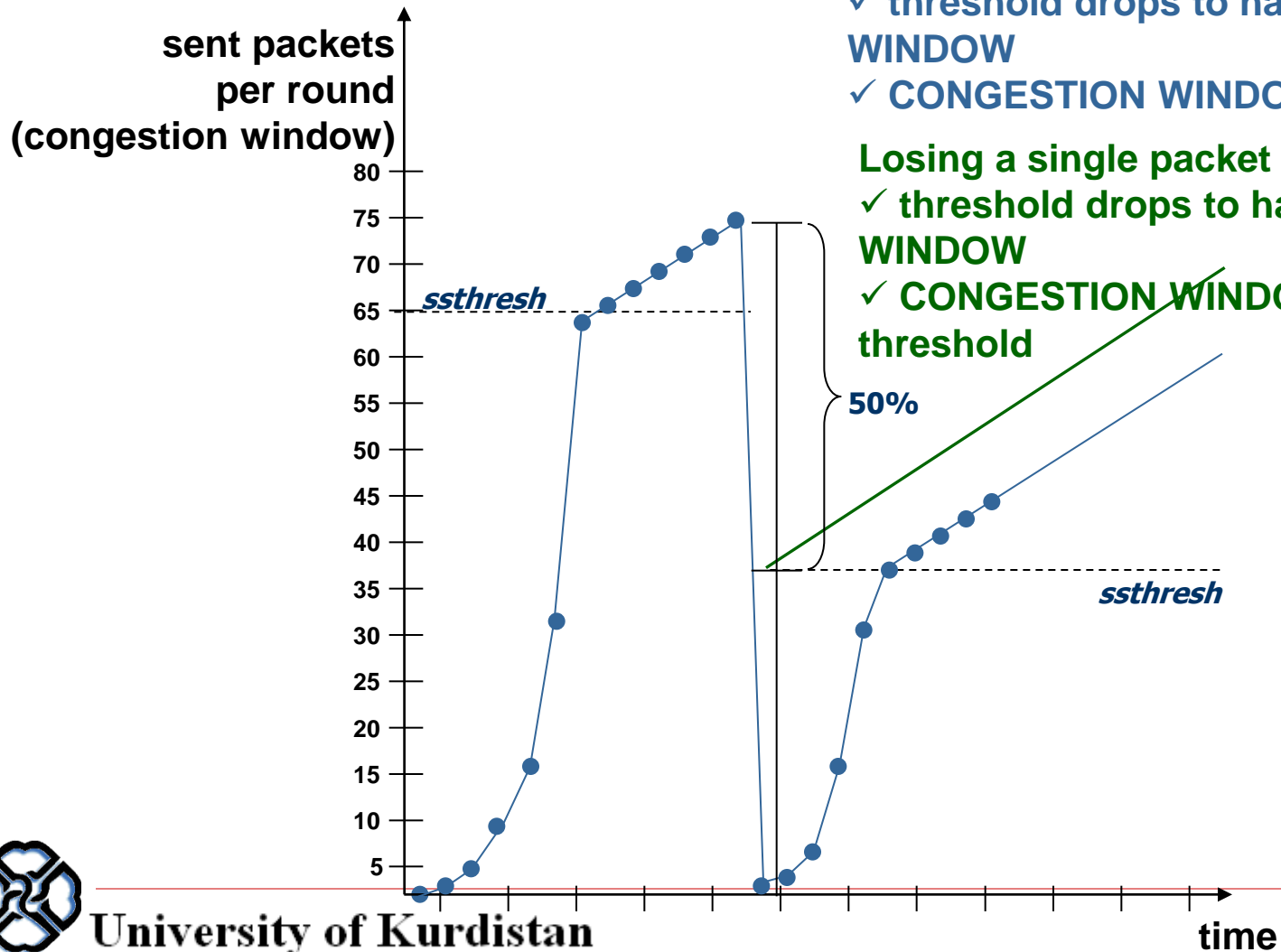
- Time-out period often relatively long:
 - long delay before resending lost packet
- Detect lost segments via duplicate ACKs.
 - Sender often sends many segments back-to-back
 - If segment is lost, there will likely be many duplicate ACKs.
- If sender receives 3 ACKs for the same data, it supposes that segment after ACKed data was lost:
 - fast retransmit: resend segment before timer expires



Congestion Control example



TCP Congestion Control

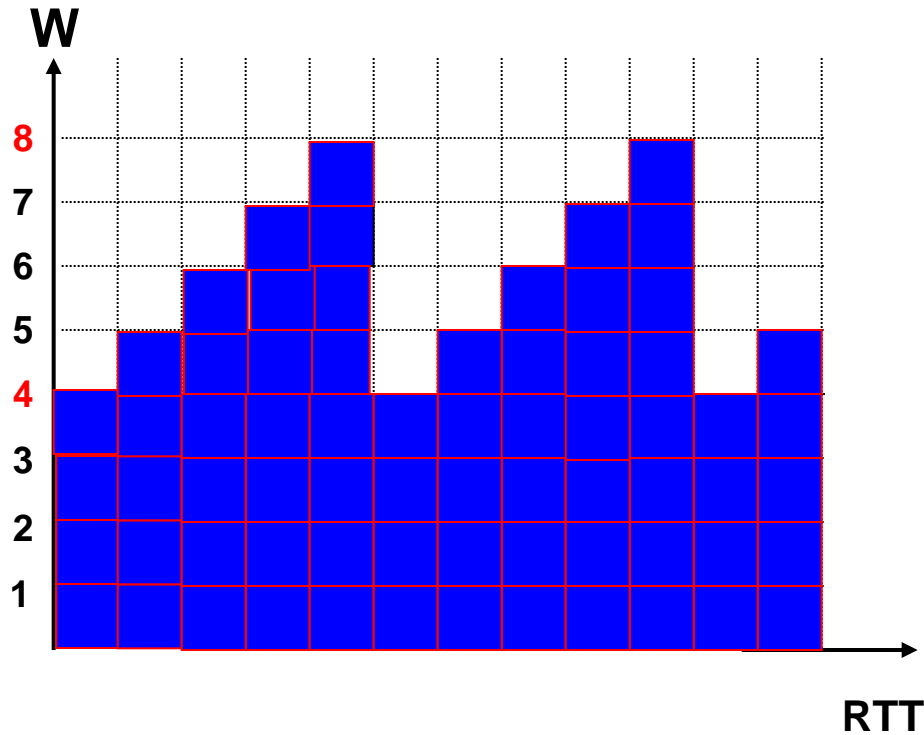


Losing a single packet (TCP Tahoe):
✓ threshold drops to half CONGESTION WINDOW
✓ CONGESTION WINDOW back to 1

Losing a single packet (TCP Reno):
✓ threshold drops to half CONGESTION WINDOW
✓ CONGESTION WINDOW back to new threshold



TCP Behavior



Calculate “average packet loss rate” and “average throughput”



TCP Round Trip Time and Timeout

Q: how to set TCP timeout value?

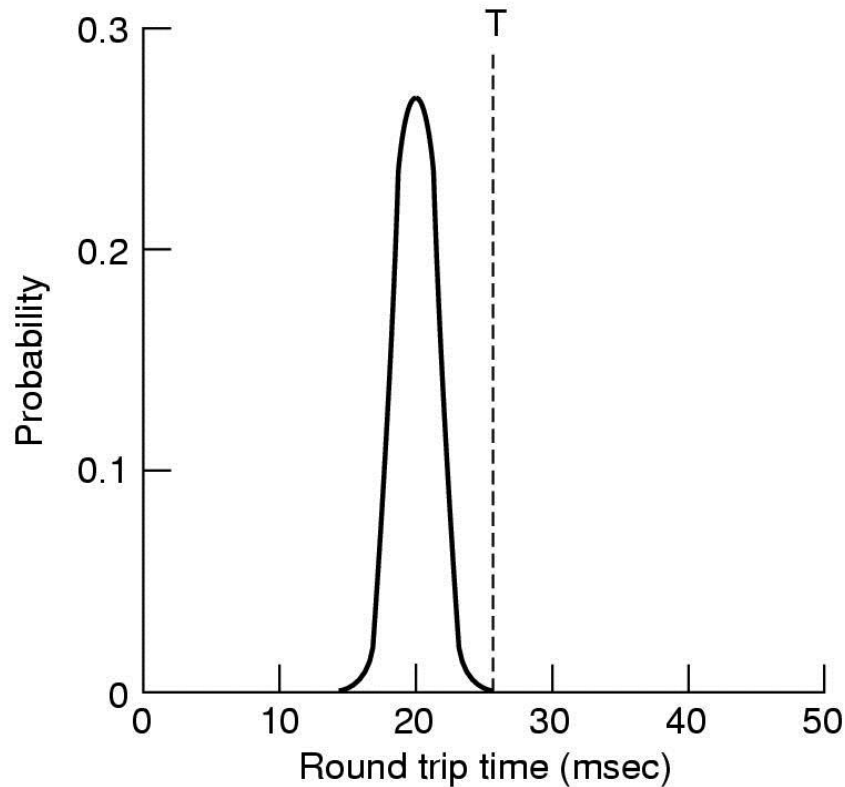
- longer than RTT
 - but RTT varies
- too short: premature timeout
 - unnecessary retransmissions
- too long: slow reaction to segment loss

Q: how to estimate RTT?

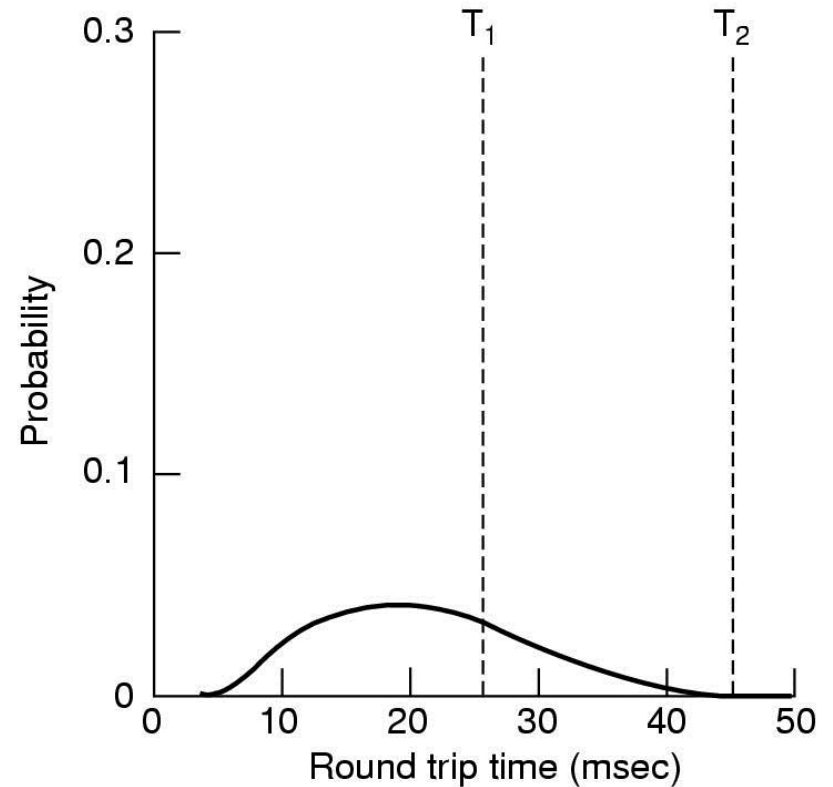
- **SampleRTT**: measured time from segment transmission until ACK receipt
 - ignore retransmissions
- **SampleRTT** will vary, want estimated RTT “smoother”
 - average several recent measurements, not just current **SampleRTT**



TCP timer management



Data link layer



Transport layer

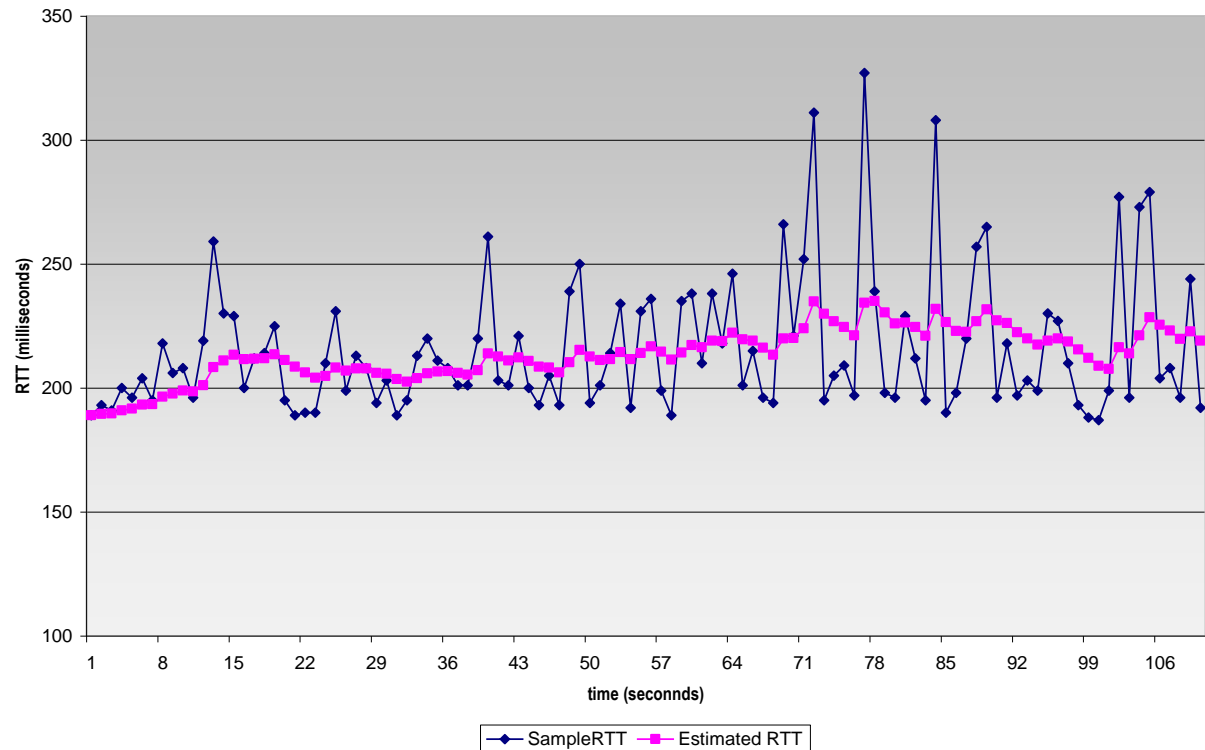


TCP Round Trip Time and Timeout

$$\text{EstimatedRTT} = (1 - \alpha) * \text{EstimatedRTT} + \alpha * \text{SampleRTT}$$

□ typical value: $\alpha = 0.125$

RTT: gaia.cs.umass.edu to fantasia.eurecom.fr



TCP Round Trip Time and Timeout

Setting the timeout

- **EstimatedRTT** plus “safety margin”
 - large variation in **EstimatedRTT** → larger safety margin
- first estimate of how much **SampleRTT** deviates from **EstimatedRTT**:

$$\text{DevRTT} = (1-\beta) * \text{DevRTT} + \beta * |\text{SampleRTT} - \text{EstimatedRTT}|$$

(typically, $\beta = 0.25$)

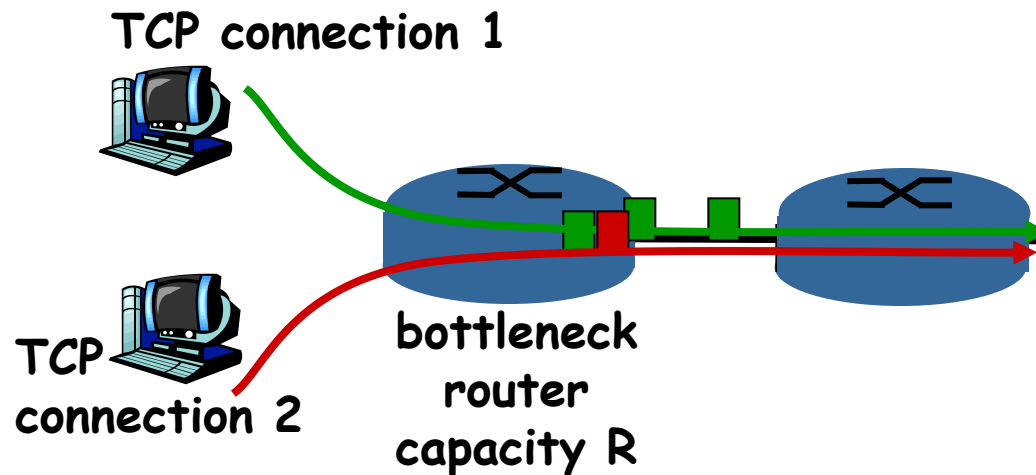
Then set timeout interval:

$$\text{TimeoutInterval} = \text{EstimatedRTT} + 4 * \text{DevRTT}$$



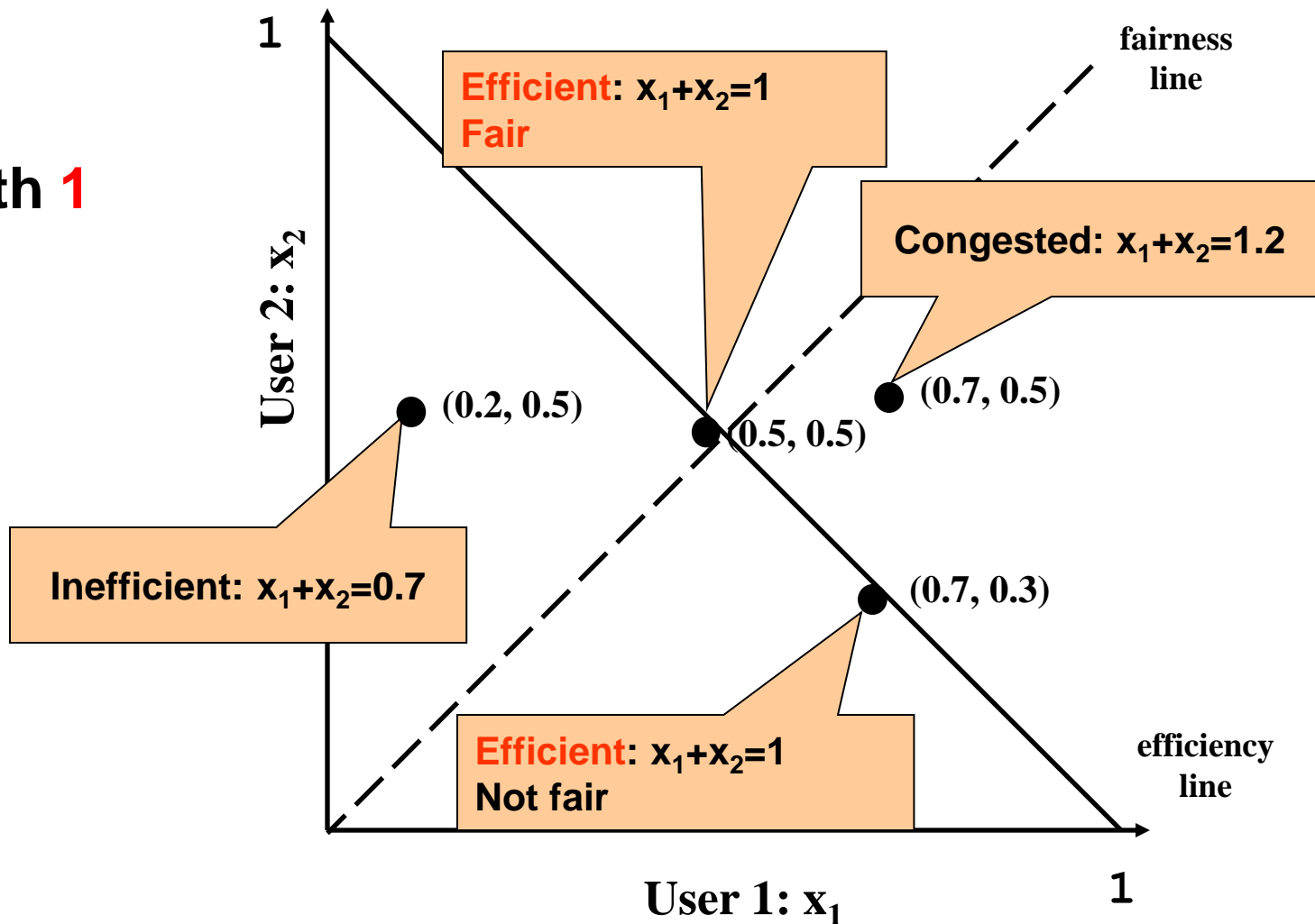
TCP Fairness

Fairness goal: if K TCP sessions share same bottleneck link of bandwidth R , each should have average rate of R/K



Example

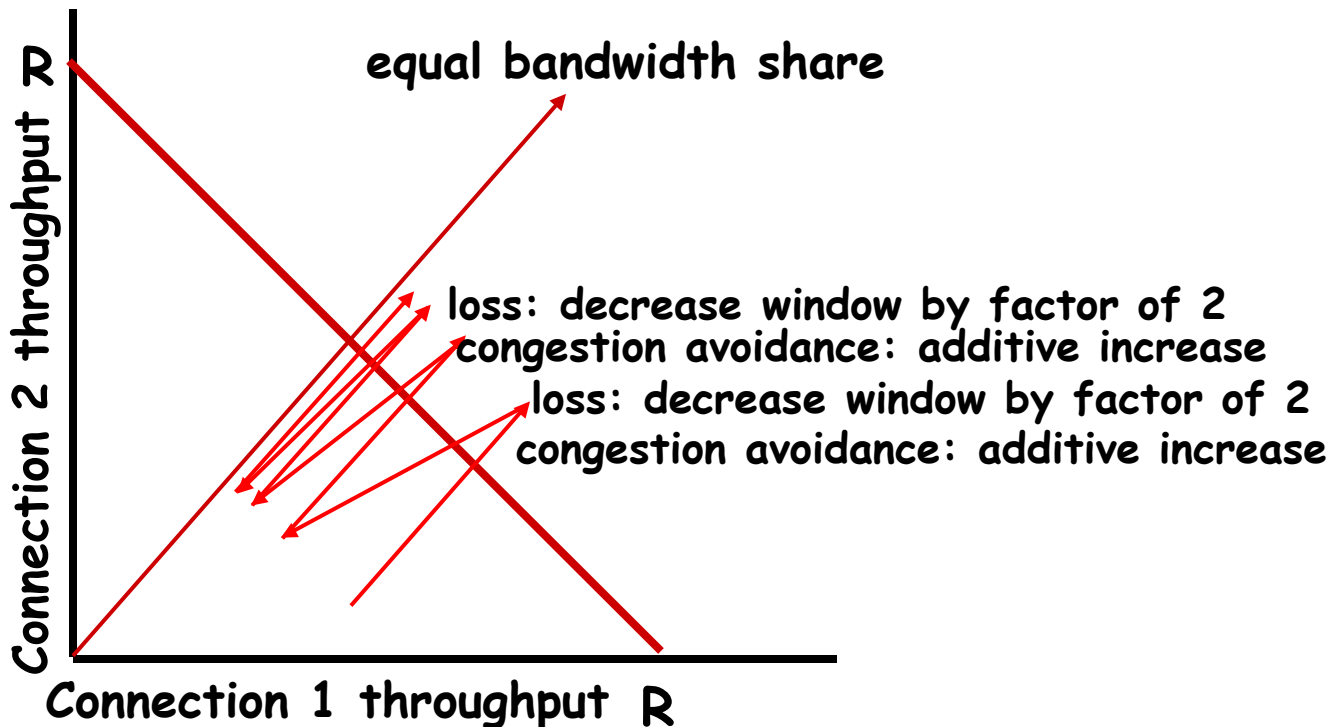
➤ Total bandwidth 1



Why is TCP fair?

Two competing sessions:

- Additive increase gives slope of 1, as throughput increases
- multiplicative decrease decreases throughput proportionally



Delay modeling

Q: How long does it take to receive an object from a Web server after sending a request?

➤ **Latency** is the time the client when initiates a TCP connection until receiving the complete object.

Key components of Latency are:

1) TCP connection establishment, 2) data transmission delay, 3) slow start

Notation, assumptions:

- one link between client and server of rate R
- amount of sent data depends only on CongWin (large RcvWin)
- all protocols headers and non-file segments are ignored
- file send has integer number of MSSs
- large initial Threshold
- no retransmissions (no loss, no corruption)
- MSS is S bits
- object size is O bits
- R bps is the transmission rate
- Latency lower bound with no congestion window constraint = $2RTT$ (TCP Conn) + O/R

Congestion Window size:

- First assume: fixed congestion window, W segments
- Then dynamic window, modeling slow start

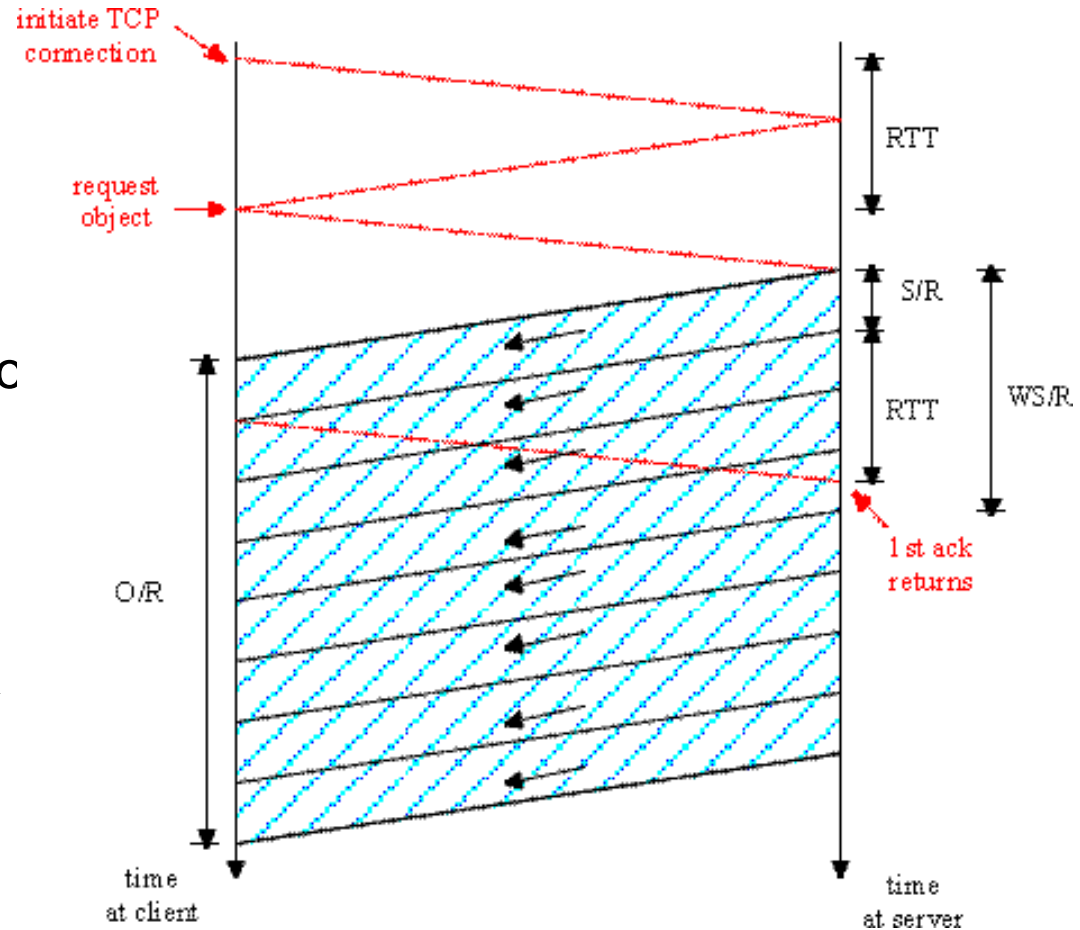


Fixed congestion window (1)

First case:

$WS/R > RTT + S/R$: server receives ACK for 1st segment in 1st window before 1st window's worth of data sent where $W=4$.

Segments arrive periodically from server every S/R seconds and ACKs arrive periodically at server every S/R seconds



$$\text{delay} = 2RTT + O/R$$



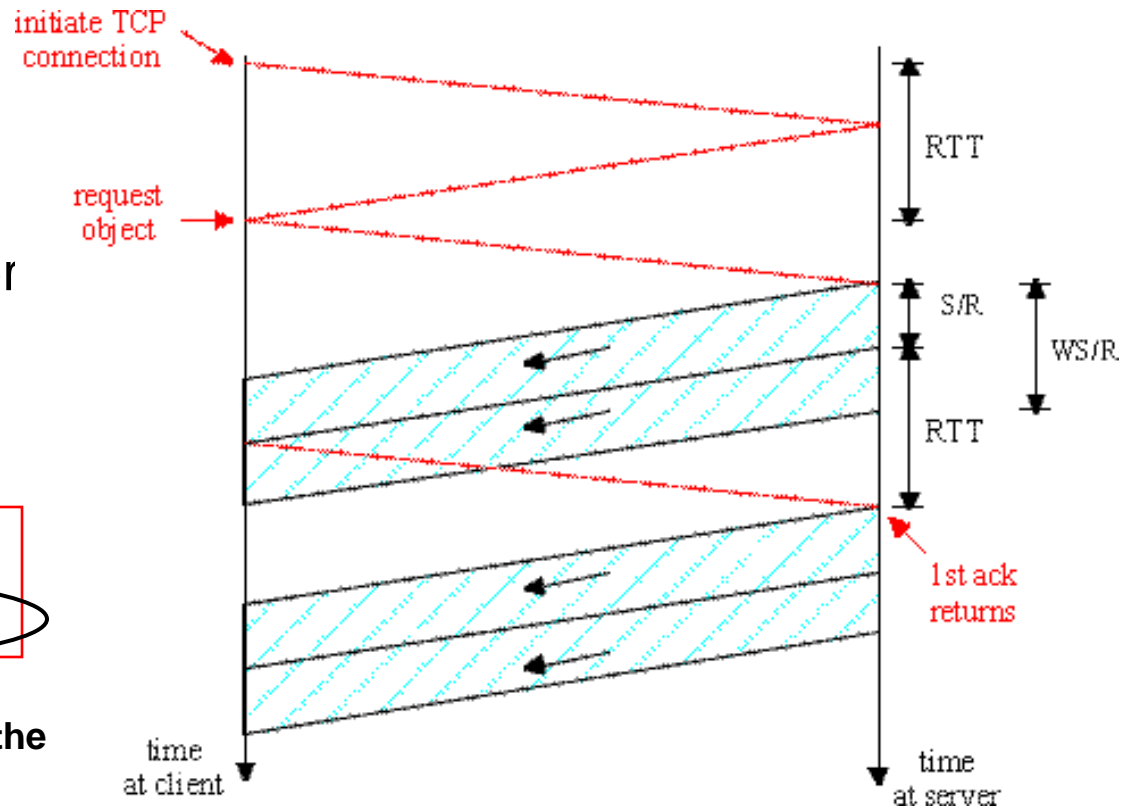
Fixed congestion window (2)

Second case:

- $WS/R < RTT + S/R$:
server waits for ACK after sending all window's segments where $W=2$.

$$\text{delay} = 2RTT + O/R + (K-1)[S/R + RTT - WS/R]$$

- * $K = \#$ windows of data that cover the object or $K=O/WS$
- * Additional stalled state time between the transmission of each of the windows. For $K-1$ periods (server not stalled when transmitting last window) with each period lasting $RTT-(W-1)S/R$



TCP Delay Modeling: Slow Start (1)

Now suppose window grows according to slow start

Will show that the delay for one object is:

$$Latency = 2RTT + \frac{O}{R} + P \left[RTT + \frac{S}{R} \right] - (2^P - 1) \frac{S}{R}$$

- P is the number of times TCP idles at server:

$$P = \min\{Q, K - 1\}$$

- Q is the number of times the server idles if the object were of infinite size.

- K is the number of windows that cover the object.



TCP Delay Modeling: Slow Start (2)

Delay components:

- 2 RTT for connection estab and request
- O/R to transmit object
- time server idles due to slow start

Server idles:

$$P = \min\{K-1, Q\} \text{ times}$$

Example:

- O/S = 15 segments in object
- K = 4 windows
- Q = 2
- P = $\min\{K-1, Q\} = 2$

Server idles P=2 times

initiate TCP connection

request object

k=1
RTT

k=2

k=3

k=4

object delivered

time at client

time at server

first window = S/R

second window = 2S/R

third window = 4S/R

fourth window = 8S/R

complete transmission



TCP Delay Modeling (3)

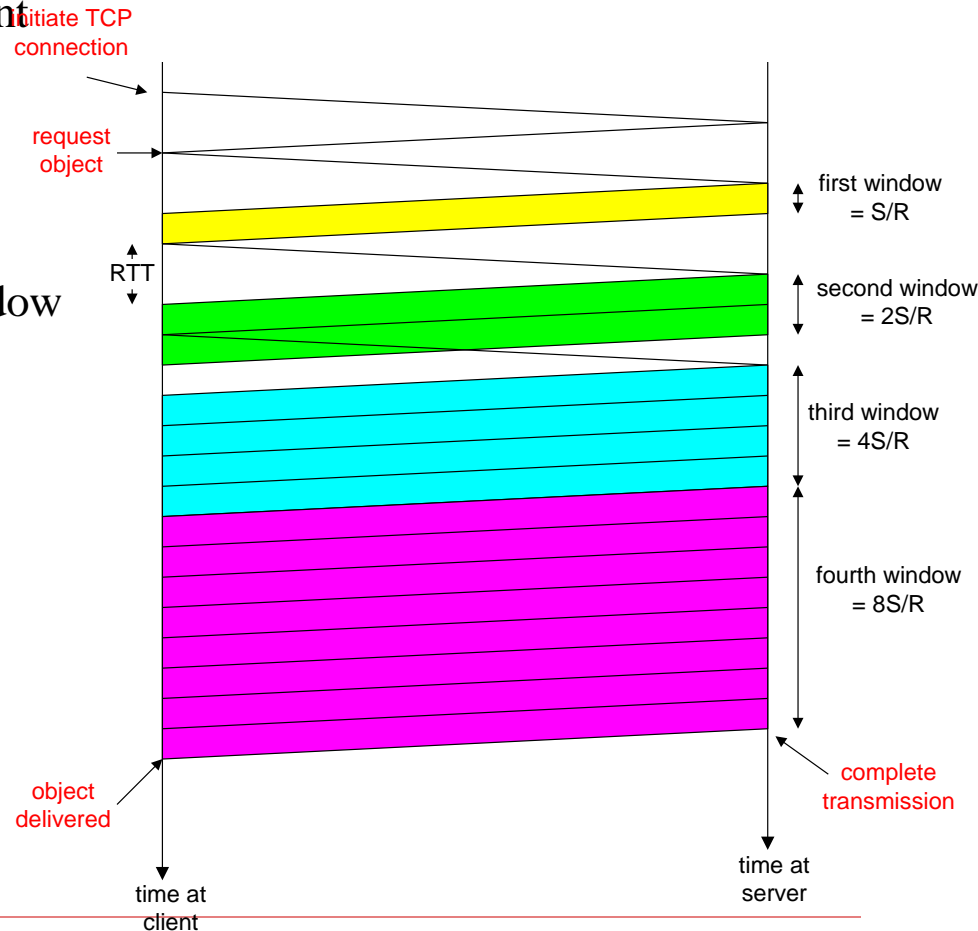
$\frac{S}{R} + RTT =$ time from when server starts to send segment

until server receives acknowledgement

$2^{k-1} \frac{S}{R} =$ time to transmit the k th window

$\left[\frac{S}{R} + RTT - 2^{k-1} \frac{S}{R} \right]^+ =$ idle time after the k th window

$$\begin{aligned} \text{delay} &= \frac{O}{R} + 2RTT + \sum_{p=1}^P \text{idleTime}_p \\ &= \frac{O}{R} + 2RTT + \sum_{k=1}^P \left[\frac{S}{R} + RTT - 2^{k-1} \frac{S}{R} \right] \\ &= \frac{O}{R} + 2RTT + P \left[RTT + \frac{S}{R} \right] - (2^P - 1) \frac{S}{R} \end{aligned}$$



A clear blue sky with several fluffy white clouds scattered across it. The clouds are of varying sizes and are positioned mostly in the upper and middle sections of the frame. The overall scene is bright and open.

Questions